



Soil Salinity Mapping and Hydrological Drought Indices Assessment in Arid Environments Based on Remote Sensing Techniques

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Abstract

Vegetation indices are mostly described as crop water derivatives. Normalized Difference Vegetation Index (NDVI) is one of the oldest remote sensing applications that widely used to evaluate crop vigor directly and crop water relationships indirectly. Recently, several NDVI derivatives are exclusively used to assess crop water relationships. Four hydrological drought indices are examined in the current research study. Water Supply Vegetation Index (WSVI), Soil Adjusted Vegetation Index (SAVI), Moisture Stress Index (MSI) and Normalized Difference Infrared Index (NDII) are implemented in the current study as an indirect tool to map the effect of different soil salinity level on crop water stress in arid environments. In arid environments; such as Saudi Arabia, water resources are under pressure especially groundwater levels. Groundwater wells are rapidly depleted due to the heavy abstraction of the reserved water. Heavy abstractions of groundwater; which exceed crop water requirements in most of the cases are powered by high evaporation rates in the designated study area because of the long days of extremely hot summer. Landsat OLI-8 data were extensively used in the current research to obtain several vegetation indices in response to soil salinity in Wadi Ad-Waser. Principal Component Analysis and Artificial Neural Network Analysis are complementary tools to understand the regression pattern of the hydrological drought indices in the designated study area.

Keywords: Arid Environment, Remote Sensing techniques, Soil Salinity Mapping, Vegetation Indices.



30 1. Introduction

31 Remote sensing data considered to be a convenient source to perfume several vegetation indices
32 in either simple or complicated band ratio combinations. Satellite images offer a large amount of
33 data that could be analyzed, processed and stored to better understand several vegetation indices
34 based on the type of the satellite sensor used (Govaerts et al., 1999; Pinty et al., 2009).
35 Hypothetical backgrounds have been implemented to improve and enhance the optimization of
36 particular satellite sensor to support certain vegetation indices (Verstraete et al., 1996; Gobron et
37 al., 2000; Psilovikos and Elhag, 2013).

38 Spectral vegetation indices are mathematical combinations of different spectral bands mostly in
39 the visible and near-infrared regions of the electromagnetic spectrum. Vegetation activities can
40 be measured comprehensively through semi-analytical methods of spectral band ratios that have
41 been extensively used to detect not only seasonal variability of the vegetation cover but also
42 local scale spatial variability (Broge and Mortensen, 2002; Xiao et al., 2002).

43 The generic principle of utilizing vegetation indices is to improve the interpretation of the
44 spectral data reflected from a vegetation cover. Spectral reflectance variabilities tend to
45 differentiate between different vegetation characteristics based on crop water relationships and
46 other surrounding features of soil components and atmosphere based on the maximization of
47 vegetation characteristics over the surrounding environments (Moulin and Guerif, 1999; Boegh
48 et al., 2002). Color, roughness, and water content are mainly the soil components that affect soil
49 spectral reflectance (Curran, 1983a, b; Bouman and Tuong, 2001).

50 Soil parameter variation tends to draw a line on a plenary scattergram. Nevertheless, this line
51 used as a reference point and known as “soil line” in vegetation studies involved both Red and
52 Infrared spectral bands (Colombo et al., 2003, Elhag, 2014a, b). Utilization of vegetation indices



53 has been challenged always by the major difficulty which is the minimization of soil component
54 interferences and sensitivity maximization of atmospheric variations (Leprieur et al., 1994; Qi et
55 al., 1994). Atmospherically Resistant Vegetation Index (ARVI) developed by Kaufman and
56 Tanré (1992) and the Global Environmental Monitoring Index (GEMI) developed by Pinty and
57 Verstraete (1991) are the less sensitive vegetation indices to the atmospheric variation. On the
58 other hand, Qi et al. (1994) reported that the (GEMI) is soil noise sensitive. Higher noise
59 sensitivity of GEMI has completely disabled the index and classifies it to arid region inadequate.
60 Implementations of vegetation indices are varied from a local leaf scale to continental vegetation
61 scale. Moreover, certain indices tend to be site and/or species specific (Clevers, 1989; Elhag
62 2014a) and it can't be applied not only to different species but also different leave structure and
63 canopies geometry (Xiao et al., 2002). Scholarly work of Kerr, and Ostrovsky (2003), Pettorelli
64 et al. (2005), Huete et al. (2008) and Elhag (2014b) reported that several vegetation indices used
65 to estimate different vegetation parameters extensively includes: Leaf Area Index (LAI),
66 Fractional Vegetation Cover (FC), Crop Water Shortage Index (CWSI), Drought Severity Index
67 (DSI) and Water Supply Vegetation Index (WSVI).

68 Soil salinization is a dynamic process arises basically when an excess of irrigational water is
69 frequently used in the drainage capacity of the fields (Wardlow and Egbert, 2010).
70 Implementations of remote sensing techniques in soil salinity mapping achieved comprehensive
71 results on the regional scale (Montandon and Small, 2008). Brightness Index (BI), Normalized
72 Difference Salinity Index (NDSI) and Salinity Index (SI), are widely distinguished in soil salinity
73 mapping in an arid environment (Douaoui et al., 2006; Jiapaer et al., 2011). Current research
74 aims to evaluate the suitability of different vegetation indices for a different level of remotely
75 sensed soil salinity with contrasting to crop water relationships in Wadi Ad-Wasser.



76 2. Materials and methods

77 2.1. Study area

78 The study area, Wadi Ad Dawasir town is located in the plateau of Najd at Lat 44° 43' and Lon
79 20° 29'; about 300 km south of the capital city Riyadh. The study area illustrated in Figure 1 is
80 comprised of gravelly tableland disconnected by insignificant sandy oases and isolated mountain
81 bundles. Across the Arabian Peninsula as a whole, the tableland slopes toward the east from an
82 elevation of 1,360 meters in the west to 750 meters at its easternmost limit. Wadi Ad Dawasir
83 and Wadi ar Rummah the most important pattern of the ancient riverbeds remains in the study
84 area. Wadi Ad Dawasir and Najran regions are the major irrigation water abstraction from Al-
85 Wajid aquifer. Agriculture in Wadi Ad Dawasir area consists of technically highly developed
86 farm enterprises that operate modern pivot irrigation system. The size of center pivot ranges
87 from 30 ha to 60 ha with farms managing hundreds of them with the corresponding number of
88 wells. The main crop grown in winter is wheat and occasionally potatoes, tomatoes or melons.
89 All year fodder consists of alfalfa, which is cut up to 10 times a year for food. Typical summer
90 crops for fodder are sorghum and Rhodes grass, which is perennial, but dormant in winter. The
91 shallow alluvial aquifers could not sustain the high groundwater abstraction rates for a long time
92 and groundwater level declined dramatically in most areas. Meteorological features of the area
93 are speckled. Five elements of meteorology are constantly recorded through fixed weather
94 station located within the study area. Temperature varies from 6° C as minimum temperature to
95 43° C as maximum temperature. Relative humidity is mostly stable at 24 %. Solar radiation of
96 average sunrise duration is generally 11 hrs/day. Average wind speed is closer to 13 km/hr and
97 may reach up to 46 km/hr in thunderstorm incidents. Finally, mean annual rainfall is about 37.6
98 mm (Al-Zahrani and Baig, 2011).



Figure 1. Location of the study area (Elhag, 2016).

2.2. Methodological framework

The current research work is based on assessing a regression correlation between different vegetation indices and their spatial corresponding soil salinity values conducted from satellite images. Principal Component Analysis is the undertaken tool to envisage the impacts of Soil Salinity on the current vegetation.

2.3. Estimation of vegetation indices

2.3.1. Water Supply Vegetation Index (WSVI):

$$WSVI = NDVI/T_s \quad (1)$$

Where

T_s is the brightness temperature channel or related remote sensing imagery estimated. The smaller this index is, the more severe the drought is.

2.3.2. Soil Adjusted Vegetation Index (SAVI):

$$SAVI = \frac{(NIR-R)}{(NIR+R)*(1+L)} \quad (2)$$

Where,

NIR is the Near Infrared band

R is the Red band

L is the is the soil brightness correction factor, commonly $L = 0.5$, (Huete, 1988).



119 2.3.3. *Moisture Stress Index (MSI):*

$$120 \quad MSI = \frac{SWIR_1}{NIR} \quad (3)$$

121 Where

122 $SWIR_1$ is the Short-wave Infrared band 1

123 NIR is the Near Infrared band

124 2.3.4. *Normalized Difference infrared Index (NDII):*

$$125 \quad NDII = \frac{(NIR - SWIR_1)}{(NIR + SWIR_1)} \quad (4)$$

126 Where

127 NIR is the Near Infrared band

128 $SWIR_1$ is the Short-wave Infrared band 1.

129

130 2.4. Estimation of soil salinity index

131 Soil salinity indices are principally adjusted to detect salt mineral in soils based on the different
 132 responses of salty soils to various spectral bands. The following equation to map soil salinity was
 133 used after Elhag (2016):

$$134 \quad SI = (G \times R) / B \quad (5)$$

135 Where,

136 B is the Blue band

137 G is the Green band

138 R is the Red band



139 2.5. Regression Analyses

140 The purpose of the regression analyzes is to envisage the regression potentials between soil
 141 salinity index from one side and the rest of the hydrological drought indices from the other side.
 142 Principle Component Analysis (PCA) and Artificial Neural Network (ANN) were the
 143 implemented approaches. The PCA is to transform a set of likely correlated with unlikely
 144 correlated variables. Principal components number is less/equal to the variables original number.
 145 Following Lorenz (1956), PCA fundamental equations are:

146 First vector $W_{(1)}$ has to be answered as following:

$$147 \quad w_{(1)} = \arg \max_{\|w\|=1} \{\sum_i (t_1)_{(i)}^2\} = \arg \max_{\|w\|=1} \{\sum_i (x_i \cdot w)^2\} \quad (6)$$

148 The matrix form of the above equation gives the following:

$$149 \quad w_{(1)} = \arg \max_{\|w\|=1} \{\|Xw\|^2\} = \arg \max_{\|w\|=1} \{w^T X^T Xw\} \quad (7)$$

150 $W_{(1)}$ has to be answered as following:

$$151 \quad w_{(1)} = \arg \max \left\{ \frac{w^T X^T Xw}{w^T w} \right\} \quad (8)$$

152 Originated $w_{(1)}$ suggests that first component of a data vector $x_{(i)}$ can then be expressed as a score
 153 of $t_{1(i)} = x_{(i)} \cdot w_{(1)}$ in the transformed co-ordinates, or as the corresponding vector in the original
 154 variables, $\{x_{(i)} \cdot w_{(1)}\} w_{(1)}$.

155 The neural network regression model is written as:

$$156 \quad Y = \alpha + \sum_h w_h \phi_h(\alpha_h + \sum_{i=1}^p w_{ih} X_i) \quad (9)$$

157 Where

158 $Y = E(Y|X)$. This neural network model has 1 hidden layer but it is possible to have additional
 159 hidden layers.



160 The $\phi(z)$ function used is hyperbolic tangent activation function. It's used for logistic activation
 161 for the hidden layers.

$$162 \quad \phi(z) = \tanh(z) = \frac{1 - e^{-2z}}{1 + e^{-2z}} \quad (10)$$

163 It is significant that the final outputs to be linear not to constrain the predictions to be between 0
 164 and 1. A simple diagram of a skip-layer neural network is illustrated in Figure 2. The equation
 165 for the skip-layer neural network for regression is shown below.

$$166 \quad Y = \alpha + \sum_{i=1}^p \beta_i X_i + \sum_h w_h \phi_h(\alpha_h + \sum_{i=1}^p w_{ih} X_i) \quad (11)$$

167 It should be clear that these models are highly parameterized and thus, will tend to overfit the
 168 training data. Cross-validation is, therefore, critical to make sure that the predictive performance
 169 of the neural network model is adequate.

170 **Figure 2. Artificial Neural Network scheme with 1 hidden layer and 3 nodes.**

171 Recall the skip-layer neural network regression model looks like this:

$$172 \quad Y = \alpha + \sum_{i=1}^p \beta_i X_i + \sum_h w_h \phi_h(\alpha_h + \sum_{i=1}^p w_{ih} X_i) \quad (12)$$

173 However, this model most likely overfits the training data. Consequently, determination of the
 174 adequate performance of the ANNs model is a must. Five different criteria are used: the Pearson
 175 coefficient of correlation (R), the root mean square error (RMSE), the mean absolute Deviation
 176 (MAD), the negative log-likelihood and the unconditional sum of squares (SSE). Basically,
 177 RMSE is the examined parameter for comparability reasons. RMSE can be computed as:

$$178 \quad RMSE = \sqrt{\frac{1}{T_0} \sum_{t=1}^{T_0} (y_t - \hat{y}_t)^2} \quad (13)$$

179 Where t is the time index, \hat{y}_t and y_t are the simulated and measured values. Principally, the higher
 180 value of R and smaller values of RMSE ensure the better performance of the model.



181 3. Results and Discussion

182 Realization of the hydrological drought indices was exercised after a comprehensive remote
183 sensing data correction. Basically, atmospheric correction and spatial enhancement were
184 practiced utilizing Landsat 8 data acquired over the designated study area. The four hydrological
185 drought indices were shown in Figures 3 to 6. Stochastic algorithms of WSVI and SAVI
186 mapping (Figures 3 and 4) showed spatial coherence with a higher drought indices value within
187 the agricultural area rather than the surrounding (Ceccato et al., 2001; Daughtry et al., 2004).
188 On the contrary, MSI exercised as a deterministic drought index, it's nearly unaffected by
189 changing water content. Conducted results showed two classes of stresses, stressed and no stress.
190 The no stress class located within the agricultural area and the stressed area represented along the
191 agricultural peripheral areas (Figure 5) where higher values indicate greater water stress and less
192 water content. This could be explained rationally by the presence of irrigational sprinkles (Hunt
193 et al., 1989; Ceccato et al., 2001). NDII is also a stochastic algorithm and was exercised in the
194 current research due to the higher sensitivity of Infrared band to detect changes in water content
195 of plant canopies (Hardisky et al., 1983). Spatial distribution of NDII (Figure 6) was mapped
196 accordingly with WSVI and SAVI indices, in which higher NDII values means higher water
197 content (Jackson et al., 2004). There are several algorithms to map soil salinity based on
198 utilization of different remote sensing data as well as different ecological systems. An adequate
199 NDSI algorithm was carried out according to Elhag (2016) findings in arid ecosystems. In Figure
200 7, NDSI was mapped in the designated study area showed spatial variation of salted soils,
201 especially the new agricultural expansion at the southern west part of the designated study area
202 due to the sprinkle movement drove the accumulation of excess waters at the peripherals of the
203 agricultural areas (Lunetta et al., 2002; Konukcu et al., 2006).



204 **Figure 3. Water Supply Vegetation Index (WSVI) thematic map over the study area.**

205

206 **Figure 4. Soil Adjusted Vegetation Index (SAVI) thematic map over the study area.**

207

208 **Figure 5. Moisture Stress Index (MSI) thematic map over the study area.**

209

210 **Figure 6. Normalized Difference Infrared Index (NDII) thematic map over the study area.**

211

212 **Figure 7. Normalized Difference Salinity Index (NDSI) thematic map over the study area.**

213

214 Further statistical analyzes were carried out to construe the correspondences between salted soils
 215 and different horological drought indices. Regression analysis demonstrated in Figure 8 showed
 216 that salinity increases with lower WSVI and SAVI (Figure 8 a, b) which explained due to salt
 217 accumulation in soils. Under salinity stress conditions, there is no enough available water in soils
 218 for proper vegetation growth (Lunetta et al., 2002; Yang et al., 2011).

219 Generally, MSI values (Figure 8 c) are high in the study area because of the excess irrigation
 220 regime adopted to overcome the high evaporation rates (Elhag and Bahrawi, 2014; Elhag, 2016).

221 Excess irrigation regimes in poor drain soils lead to waterlogging problems and salts accusation
 222 (Elhag, 2016).

223 Due to NDII higher sensitivity to water, NDII values increases with higher NDSI values. Salts
 224 accumulation caused by excessive irrigation is the driving force behind the proportional
 225 increment of NDII values in conjunction with NDSI values demonstrated in Figure 8d (Jackson
 226 et al., 2004; Shishi et al., 2015).



227 **Figure 8. Regression analyzes pf NDSI (ppm) against horological drought indices.**

228

229 Figure 9 demonstrated the Principal Component Analysis along with the Factor Analysis.
 230 Moreover, eigenvalue decomposition is also demonstrated. WSVI and SAVI were grouped
 231 together. On the other hand, NDII and MSI were individually plotted against the former indices.

232

233 **Figure 9. Principle Component Analysis.**

234

235 Similar results conducted from the Scatter Plot Matrix and the companion correlation matrix
 236 shown in Figure 10 and Table 1. A high correlation is distinguished between WSVI and SAVI
 237 while negative correlation noted between WSVI and SAVI from one side and MSI AND NDII
 238 from the other side.

239 **Figure 10. Scatterplot Correlation Matrix.**

240

241 **Table 1. Correlation matrix.**

242

243 In Table 2, NDSI regression analysis shows that NDII is the proper fit based on different
 244 regression parameters (Rodgers and Nicewander, 1988). Spearman's correlation demonstrated in
 245 Table 3 supports PCA results. Hydrological drought indices were classified into two categories,
 246 MSI, and NDII in one category and WSVI and SAVI in the other one. The elements of each
 247 category are positively correlated. MSI and NDII were significantly correlated; WSVI and SAVI
 248 were highly correlated. Moreover, any other combinations of the four hydrological drought
 249 indices were not correlated.



250 The ANN analysis was carried out under 1 hidden layer, 3 nodes, and hyperbolic tangent
 251 activation function conditions. These conditions were carefully exercised to prevent the
 252 algorithm overfitting, ANN analysis is demonstrated in Table 4. NDII expressed the highest
 253 RMSE which indicates that NDSI and NDII are statistically the best fit ((Jiang, 2013). SAVI
 254 comes at the second best fit followed by WSVI. MSI failed to fit NDSI values comprehensively
 255 like the former hydrological drought indices (Jones and Marshall, 1992; Jiapaer et al., 2011).

256 **Table 2. Regression analysis.**

257

258 **Table 3. Spearman's correlation.**

259

260 **Table 4. Neural Network Analysis .**

261

262 **4. Conclusion**

263 The findings of the current research emphasized on the importance of the horological drought
 264 indices to envisage the adverse effects of salts accumulation in poorly drained soils. Remote
 265 Sensing techniques were satisfactory implement and interpreted in term of soil salinity mapping
 266 in consort with hydrological drought indices. Normalized Difference Infrared Index was
 267 statistically proved to be the Normalized Difference Salinity Index profound, followed by Soil
 268 Adjusted Vegetation Index and Water Shortage Vegetation Index respectively. Principal
 269 Component Analysis and Artificial Neural Network Analysis are complementary tools to
 270 understand the regression pattern of the hydrological drought indices in the designated study
 271 area. Further work needs to be considered towards the restrictiveness of the drastic effect of salts
 272 accumulation within the study area.



273 Acknowledgment

274 This work was supported by the Deanship of Scientific Research (DSR), King Abdulaziz University,
 275 Jeddah, under grant No. (155-36-1437D). The authors, therefore, gratefully acknowledge the DSR
 276 technical and financial support.

277

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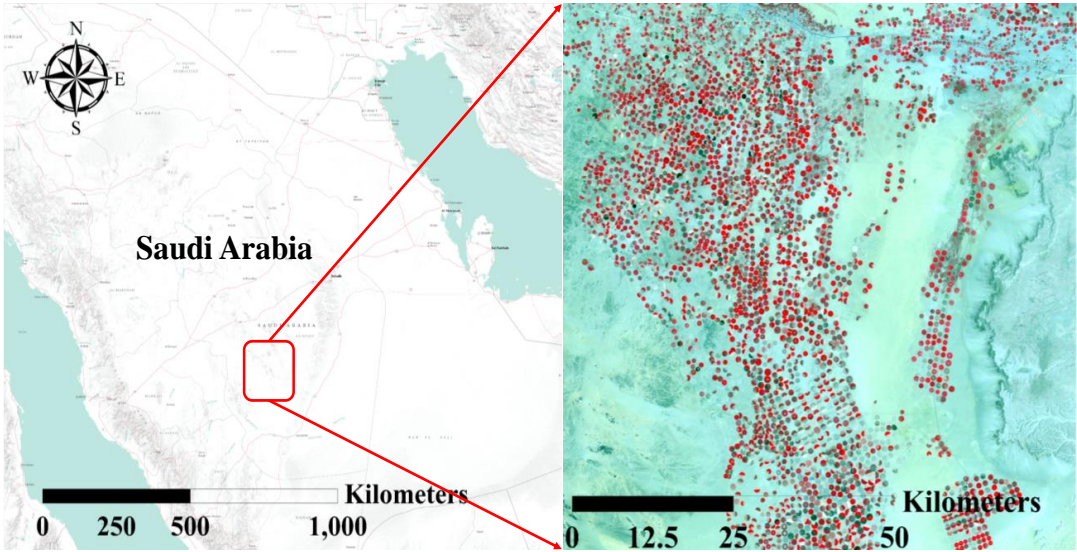


Figure 1. Location of the study area (Elhag, 2016).

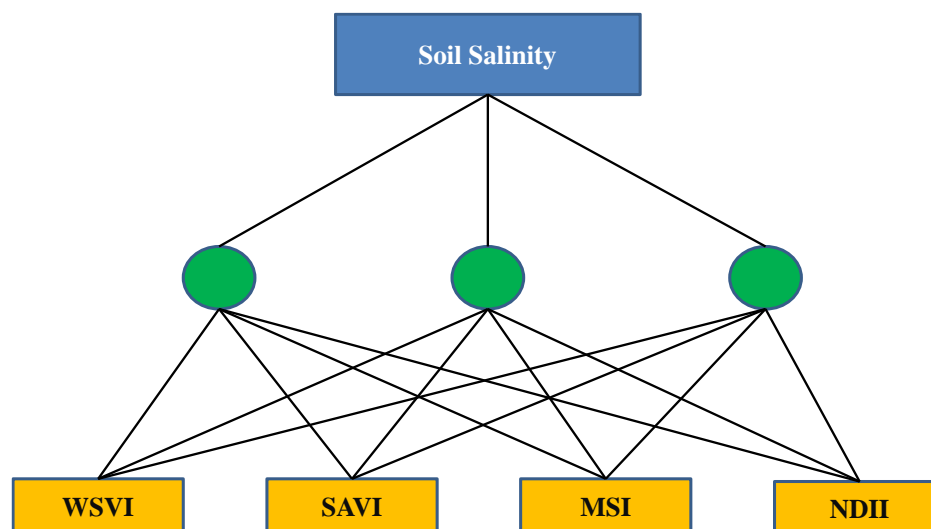
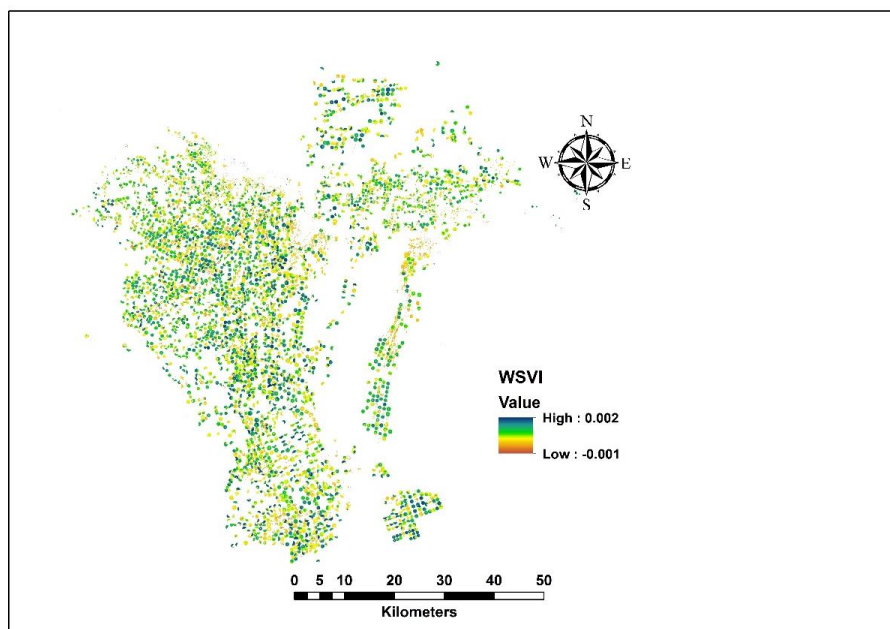


Figure 2. Artificial Neural Network scheme with 1 hidden layer and 3 nodes.



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437 **Figure 3. Water Supply Vegetation Index (WSVI) thematic map over the study area.**

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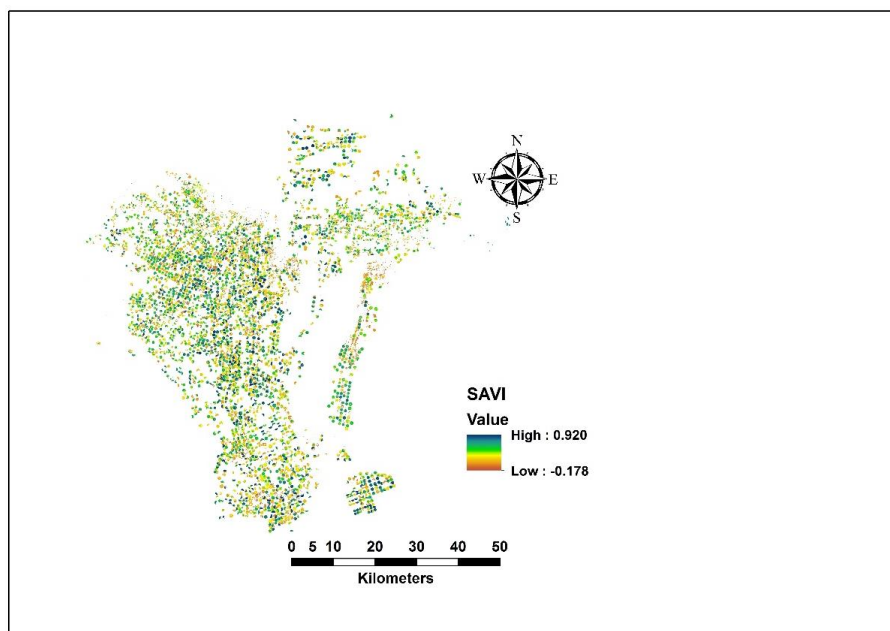


Figure 4. Soil Adjusted Vegetation Index (SAVI) thematic map over the study area.

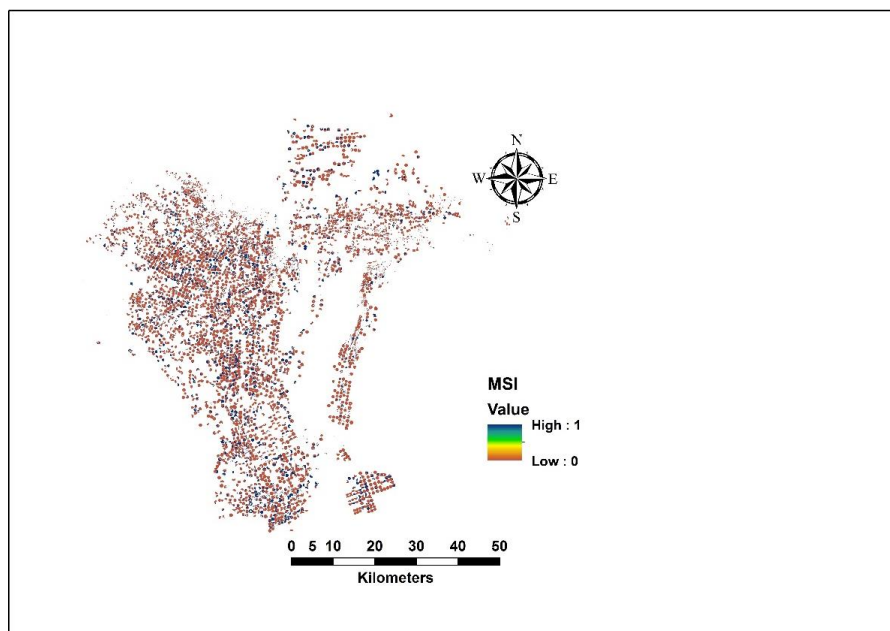
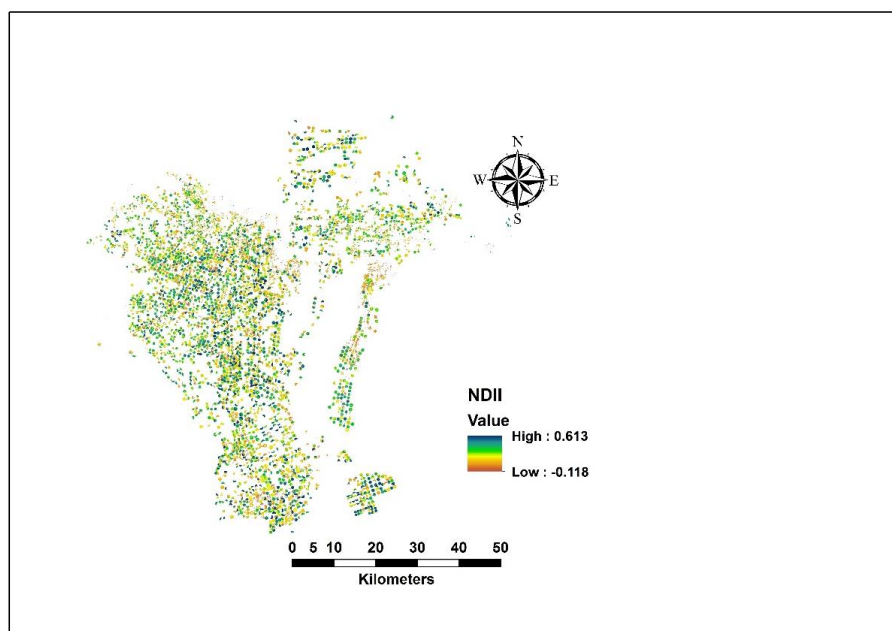


Figure 5. Moisture Stress Index (MSI) thematic map over the study area.



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449 **Figure 6. Normalized Difference Infrared Index (NDII) thematic map over the study area.**

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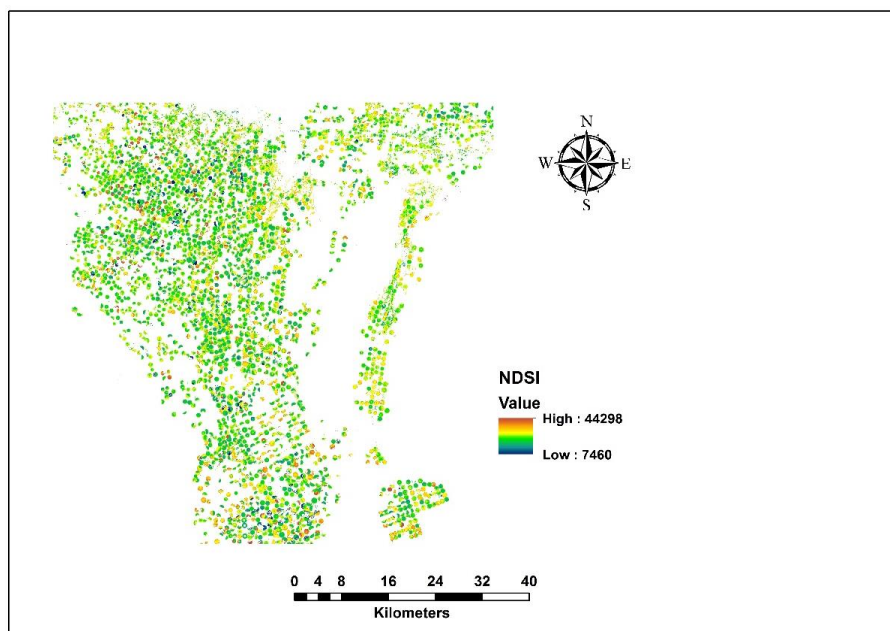
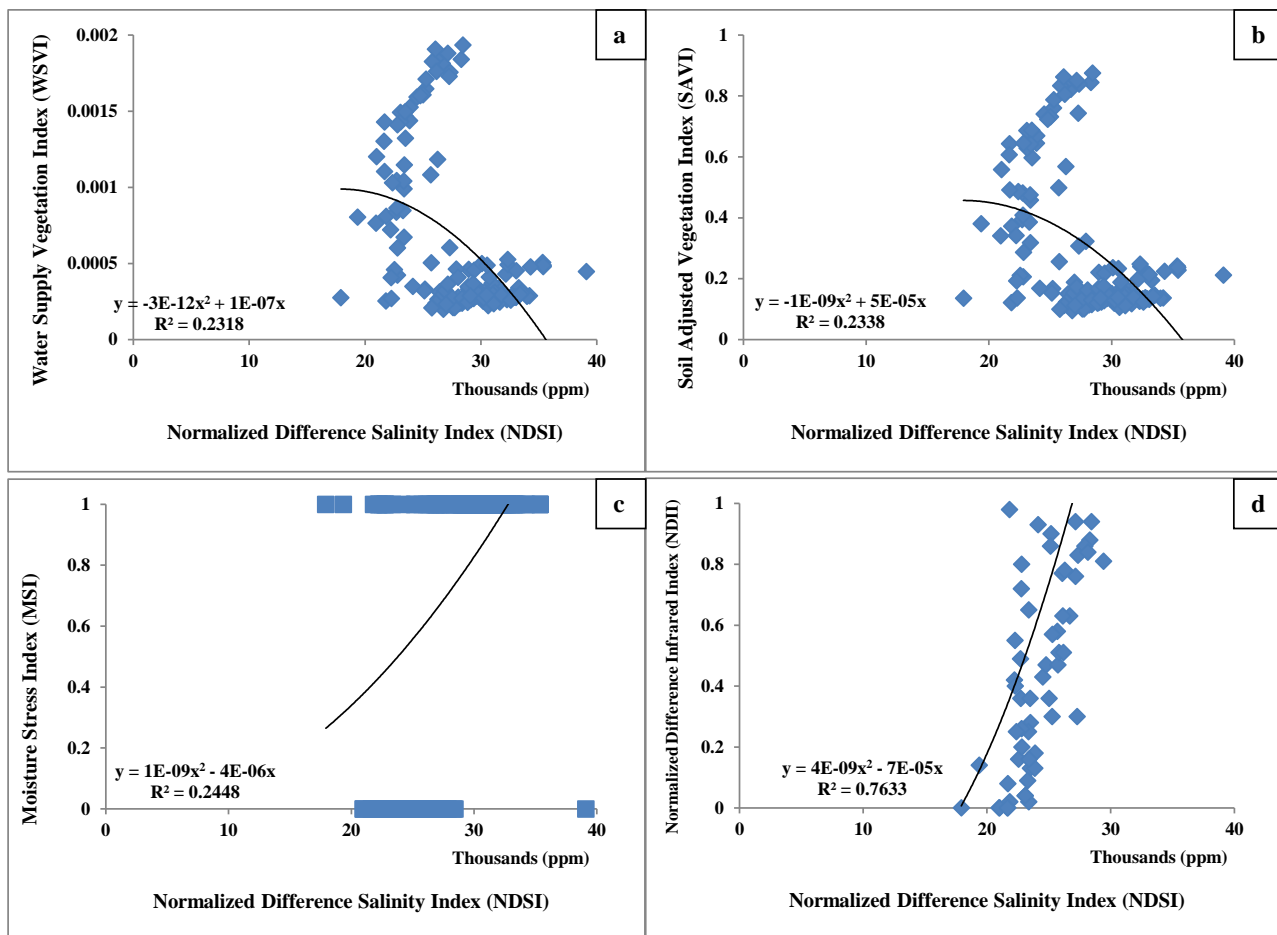


Figure 7. Normalized Difference Salinity Index (NDSI) thematic map over the study area.



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463 **Figure 8. Regression analyzes pf NDSI (ppm) against horological drought indices.**

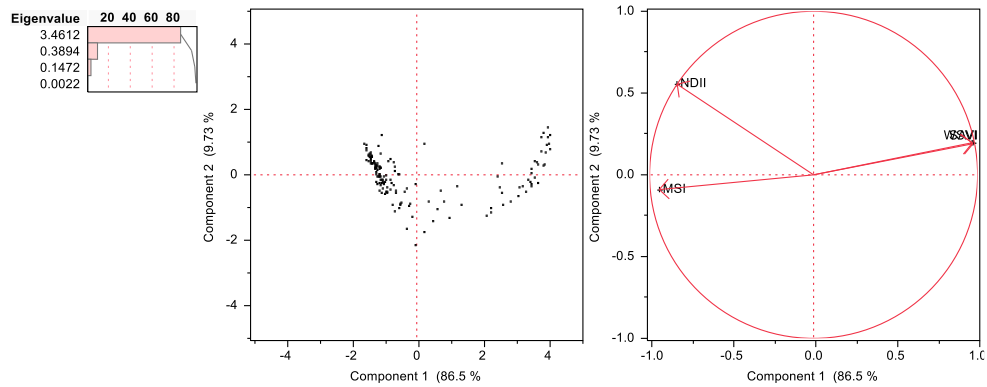


Figure 9. Principle Component Analysis.

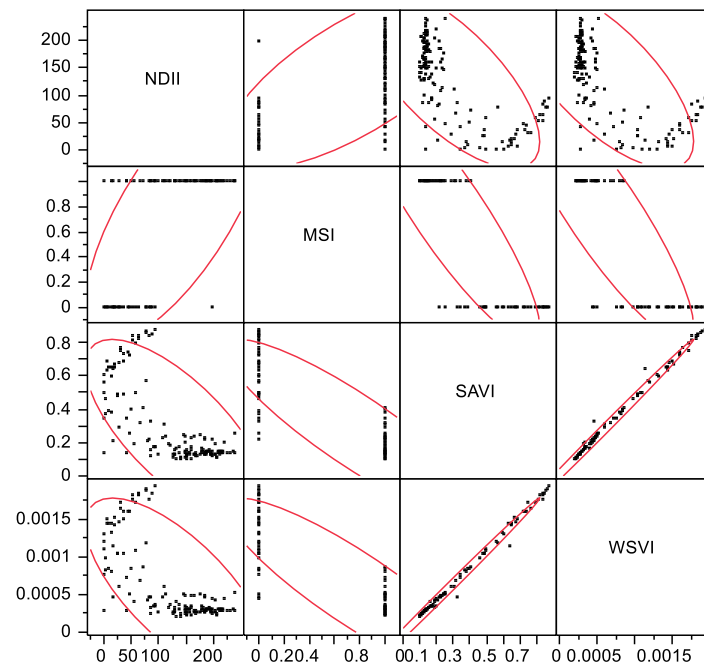


Figure 10. Scatterplot Correlation Matrix.



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Table 1. Correlation matrix.

	NDII	MSI	SAVI	WSVI
NDII	1	0.7182080406	-0.708975719	-0.703572559
MSI		1	-0.888156103	-0.88249756
SAVI			1	0.9977255509
WSVI				1



Table 2. Regression analysis.

	NDII	MSI	SAVI	WSVI
RSquare	0.798566127	0.254999657	0.246131379	0.243463225
RSquare Adj	0.797205088	0.249965871	0.241037672	0.23835149
Root Mean Square Error	31.88199207	0.384262574	0.202130562	0.000447112
Mean of Response	124.5466667	0.733333333	0.286361262	0.000611978
Observations (Sum Wgts)	150	150	150	150



Table 3. Spearman's correlation.

Variable	By Variable	Correlation	Count	Lower 95%	Upper 95%	Significance probability
MSI	NDII	0.7182	150	0.6305	0.7878	*
SAVI	NDII	-0.7090	150	-0.7805	-0.6191	NS
SAVI	MSI	-0.8882	150	-0.9178	-0.8487	NS
WSVI	NDII	-0.7036	150	-0.7763	-0.6124	NS
WSVI	MSI	-0.8825	150	-0.9136	-0.8412	NS
WSVI	SAVI	0.9977	150	0.9969	0.9984	**

* is significant, ** is highly significant, NS is non-significant



Table 4. Neural Network Analysis.

	Training Measures	Validation Measures		
NDII	RSquare	0.7574526	0.6698156	NDII 132.882
	RMSE	0.0999530	0.0972931	
	Mean Abs Dev	0.0571881	0.0436599	
	-LogLikelihood	-88.411680	-45.554430	
	SSE	0.9990600	0.4732975	
	Sum Freq	100	50	
MSI	RSquare	0.3032101	0.0893892	MSI 0.828517
	RMSE	0.2388872	0.1869959	
	Mean Abs Dev	0.1203075	0.0628425	
	-LogLikelihood	-1.2825260	-12.886510	
	SSE	5.7067096	1.7483727	
	Sum Freq	100	50	
SAVI	RSquare	0.7565419	0.6698155	SAVI 0.250643
	RMSE	0.1499295	0.1459397	
	Mean Abs Dev	0.0857822	0.0654899	
	-LogLikelihood	-47.865170	-25.28115	
	SSE	2.2478847	1.0649203	
	Sum Freq	100	50	
WSVI	RSquare	0.7533827	0.6619429	WSVI 0.000538
	RMSE	0.0003280	0.0003226	
	Mean Abs Dev	0.0001876	0.0001451	
	-LogLikelihood	-660.35100	-331.01460	
	SSE	1.08E-05	5.20E-06	
	Sum Freq	100	50	

