Geosci. Instrum. Method. Data Syst. Discuss., 2, 953–979, 2012 www.geosci-instrum-method-data-syst-discuss.net/2/953/2012/ doi:10.5194/gid-2-953-2012 © Author(s) 2012. CC Attribution 3.0 License.



This discussion paper is/has been under review for the journal Geoscientific Instrumentation, Methods and Data Systems (GI). Please refer to the corresponding final paper in GI if available.

Development and comparisons of wind retrieval algorithms for small unmanned aerial systems

T. A. Bonin^{1,2}, P. B. Chilson^{1,2}, B. S. Zielke^{1,2}, P. M. Klein^{1,3}, and J. R. Leeman¹

¹School of Meteorology, University of Oklahoma, Norman, Oklahoma, USA
 ²Advanced Radar Research Center, University of Oklahoma, Norman, Oklahoma, USA
 ³Center for Analysis and Prediction of Storms, University of Oklahoma, Norman, Oklahoma, USA

Received: 8 October 2012 - Accepted: 7 December 2012 - Published: 21 December 2012

Correspondence to: T. A. Bonin (tim.bonin@ou.edu)

Published by Copernicus Publications on behalf of the European Geosciences Union.



Abstract

Introduction

20

25

Recently, there has been an increase in use of Unmanned Aerial Systems (UASs) as platforms for conducting fundamental and applied research in the lower atmosphere due to their relatively low cost and ability to collect samples with high spatial and temporal resolution. Concurrent with this development comes the need for accurate instrumentation and measurement methods suitable for small meteorological UASs. Moreover, the instrumentation to be integrated into such platforms must be small and lightweight. Whereas thermodynamic variables can be easily measured using well aspirated sensors onboard, it is much more challenging to accurately measure the wind with a UAS. Several algorithms have been developed that incorporate GPS observations as a means of estimating the horizontal wind vector, with each algorithm exhibiting its own particular strengths and weaknesses. In the present study, the performance of

three such GPS-based wind-retrieval algorithms has been investigated and compared

with wind estimates from rawinsonde and sodar observations. Each of the algorithms considered agreed well with the wind measurements from sounding and sodar data.

Through the integration of UAS-retrieved profiles of thermodynamic and kinematic pa-

rameters, one can investigate the static and dynamic stability of the atmosphere and relate them to the state of the boundary layer across a variety of times and locations,

Winds within the planetary boundary layer (PBL) evolve much quicker than winds in the rest of the Earth's atmosphere. In the morning, the wind speed near the surface increases as the convective boundary layer (CBL) develops and mixes higher momentum

air from aloft downward. Conversely, around sunset, the surface wind speed decreases

guickly when the boundary layer decouples as a near surface inversion develops due

to radiational cooling (Barthelmie et al., 1996). During the night, in many places such

which might be difficult to access using conventional instrumentation.

Discussion Paper GID 2,953-979,2012 Wind retrieval algorithms for small UASs **Discussion** Paper T. A. Bonin et al. **Title Page** Introduction Abstract Conclusions References **Discussion** Paper Tables Figures Back Close **Discussion** Paper Full Screen / Esc Printer-friendly Version Interactive Discussion

as the Great Plains of the United States, a low-level jet (LLJ) often develops within a few hundred meters above the ground which persists until the morning when the CBL regrows and momentum is again mixed towards the surface (Wexler, 1961; Bonner, 1968; Parish and Oolman, 2010). The strength of the LLJ is often amplified by an

- ⁵ ageostrophic component from flow over sloping terrain (Holton, 1967; Shapiro and Fedorovich, 2009). The process of decoupling at night typically results in low wind speeds at the surface with high wind speeds at the top of the stable boundary layer in the LLJ, while mixing during the daytime results in a relatively uniform wind with height with lower wind speeds near the surface due to frictional effects.
- The flow within the PBL is important for many different applications. Understanding the wind patterns within the boundary layer is vital for accurate air quality forecasts and wind energy forecasting (Endlich et al., 1982; Seaman and Michelson, 2000; Emeis et al., 2007; Kondragunta et al., 2008). Studying these patterns can be difficult and often requires a variety of in-situ measurements from instrumented towers, which can
- only monitor the lower portions of the PBL. Radars, lidars, sodars, wind profilers, and other remote sensing tools are used to measure PBL variables continuously without much human intervention, but are expensive to purchase and each instrument has its own limitations in what variables it can measure for particular height ranges. Additionally, thermodynamic variables are difficult to measure accurately with most remote
- sensing instruments. Hence, unmanned aerial systems (UASs) are unique instruments for conducting boundary layer research. These platforms are capable of measuring both thermodynamic and flow parameters within the PBL, while minimizing expenses and providing flexibility to the user.

Within the past decade, there has been an increasing number of UASs developed
and used for atmospheric sensing (i.e. Holland et al., 2001; Shuqing et al., 2004; Spiess et al., 2007; van den Kroonenberg et al., 2008; Reuder et al., 2009; van den Kroonenberg et al., 2012; Houston et al., 2012). The nature of the research topics investigated by UASs varies as much as the platforms themselves. Larger more robust platforms, such as the Aerosonde, are capable of carrying extensive instrumentation packages



and conducting long research missions, such as investigating the eyewall of tropical cyclones (Lin, 2006). Most UASs that have been developed recently are more focused on investigating the PBL. The meteorological mini unmanned aerial vehicle (M^2AV), designed by van den Kroonenberg et al. (2008), has a wingspan of 2 m and is capable

- of taking thermodynamic as well as high-resolution three-dimensional wind measurements using a 5-hole probe. It has been used primarily to investigate the PBL, such as measuring the temperature structure-function parameter (van den Kroonenberg et al., 2012). However, the 5-hole probe for the M²AV is relatively expensive costing ≈€6000 (J. Bange, personal communication, 2009), while the total of other components for a
- small UAS is ≈€800. Dias et al. (2012) constructed the Aerolemma, which collects thermodynamic data, and utilized it to calculate convective turbulence scales and the entrainment flux. Several low-cost UASs have also been developed recently for PBL research. The Small Unmanned Meteorological Observer (SUMO) utilizes an off-the-shelf airframe into which meteorological sensors can be placed, such as a temperature and humidity sensor and a barometer, for thermodynamic profiling of the PBL (Reuder et al., 2009).

Small UASs can be relatively inexpensive and have the ability to collect samples with high spatial and temporal resolution (Bonin et al., 2012). Flight plans for autonomous vehicles that utilize autopilots can be customized to examine particular meteorological phenomena and can be adapted "on the fly" to account for evolving conditions or to

- 20 phenomena and can be adapted "on the fly" to account for evolving conditions or to focus on a particular region of interest. For example, a flight trajectory configured for a quick ascent rate could be used to rapidly penetrate the daytime PBL under convective conditions when the PBL is typically well mixed. At night, the PBL is usually statically stable and contains sharp vertical gradients in its structure. Therefore a slower ascent
- rate might be more appropriate as a means of acquiring better vertical resolution over a shallow layer. Since UASs are being increasingly utilized for meteorological sensing, accurate instrumentation and observation methods must be developed for these platforms.



Recently, the Advanced Radar Research Center (ARRC) at the University of Oklahoma (OU) has developed a small low-cost UAS, the SMARTSonde (Small Multifunction Research and Teaching Sonde), for boundary layer research (Chilson et al., 2009). The SMARTSonde platform uses an open source autopilot system, Paparazzi, for au-

- tonomous flight. The Paparazzi autopilot hardware package comes with a GPS receiver which provides real-time information of the position of the SMARTSonde. The autopilot uses these data along with pitch and roll estimates from infrared thermopiles or an inertial measurement unit (IMU) in a feedback loop to adjust the flight control surfaces accordingly to maintain a preconfigured flight plan (Brisset et al., 2006). SMARTSonde
- ¹⁰ is capable of directly measuring pressure, temperature, relative humidity, and trace gas concentrations, such as ozone. These quantities alone have been used to examine the boundary layer evening transition (Bonin et al., 2012). While thermodynamic variables can be measured during flights from onboard sensors, information about the wind speed and direction is not as easily obtained. Other methods of retrieving the ¹⁵ wind information from the UAS flight are necessary.

The three algorithms under investigation in this paper are (i) the best curve fitting method, (ii) no-flow-sensor, and (iii) the Paparazzi autopilot output, as discussed below. The first algorithm, best curve fitting, is based loosely on the initial wind retrieval method used by the SUMO group (Reuder et al., 2009), who found the wind speed by dividing the difference between the maximium and minimum ground relative speed by two. However, instead of simply using the maximum and minimum ground speeds around a circle, all GPS derived heading and ground speed measurements from around the circle are used to retrieve the wind profile. The second method is the "no-flow-sensor", detailed by Mayer et al. (2012). This algorithm uses a series of ground

speed and azimuthal movement to estimate the wind. The third algorithm discussed is integrated into the Paparazzi autopilot system and provides a real-time estimate of the wind speed and direction to the user. These algorithms are based on measurements from an onboard GPS unit. Other ways to measure the wind exist, but require different flight plans than those used by the SMARTSonde or equipment not installed. For



instance, Shuqing et al. (2004) estimated the wind based on the drift of a circular flight path if the plane maintains a constant roll rate. The middle of the circle would move downstream with the wind. More complex methods for measuring the wind have also been devised (e.g. van den Kroonenberg et al., 2008; Premerlani and Bizard, 2009). The performances of these different algorithms have not been thoroughly compared

⁵ The performances of these different algorithms have not been thoroughly compared against each other or with other instrumentation prior to this paper.

While many of the different wind algorithms have been developed for specific platforms, most should work across platforms provided the proper instrumentation. Profiles of the mean horizontal wind can be retrieved using these algorithms. These can be

¹⁰ used to complement the thermodynamic variables to calculate boundary layer stability parameters, such as the Richardson number. Additionally, examining a progressive series of high-resolution wind profiles in the evening could be used to study the development of a LLJ.

2 Wind retrieval algorithms

The primary use of the three algorithms is to obtain a vertical profile of the mean horizontal wind. Since all of the methods involve temporal averaging, they are not useful for determining small fluctuations in the components of the wind over short timescales, but wind shear in the PBL can be quantified. Each of these methods simply requires instantaneous speed and heading provided by an onboard GPS as inputs. A pitot tube could
 ²⁰ be installed and airspeed measurements could be incorporated into the algorithms to improve wind speed estimates.

2.1 Best curve fitting

25

One method of retrieving information about the wind from a SMARTSonde flight is by fitting a curve to the UAS's ground relative speed, which is provided by the onboard GPS unit. This method is similar to the wind algorithm used by Reuder et al. (2009).



The ground-relative speed, *Y*, can be expressed as

$$Y^{2} = (a + v\cos(\psi - \theta + 180))^{2} + (v\sin(\psi - \theta + 180))^{2},$$

where ψ is the airplane heading with north being 0°, θ is the wind direction using standard meteorological convention, *a* is the airspeed of the plane, and *v* is the wind speed. A diagram showing the components of Eq. (1) is provided in Fig. 1. For this

- ⁵ speed. A diagram showing the components of Eq. (1) is provided in Fig. 1. For this method, *a* can be treated as a constant if the plane is flying with a constant throttle and pitch. The values of ψ and Y are known in this equation since they are recorded every second. With this information, a polynomial curve fitting can be performed to determine the values of *a*, *v*, and θ . While the fitting may be done as frequently as desired, it may not provide an accurate estimate of the wind speed and direction if the analyzed dataset is windowed too narrowly. Ideally, the dataset would contain a large number of data points over a wide range of ψ . Generally, the fitting is performed each time the plane completes a circle, providing the entire range of ψ that is needed for a representative fit of Eq. (1) to the data.
- To illustrate the application of the curve fitting method, SMARTSonde data from a helical ascent are depicted in Fig. 2. On this particular day, the prevailing winds were northerly. When the plane travels north, the headwind decreases the ground-relative speed. Conversely, the ground-relative speed of the SMARTSonde increases when flying southward. Each circle in the flight can be individually examined using the best curve fitting method. Equation (1) is fitted to the instantaneous ground-relative velocities. A sample fitting of the data from one particular circle is shown in Fig. 3. By applying
- this fitting, the wind speed v and wind direction θ for the average height of the plane during the circle is retrieved. This fitting is applied to every circle during the SMART-Sonde's ascent so that a wind profile of the PBL can be constructed, as shown in Fig. 4.

The plane does not need to fly in a circle to utilize the best curve fitting method. The fitting could work with most patterns as long as the airplane changes heading



(1)

throughout the flight. However, the algorithm is able to provide the most frequent and accurate updates of a wind estimate when a circular flight path is used.

2.2 No-flow-sensor method

By using Nelder-Mead optimization (Nelder and Mead, 1965), the wind speed and direction can be retrieved through an alternative method. This method was originally proposed by Mayer et al. (2012) as the "no-flow-sensor". Similar to the best curve fitting method, this algorithm utilizes an optimization scheme that relies only on the groundrelative velocity from the GPS unit. The airspeed *a* is defined as

$$a = \frac{1}{n} \sum_{i=1}^{n} \|\boldsymbol{\mathcal{S}}(i) - \boldsymbol{W}\|,$$

¹⁰ where *n* is the number of the GPS measurements that are used in the optimization, *S* consists of the ground-relative velocity measurements given by the GPS, and *W* is the wind vector. Assuming perfect measurements and a constant airspeed and wind speed, S - W - a should be equal to zero. Since measurements are not perfect and the true wind fluctuates due to turbulence, S - W - a does not necessarily equal zero; ¹⁵ however, since *a* and *S* are known values, *W* can be solved. To accomplish this, a standard deviation quantity, σ , is defined by

$$\sigma = \frac{1}{n} \sum_{i=1}^n (\|\boldsymbol{\mathcal{S}}(i) - \boldsymbol{W}\| - a)^2,$$

which is minimized using a Nelder-Mead optimization scheme.

For the wind retrievals in this study, 151 GPS-derived values are used in the optimization scheme. Since the GPS measurements come in at 5 Hz, this corresponds to around 30 s of flight time. This number of ground-relative velocities is based on experimentation with different sample sizes. Using a lower number of points, *n*, the wind data



(2)

(3)

become noisy. With a larger sample size, small changes in the wind vector with height are not resolved. To date, the u and v components of the wind have been reliably calculated for SMARTSonde flights using this method. However, due to the fact that the w component is typically smaller than the noise in the GPS data, the vertical wind has not been resolved using this method.

Utilizing the no-flow-sensor, the wind speed can be estimated whenever the plane is maintaining a constant airspeed. The plane could be following any flight pattern, along a straight path or with many turns. The frequency of independent estimates of the wind speed is a function of the number of points used in the optimization scheme. This quantity may vary depending on the platform and the accuracy of the GPS receiver.

2.3 Paparazzi wind algorithm

5

10

The Paparazzi autopilot program used by the SMARTSonde for autonomous flight provides an estimate of the wind speed and direction at the flight level. These values are given every ten seconds. However, the wind algorithm in use with the autopilot software is not well-documented. It uses some form of the no-flow-sensor methodology discussed above, but the number of points, *n*, that are used in the optimization are not reported. Paparazzi provides the only real-time estimate of the wind speed, as the other algorithms are used to process the data after the flights are complete. Typically, the estimate of the wind speed from the Paparazzi are erratic for \approx 30 s after the plane begins autonomous flight, while the other algorithms worked well during this time inter-

20 begins autonomous flight, while the other algorithms worked well during this time interval. A relatively accurate first guess would minimize the computing time and iterations needed to solve for the wind vector.



3 Algorithm performance and comparison

3.1 Comparison with rawinsonde

The three wind algorithms have been used to derive wind measurements for profiles of the PBL for comparison with a nearby rawinsonde and Mesonet station. The rawinsonde wind profiles used for comparison were from Norman, Oklahoma (OUN). The upper-air station is located less than a kilometer away from where the SMARTSonde flights for this study were conducted. The ground near the rawinsonde site is relatively flat, so terrain effects are minimal. Therefore, the rawinsonde observations are expected to be representative of the SMARTSonde observations. While the times of the radiosonde launches do not exactly match the times of the SMARTSonde profiles, the profiles compared were always within 2 h of each other.

The Oklahoma Mesonet consists of 110 stations throughout the state that measure air temperature, humidity, barometric pressure, wind speed and direction, rainfall, solar radiation, and soil temperatures (Brock et al., 1995; McPherson et al., 2007). The

- ¹⁵ National Weather Center (NWC) Mesonet was used for additional comparison. The Mesonet station is maintained by the Oklahoma Climatological Survey (OCS); data are archived for public use with one minute resolution. The main advantage of using the NWC Mesonet observation is that the wind data, which is measured at 10 m a.g.l., at the moment of the SMARTSonde's takeoff can be used for comparison. This shows if the wind changes significantly between the rawinsonde observation time and the time
 - of the SMARTSonde flight.

The great majority of the 60 helical ascent flights conducted for this study occurred during periods when the synoptic-scale forcing was weak, absent of nearby frontal zones that could quickly change the PBL wind profile. Thus, conditions during the bal-

²⁵ loon launch should be similar to conditions during SMARTSonde flights, provided the two times are within a few hours of each other. Hence, it is reasonable to make direct comparisons between rawinsonde and SMARTSonde observations.



Shown in Fig. 5 are four typical wind profiles calculated using the three methods mentioned above. They are compared with observations from the Norman sounding (OUN) and the NWC Mesonet station. Note that the rawinsonde data are for the official launch time, 00:00 UTC, for all of the cases shown. However, the sondes are usually observed being launched earlier, at 23:00 UTC. Figure 5a depicts an event on 12 February 2010 when there was a poticeable wind direction shift from southerly to westerly between

- when there was a noticeable wind direction shift from southerly to westerly between 300–500 m above ground level (a.g.l.). Based on the 925 mb map and soundings (not shown), this wind shift was associated with an elevated mixed layer moving over the cooler air near the surface. All of the wind data produced using the SMARTSonde's al-
- ¹⁰ gorithms agreed with the rawinsonde observation. Another wind shift can also be seen in Fig. 5b. On this day, the winds were much lighter than the previous case, but both the rawinsonde and the wind algorithms still captured the low-level wind shear. In Fig. 5c, a noticeable feature was the weaker winds that were observed below 100 m a.g.l. This demonstrates that the algorithms are capable of retrieving weaker winds closer to the
- ¹⁵ ground when the SMARTSonde begins a helical ascent at a low altitude. In the final example shown in Fig. 5d, once again weaker winds are observed near the surface. This flight took place 30 min prior to the rawinsonde launch. Below 200 m a.g.l., the SMARTSonde and rawinsonde observations differ in wind direction. However, the SMARTSonde's lowest observation from all three algorithms closely matches the wind direction at the NWC Mesonet at the takeoff time.

The four example plots comparing algorithm output against the sounding, as well as the many other comparisons not shown here, generally illustrate good agreement between the rawinsonde observations and the different wind algorithms. To better determine the accuracy of the algorithms, error statistics were calculated from all of the flights that took place within an hour of a rawinsonde being launched.

25

Table 1 provides the root mean squared errors (RMSE) between the rawinsonde observations and UAS retrievals. The last column, V, is the vectorized RMSE for the wind. Based on these numbers, both the no-flow-sensor and best curve fitting provide measurements more similar to the rawinsonde than the Paparazzi algorithm in nearly



every category. While the best curve fitting algorithm has a lower error for the wind speed, the estimate of the wind direction from the no-flow-sensor has a lower RMSE. Both could be used to measure the 2-D wind vector with nearly identical errors. The RMSE for each of the wind components is slightly larger than the error for the raw-⁵ insonde system, which is 1 m s^{-1} for *u* and *v*. This is not surprising considering that the rawinsonde observations have error themselves and the winds may change slightly between the measurement times.

Overall, the three algorithms themselves were in good agreement with each other. No algorithm appeared to perform drastically better than any other when compared against the rawinsonde, but each algorithm has its own advantages. The best curve fitting provides the faster independent updates when the aircraft is flying in a circular pattern compared to the no-flow-sensor. Conversely, the no-flow-sensor method still works well when the plane is flying in a straight line, while the best curve fitting does not work well in that condition.

15 3.2 Comparison with sodar

10

20

A *Scintec* XFAS sodar operates on the roof of the NWC and offers yet another wind comparison. The sodar provides 10 m vertical resolution estimates of the wind vector averaged over a 15 min time span. The lowest range gate is 30 m above the instrument and retrievals can provide data up to 400 m under ideal conditions. Given its continuous data stream and its spatial resolution near the ground, wind measurements from the

NWC sodar are well suited for validating wind retrievals from SMARTSonde flights.

A series of flights were conducted on the mornings of 31 October and 17 November 2011 during the morning transition of the boundary layer. The data from several of the flights and the sodar are shown in Fig. 6. On the morning of 31 October, a weak

LLJ was observed by the SMARTSonde with a peak wind speed around 150 m a.g.l. Below this, there was an area of strong wind shear. Although the sodar did not retrieve a wind estimate much above 150 m a.g.l., the winds below this height agreed very well with those derived from the SMARTSonde flight. Concurrently, there was



good agreement between both the sodar and SMARTSonde wind observations during the morning of 17 November. The wind speed increased rapidly with height from 100 m a.g.l. to 200 m a.g.l., as shown with measurements from both instruments. Although there are some differences in the observed wind direction between the two pro-

files, both tended to show the wind shifting from northerly to more easterly with height. There have only been limited opportunities for comparisons between the instruments so far; however, observations have shown very good agreement.

4 Example application

4.1 Calculating the Richardson number

¹⁰ Since the SMARTSonde is capable of measuring both the wind and thermodynamic variables, it is possible to calculate the gradient Richardson number, *Ri*. The gradient Richardson number is defined as

$$Ri = \frac{\frac{g}{\theta} \frac{\partial \theta}{\partial z}}{\left(\frac{\partial u}{\partial z}\right)^2 + \left(\frac{\partial v}{\partial z}\right)^2},$$

where *g* is gravity, θ is potential temperature, $\frac{\partial \theta}{\partial z}$ is the vertical potential temperature ¹⁵ gradient, $\frac{\partial u}{\partial z}$ is the vertical gradient of the zonal wind, and $\frac{\partial v}{\partial z}$ is the vertical gradient of the meridional wind. *Ri* is a measure of the dynamic instability and can be used to indicate the formation of turbulence. When *Ri* is less than the critical Richardson number, typically accepted to be \approx 0.25, the flow is often dynamically unstable, allowing turbulence to develop or persist. If *Ri* is greater than the critical Richardson number, ²⁰ then the flow is dynamically stable and turbulence is expected to decay.

Vertical profiles of Ri can be calculated through finite differencing of θ , u, and v with height. Although the SMARTSonde provides observations with high spatial resolution,



(4)

the wind observations can be noisy with height. To reduce this effect on the calculation of the approximated gradient Richardson number, especially over short height intervals when Δz becomes small, sets of three consecutive wind observations are averaged together, effectively creating one usable measurement for each set. After this averaging,

⁵ *Ri* can be calculated between each measurement. Consequently the vertical resolution of *Ri* varies based on ascent rate, with lower resolution measurements when the plane is ascending quicker.

4.2 Observations from 6 March 2011

Four consecutive flights were conducted on the morning for 6 March 2011 to observe the early morning transition of the PBL. Sunrise (06:53 local time (LT)) occurred approximately 30 min before the first flight. Thereafter, each flight took place approximately 30 min apart to allow the boundary layer to develop and change substantially between each profile. During the first two flights, the SMARTSonde ascended at a slow rate in order to sample the near surface thermodynamic structure with high vertical resolution. The SMARTSonde ascended at a faster rate to penetrate the developing boundary

layer during the last flights.

As the morning progressed, the depth of the convective boundary layer increased, as indicated by the increasing height of the inversion shown in Fig. 5a. For the first 90 min after sunrise, the depth of the convective boundary layer increased at a slow pace, growing to be only slightly less than 100 m deep by 08:25 LT, as evidenced by the inversion. Afterwards, however, the rate of growth of the PBL drastically increased and reached a depth of about 200 m by 08:59 LT. This initial slow growth of the PBL

followed by quick growth agrees well with past studies (White et al., 2002; Fisch et al., 2004). The depth of the PBL can be tracked by the moisture profiles in Fig. 5, as the depth of the well-mixed moisture increases throughout the morning.

The wind profiles derived from the best-curve fitting method are shown in Fig. 5c. Wind profiles from the first three flights showed good agreement with each other. They each indicated a weak LLJ with a wind maximum of $\approx 8 \text{ m s}^{-1}$ at 150 m a.g.l. with the



wind speed decreasing with height above that height. By the last flight at 08:59 LT, the LLJ feature had disappeared. At this time, the wind speeds below 200 m a.g.l. had decreased to $\approx 4 \text{ m s}^{-1}$ and were roughly constant with height above 50 m a.g.l. The decrease in wind speeds in this layer corresponds to the increase in the PBL depth during the same time interval between the third and fourth flights. Without a significant change in the synoptic-scale wind field, it can be assumed that the increase in the mixing depth is responsible for mixing down the momentum from the LLJ. This is supported by the fact that the 10 m wind speed at the NWC Mesonet site increased by $\approx 2 \text{ m s}^{-1}$ between 07:30–09:00 LT (not shown). If wind speeds were measured down to ground level with the SMARTSonde or another instrument, it would be possible to calculate the momentum fluxes using a modified integration approach from Deardorff et al. (1980) explained by Bonin et al. (2012) from consecutive wind profiles close in

By combining both the wind and thermodynamic profiles together, profiles of *Ri* have been calculated and are shown in Fig. 5. During the first three flights, *Ri* below 120 m a.g.l. was determined to be at or below 0.25. This value is primarily attributed to the strong shear associated with the bottom of the LLJ, before the momentum mixed downward. Despite the strong static stability, turbulence may be produced at these heights due to the strong shear. During all of the flights, there was an increase in *Ri* at 150 m. This is likely due to the strong static stability and weak wind shear during the first three flights, and very weak wind shear with static stability during the fourth flight. This example shows the usefulness of having high-resolution profiles of both thermodynamic and dynamic quantities of the PBL.

5 Conclusions

time.

Overall, the three algorithms that were created for the SMARTSonde platform provided accurate results when compared with proximity rawinsonde and sodar observations. While the no-flow-sensor and best curve fitting methods performed similarly,



both seemed to provide more accurate estimates than the output from the Paparazzi autopilot software. Either algorithm could be used to accurately measure the winds. However, the fitting method provides the fastest independent observations when the aircraft is flying in small circles, which was the case for the flights provided in this study.

⁵ The no-flow-sensor is the preferred algorithm to use when a limited number of turns are executed, since this algorithm does not require a change in heading for accurate retrievals.

These methods are used to accurately retrieve the two-dimensional wind vector from a low-cost UAS platform utilizing only data from an onboard GPS. Additional sensors, such as an IMU or probes with various dynamic and static pressure holes, could be incorporated onto the SMARTSonde or other UASs for faster and more accurate measurements. However, these sensors would significantly increase the cost of the platform.

10

It has been demonstrated that the wind estimates obtained can be combined with the thermodynamic profiles obtained from the SMARTSonde flights to calculate the Richardson number with 50 m vertical resolution within the PBL. Additionally, the ability to make high-resolution wind and thermodynamic profiles allows for closer examination of other interesting processes, such as the development of a LLJ in the evening or quantifying low-level wind shear in a pre-storm environment. UASs are unique plat-

²⁰ forms capable of taking high-resoltuion thermodynamic and dynamic measurements, and can be used to examine many atmospheric processes in a new way.

Acknowledgements. We thank our colleagues who have helped with the collection of the data, including all of those who have assisted in the development of the SMARTSonde. We are especially grateful to Wayne Shalamunec for piloting the SMARTSonde and putting many hours into the project, and to the Central Oklahoma Radio Control Society for allowing use of their

Into the project, and to the Central Oklahoma Radio Control Society for allowing use of their airfield for flights. We would like to thank Alan Shapiro for his helpful comments. Funding to support the development of SMARTSonde has been provided by the University of Oklahoma (OU) Advanced Radar Research Center (ARRC) and through a grant provided by the National Oceanic Atmospheric Administration (NOAA) National Severe Storms Laboratory (NSSL).



References

- Barthelmie, R., Grisogono, B., and Pryon, S.: Observations and simulations of diurnal cycles of near-surface wind speeds over land and sea, J. Geophys. Res., 101, 21327–21337, 1996. 954
- ⁵ Bonin, T., Chilson, P. B., Zielke, B., and Fedorovich, E.: Observations of Early Evening Boundary Layer Transitions Using a Small Unmanned Aerial System, Bound-Lay. Meteorol., 146, 119– 132, doi:10.1007/s10546-012-9760-3, 2012. 956, 957, 967

Bonner, W. D.: Climatology of the Low Level Jet, Mon. Weather Rev., 96, 833–850, 1968. 955 Brisset, P., Drouin, A., Gorraz, M., Huard, P.-S., and Tyler, J.: The Paparazzi solution, avail-

- able at: http://www.recherche.enac.fr/paparazzi/papers_2006/mav06_paparazzi.pdf (last access: 18 December 2012), 2006. 957
 - Brock, F. V., Crawford, K. C., Elliott, R. L., Cuperus, G. W., Stadler, S. J., Johnson, H. L., and Eilts, M. D.: The Oklahoma Mesonet: A Technical Overview, J. Atmos. Ocean. Tech., 12, 5–19, 1995. 962
- ¹⁵ Chilson, P. B., Gleason, A., Zielke, B., Nai, F., Yeary, M., Klein, P. M., and Shalamunec, W.: SMARTSonde: A Small UAS platform to support radar research, in: 34th Conference on Radar Meteorology, Boston, Mass. American Meteorological Society. Extended abstract 12B.6, available at: http://ams.confex.com/ams/34Radar/techprogram/paper_156396. htm, 2009. 957
- Deardorff, J., Willis, G., and Stockton, B.: Laboratory Studies of the Entrainment Zone of a Convectively Mixed Layer, J. Fluid Mech., 100, 41–64, 1980. 967
 - Dias, N., Gonçalves, J., Freire, L., Hasegawa, T., and Malheiros, A.: Obtaining Potential Virtual Temperature Profiles, Entrainment Fluxes, and Spectra from Mini Unmanned Aerial Vehicle Data, Bound-Lay. Meteorol., 145, 93–111, 2012. 956
- Emeis, S., Harris, M., and Banta, R. M.: Boundary-layer anemometry by optical remote sensing for wind energy applications, Meteorol. Z., 16, 337–347, 2007. 955
 - Endlich, R., Ludwig, F., Bhumralkar, C., and Estoque, M.: A Diagnostic Model for Estimating Winds at Potential Sites for Wind Turbines, J. Appl. Meteorol., 21, 1441–1454, 1982. 955
 Fisch, G., Tota, J., Machado, L., Silva Dias, M., da F. Lyra, R., Nobre, C., Dolman, A., and
- Gash, J.: The convective boundary layer over pasture and forest in Amazonia, Theor. Appl. Climatol., 78, 47–59, 2004. 966



970

 Holland, G., Webster, P., Curry, J., Tyrell, G., Gauntlett, D., Brett, G., Becker, J., Hoag, R., and Vaglienti, W.: The Aerosonde Robotic Aircraft: A New Paradigm for Environmental Observations, B. Am. Meteorol. Soc., 82, 889–901, 2001. 955
 Haltan, L. B.: The Divrael Revealed and Level Mind Opsillation Above Clapping Terrein, Tallus, 10

5

- Holton, J. R.: The Diurnal Boundary Layer Wind Oscillation Above Sloping Terrain, Tellus, 19, 199–205, 1967. 955
- Houston, A., Argrow, B., Elston, J. S., Lahowetz, J., Frew, E., and Kennedy, P. C.: The Collaborative Colorado-Nebraska Unmanned Aircraft System Experiment, B. Am. Meteorol. Soc., 93, 39–54, 2012. 955
- Kondragunta, S., Lee, P., McQueen, J., Kittaka, C., Prados, A., Ciren, P., Laszlo, I., Pierce,
- 10 R., Hoff, R., and Szykman, J.: Air Quality Forecast Verification Using Satellite Data, J. Appl. Meteorol. Clim., 47, 425–442, 2008. 955
 - Lin, P.-H.: Observations: The First Successful Typhoon Eyewall-Penetration Reconnaissance Flight Mission Conducted by the Unmanned Aerial Vehicle, Aerosonde, B. Am. Meteorol. Soc., 87, 1481–1483, 2006. 956
- Mayer, S., Hattenberger, G., Brisset, P., Jonassen, M., and Reuder, J.: A 'no-flow-sensor' Wind Estimation Algorithm for Unmanned Aerial Systems, Int. J. Micro Air Vehicles, 4, 15–29, 2012. 957, 960
 - McPherson, R. A., Fiebrich, C. A., Crawford, K. C., Kilby, J. R., Grimsley, D. L., Martinez, J. E., Basara, J. B., Illston, B. G., Morris, D. A., Kloesel, K. A., Melvin, A. D., Shrivastava, H.,
- Wolfinbarger, J. M., Bostic, J. P., Demko, D. B., Elliott, R. L., Stadler, S. J., Carlson, J., and Sutherland, A. J.: Statewide Monitoring of the Mesoscale Environment: A Techincal Update on the Oklahoma Mesonet, J. Atmos. Ocean. Tech., 24, 301–321, 2007. 962
 - Nelder, J. and Mead, R.: A simplex method for function minimization, Comput. J., 7, 308–313, 1965. 960
- Parish, T. R. and Oolman, L. D.: On the Role of Sloping Terrain in the Forcing of the Great Plains Low-Level Jet, J. Atmos. Sci., 67, 2690–2699, 2010. 955
- Premerlani, W. and Bizard, P.: Direction Cosine Matrix IMU: Theory, available at: gentlenav. googlecode.com/files/DCMDraft2.pdf (last access: 18 December 2012), 2009. 958 Reuder, J., Brisset, P., Jonassen, M., Müller, M., and Mayer, S.: The Small Unmanned Mete-
- ³⁰ orological Observer SUMO: A new tool for atmospheric boundary layer research, Meteorol. Z., 18, 141–147, 2009. 955, 956, 957, 958



- Seaman, N. L. and Michelson, S. A.: Mesoscale Meteorological Structure of a High-Ozone Episode during the 1995 NARSTO-Northeast Study, J. Appl. Meteorol., 39, 384–398, 2000. 955
- Shapiro, A. and Fedorovich, E.: Nocturnal Low-Level Jet over a Shallow Slope, Acta Geophys., 57, 950–980, 2009. 955

5

- Shuqing, M., Hongbin, C., Gai, W., Yi, P., and Qiang, L.: A Miniature Robotic Plane Meteorological Sounding System, Adv. Atmos. Sci., 21, 890–896, 2004. 955, 958
- Spiess, T., Bange, J., Buschmann, M., and Vörsmann, P.: First application of the meteorological Mini-UAV ' M²AV', Meteorol. Z., 16, 159–169, 2007. 955
- van den Kroonenberg, A., Martin, T., Buschmann, M., Bange, J., and Vorsmann, P.: Measuring the Wind Vector Using the Autonomous Mini Aerial Vehicle M²AV, J. Atmos. Ocean. Tech., 25, 1969–1982, 2008. 955, 956, 958
 - van den Kroonenberg, A., Martin, S., Beyrich, F., and Bange, J.: Spatially-Averaged Temperature Structure Parameter Over a Heterogeneous Surface Measured by an Unmanned Aerial Vehicle. Bound.-Lav. Meteorol., 142, 55–77, 2012, 955, 956
- Vehicle, Bound.-Lay. Meteorol., 142, 55–77, 2012. 955, 956
 Wexler, H.: A Boundary Layer Interpretation of the Low-Level Jet, Tellus, 13, 368–378, 1961.
 955
 - White, A., Templeman, B., Angevine, W., Zamora, R., King, C., Russell, C., Banta, R., Brewer,W., and Olszyna, K.: Regional contrast in morning transitions observed during the 1999
- Southern Oxidants Study Nashville/Middle Tennessee Intensive, J. Geophys. Res., 107, 4726–4737, 2002. 966



Discussion Pa	GID 2, 953–979, 2012 Wind retrieval algorithms for small UASs T. A. Bonin et al.					
per Discussio						
n Pape	Title Page					
Pr	Abstract	Introduction				
	Conclusions	References				
iscussi	Tables	Figures				
on P	I	۶I				
aper		Þ				
-1	Back	Close				
Discussion	Full Scre	Full Screen / Esc Printer-friendly Version				
n Paper	Interactive Discussion					

Table 1. Root mean squared errors (RMSE) for algorithms compared to rawinsonde observations for all flights within 1 h of rawinsonde launch time.

Algorithm	Wind Speed $(m s^{-1})$	Wind Direction (degrees)	u-comp (m s ⁻¹)	v-comp (m s ⁻¹)	V (m s ⁻¹)
Best curve fitting	1.14	16.08	1.18	1.29	1.75
No-flow-sensor	1.24	14.83	1.07	1.33	1.71
Paparazzi	1.35	15.90	1.31	1.43	1.94









Fig. 2. A trace of a flight path on 17 November 2011. The shading indicates the SMARTSonde's ground relative speed ($m s^{-1}$). On this day, the wind direction was northerly, becoming more easterly with height. The winds affect on the plane's speed can be seen, as the plane moved faster with a tailwind and slower with a headwind.





Fig. 3. Example fitting of the equation in the best curve fitting method. This corresponds to the circle between 207 m and 218 m above ground level (a.g.l.). The curve indicates the best fit of Eq. (1) and the circles represent the data from the GPS unit. Airspeed (*a*) is marked by the solid line, wind speed (*v*) is noted by the arrows, and wind direction (θ) is shown by the dash-dotted line.





Fig. 4. Derived wind profile by using the best curve fitting method on the flight shown in Fig. 2.





Fig. 5. Four examples of typical wind profiles using the different algorithms compared against the NWC Mesonet observation at 10 m (NWC, square) and the rawinsonde (OUN, solid black line with asterisks). NFS is the no-flow-sensor (dashed black line), PPRZ is the paparazzi output (solid grey line), Fitting is the best curve fitting method (dashed grey line).







Fig. 6. Comparisons of wind profiles from a *Scintec* sodar (black solid line) with derived wind speeds and directions from SMARTSonde flights. Algorithm acronyms in the legend are same as in Fig. 5. Note that the NFS algorithm, which is a black dashed line in Fig. 5, is dotted here for visibility. Data from **(a)** and **(b)** were taken on 31 October 2011 at 10:15 local time (LT) while data from **(c)** and **(d)** were taken on 17 November 2011 at 08:36 LT.



Fig. 7. SMARTSonde observations of the morning of 6 March 2011 from four sequential flights after sunrise. Provided is **(a)** potential temperature, **(b)** specific humidity, **(c)** wind profile using the best-curve fitting method, and **(d)** computed Richardson number. Local times for each flight is provided in the legend. Sunrise occurred at 06:53 LT.

