



1 **Evaluating four gap-filling methods for eddy covariance measurements of**
2 **evapotranspiration over hilly crop fields**

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20 **Abstract.** Estimating evapotranspiration in hilly watersheds is paramount for managing water
21 resources, especially in semi-arid regions. Eddy covariance (EC) technique allows continuous
22 measurements of latent heat flux LE. However, time series of EC measurements often
23 experience large portions of missing data, because of instrumental dysfunctions or quality
24 filtering. Existing gap-filling methods are questionable over hilly crop fields, because of
25 changes in airflow inclination and subsequent aerodynamic properties. We evaluated the
26 performances of different gap-filling methods before and after tailoring to conditions of hilly
27 crop fields. The tailoring consisted of beforehand splitting the LE time series on the basis of
28 upslope and downslope winds. The experiment was setup within an agricultural hilly
29 watershed in northeastern Tunisia. EC measurements were collected throughout the growth
30 cycle of three wheat crops, two of them located in adjacent fields on opposite hillslopes, and
31 the third one located in a flat field. We considered four gap-filling methods: the REddyProc
32 method, the linear regression between LE and net radiation Rn, the multi-linear regression of
33 LE against the other energy fluxes, and the use of evaporative fraction EF. Regardless of
34 method, the splitting of the LE time series did not impact the gap filling rate, and it might
35 improve the accuracies on LE retrievals in some cases. Regardless of method, the obtained
36 accuracies on LE estimates after gap filling were close to instrumental accuracies, and were
37 comparable to those reported in previous studies over flat and mountainous terrains. Overall,
38 REddyProc was the most appropriate method, for both gap filling rate and retrieval accuracy.
39 Thus, it seems possible to conduct gap-filling for LE time series collected over hilly crop
40 fields, provided the LE time series are beforehand split on the basis of upslope / downslope
41 winds. Future works should address consecutive vegetation growth cycles for a larger panel of
42 conditions in terms of climate, vegetation and water status.

43 **Keywords:** Eddy covariance; latent heat flux; gap filling; hilly terrain; airflow inclination;
44 energy balance closure.



45 **1. Introduction**

46 Actual evapotranspiration is the amount of water transferred to the atmosphere by plant
47 transpiration, soil evaporation, and vaporization of precipitation / condensation intercepted by
48 plant canopies (Zhang et al., 2016). It directly drives biomass production, as photosynthesis is
49 strongly linked to plant transpiration (Olioso et al., 2005). It is also a major term of land
50 surface energy balance, since it is energetically equivalent to latent heat flux LE (Montes et
51 al., 2014). Furthermore, it is a major term of water balance, since it represents up to 2/3 of the
52 annual water balance for semi-arid and subhumid Mediterranean climates (Moussa et al.,
53 2007; Yang et al., 2014). Therefore, determining actual evapotranspiration over land surfaces
54 is important for managing agricultural activities.

55 Using evapotranspiration measurements for environmental and water sciences requires
56 complete time series of latent heat flux LE at the hourly timescale, to be next converted into
57 daily, monthly or annual values (Falge et al., 2001a; Falge et al., 2001b). This is a prerequisite
58 for long-term studies in relation to global change, but also for short term studies in relation to
59 agricultural issues and modeling challenges. However, common time series of eddy
60 covariance (EC) measurements, which are nowadays considered as the reference method,
61 include missing data because of experimental troubles such as power failures or instrumental
62 dysfunctions. Also, unfavorable micro-meteorological conditions lead to reject significant
63 parts of data that do not fulfill theoretical requirements for EC measurements. Statistical
64 studies based on long-term measurements suggest that missing data rates range from 25 to
65 35% (Baldocchi et al., 2001; Falge et al., 2001a; Law et al., 2002), while data rejection rates
66 through quality control range from 20% to 60% (Papale et al., 2006). Therefore, gap-filling
67 methods are necessary to obtain continuous time series of land surface energy fluxes.

68 Most existing gap-filling methods were devoted to carbon dioxide (CO₂)
69 measurements (Aubinet et al., 1999; Falge et al., 2001a; Goulden et al., 1996; Greco and



70 Baldocchi, 1996; Grünwald and Bernhofer, 1999; Moffat et al., 2007; Reichstein et al., 2005;
71 Ruppert et al., 2006). Table 1 summarizes the few studies that addressed measurements of
72 latent heat flux LE (Abudu et al., 2010; Alavi et al., 2006; Beringer et al., 2007; Chen et al.,
73 2012; Cleverly et al., 2002; Eamus et al., 2013; Falge et al., 2001b; Hui et al., 2004; Papale
74 and Valentini, 2003; Rouspard et al., 2006; Zitouna-Chebbi, 2009). The most usual gap-filling
75 methods are Look-Up Tables (LUT) based methods, Mean Diurnal Variation (MDV) method
76 and multivariate approaches. LUT based methods consist in filling gaps with data collected
77 under similar meteorological conditions. MDV based methods consist in replacing missing
78 values by the mean obtained on adjacent days. Multivariate approaches (i.e., artificial neural
79 networks, principle component analysis, interpolations and regressions) consist in filling gaps
80 using linear or non-linear relationships that involve drivers of evapotranspiration such as
81 meteorological variables, soil water content or net radiation. Prior to gap filling, time series
82 are often split in different ways according to the experimental conditions (e.g., nighttime /
83 daytime, wind directions, vegetation phenology, weekly or monthly time windows), so that
84 missing data are filled with observations collected in similar conditions for
85 micrometeorology, vegetation phenology and water status. Overall, gap-filling methods for
86 LE time series have been evaluated over flat, hilly and mountainous areas. However, the
87 existing studies for hilly areas did not address their specific conditions (Hui et al., 2004), or
88 they restricted the investigations to one gap-filling method only (Zitouna-Chebbi, 2009).

89 [Table 1 about here]

90 Hilly watersheds are widespread within coastal areas around the Mediterranean basin,
91 as well as in Eastern Africa, India and China. They experience agricultural intensification
92 since hilly topographies allow water-harvesting techniques that compensate for precipitation
93 shortage (Mekki et al., 2006). Their fragility is likely to increase with climate change and
94 human pressure, especially as water scarcity already limits crop production. Thus,



95 understanding evapotranspiration processes within hilly watersheds is paramount for the
96 design of decision support tools devoted to water resource management (McVicar et al.,
97 2007).

98 Gap-filling methods for LE have to be designed in accordance with the terrain
99 specificities that impact evapotranspiration. Conversely to flat terrains that correspond to
100 slope lower than 2% (Appels et al., 2016), solar and net radiations within sloping terrains
101 change depending on slope orientation, with larger values for ecliptic-facing slopes (Holst et
102 al., 2005). Over sloping terrains, the conditions of topography and airflow within the
103 atmospheric boundary layer (ABL) are very different for hilly areas as compared to
104 mountainous areas. Regarding topography, hilly areas depict lower slopes on average, and
105 Prima et al. (2006) proposed a threshold value of 22%. Regarding atmospheric stability, hilly
106 areas rise over small fractions of the daytime ABL, and the overlying airflows are slightly
107 influenced by stratification, which corresponds to neutral or instable conditions (Raupach and
108 Finnigan, 1997). Regarding wind regimes, externally driven winds are more frequent within
109 hilly areas, as compared to mountainous areas with anabatic and katabatic flows (Hammerle
110 et al., 2007; Hiller et al., 2008), and wind regimes differ much between the upwind and lee
111 sides of hills (Dupont et al., 2008; Raupach and Finnigan, 1997). Therefore, the relationships
112 on which rely the existing gap-filling methods, mostly co-variation of convective fluxes with
113 meteorological variables or temporal auto-correlation of the convective fluxes, are likely to
114 change with wind direction and vegetation development within hilly areas, because of
115 changes in airflow inclination (Zitouna-Chebbi et al., 2012; 2015), and therefore changes in
116 aerodynamic properties (Blyth, 1999; Rana et al., 2007).

117 In the context of obtaining continuous time series of evapotranspiration from EC
118 measurements of latent heat flux LE, the current study aimed to examine and compare LE
119 gap-filling methods over hilly crop fields. For this, we evaluated the performances of different



120 methods before and after tailoring to the conditions of hilly crop fields. We used the following
121 methodological framework.

- 122 • The experiment was set within a Tunisian agricultural hilly watershed with rainfed crops.
123 It included the data collection and preprocessing, the analysis of the experimental
124 conditions, and the analysis of the dataset to be filled.
- 125 • We considered several gap-filling methods that differ in the use of ancillary information,
126 either micrometeorological data or energy flux data other than LE. Given the possible
127 influence of airflow inclination, the gap-filling methods were tailored by splitting the
128 dataset on the basis of airflow inclination as driven by wind direction.
- 129 • We assessed the performances of the gap-filling methods by addressing (1) filling rate as
130 compared to missing data after preprocessing, (2) retrieval accuracy on filled data, and
131 (3) quality of gap-filled time series through energy balance closure.

132 **2. The experiment: study site and materials**

133 **2.1. Experimental site**

134 The Lebna watershed is located in the Cap Bon Peninsula, northeastern Tunisia. It extends
135 from the Jebel Abderrahmane to the Korba Laguna, and includes the Kamech watershed
136 (outlet at 36°52'30"N, 10°52'30"E, 108 m asl) that has an area of $2.7 \times 0.9 \text{ km}^2$ (Figure 1). The
137 El Gameh wadi crosses Kamech from the northeast to the southwest. A hilly dam (140000 m³
138 nominal capacity) is located at the watershed outlet. The Kamech watershed belongs to the
139 environmental research observatory OMERE (French acronym for Mediterranean
140 Observatory of Water and Rural Environment, <http://www.umr-lisah.fr/omere>).

141 [Figure 1 about here.]

142 The climate of the Kamech watershed is sub-humid Mediterranean. Over the [1995-
143 2014] period, yearly precipitation and Penman-Monteith reference crop evapotranspiration



144 (Allen et al., 1998) are 624 mm and 1526 mm, respectively. Terrain elevation ranges from
145 94 m asl to 194 m asl, and terrain slopes range between 0% and 30%, the quartiles being 6%,
146 11% and 18% (Zitouna-Chebbi et al., 2012). The soils have sandy-loam textures, and soil
147 depth ranges from few millimeters to two meters according to both the location within the
148 watershed and the local topography. These swelling soils exhibit shrinkage cracks under dry
149 conditions during the summer (Raclot and Albergel, 2006).

150 Within the Kamech watershed, agriculture is rainfed, traditional and extensive (Mekki
151 et al., 2006). Main crops are winter cereals (barley, oat, triticale, wheat), and legumes
152 (chickpeas, favabeans). Land use and parcels are strongly related to topography and soil
153 quality. The watershed includes 273 plots which sizes range from 0.08 to 13.65 ha (0.62 ha on
154 average, with a standard deviation of 1.05 ha).

155 **2.2. Measurement locations and experimental period**

156 Three flux stations simultaneously collected measurements of energy fluxes and
157 meteorological variables within three wheat crop fields (Figure 1): two sloping fields (A, B)
158 and a flat field (C).

159 Field A was located on the northern rim of the Kamech watershed. It had a fairly
160 homogeneous terrain slope (6°) that faced south-southeast, and a 1.2 ha area. Field B was
161 adjacent to field A, on the opposite hillside. It also had a homogeneous slope (5.2°) that faced
162 north, and had a 1 ha area. Fields A and B were separated by the northwestern limit of the
163 Kamech watershed. Field C was located in the southeastern part of the Kamech watershed. It
164 had a flat terrain and a 5 ha area. A meteorological station (labeled M in Figure 1) was located
165 near the watershed outlet.



190 installed at 2 m above ground level (1 m for the pyranometer). All instruments were
191 connected to a CR10X data-logger (Campbell Scientific, USA) that calculated and stored the
192 30-minute averaged values from the 1 Hz frequency measurements.

193 All instruments were manufacturer-calibrated. Hereafter in the paper, we focused on
194 daytime measurements, since nighttime values of sensible and latent heat fluxes are small at
195 the daily timescale.

196 **2.4. Data processing: calculation of net radiation and soil heat flux**

197 On fields A and B, the measurements of net radiation (R_n) were corrected for the effect of
198 slope following the procedure proposed by Holst et al. (2005). Details are given in Zitouna-
199 Chebbi et al. (2012) and Zitouna-Chebbi et al. (2015). Only direct solar irradiance was
200 corrected by accounting for the angle between solar direction and the normal to local
201 topography. Direct solar irradiance was empirically derived from total solar irradiance
202 measured at the flux station. We characterized local topography with slope (topographical
203 zenith with nadir as origin) and aspect (topographical azimuth with north as origin), both
204 derived from a four-meter spatial resolution DEM obtained with a stereo pair of Ikonos
205 images (Raclot and Albergel, 2006). The correction for slope effect on R_n was about
206 50 W m^{-2} on average.

207 For each flux station, soil heat flux (G) was estimated by averaging the measurements
208 collected with the three soil heat flux sensors. We did not apply any correction for heat
209 storage between the surface and the sensors for several reasons. First, the existing solutions
210 are questionable when considering swelling soils that exhibit shrinkage cracks under dry
211 conditions during the summer, since they require detailed and stable experimental protocols
212 (Leuning et al., 2012). Second, the experiment lasted throughout wheat growth cycles without
213 any flood event that are critical for heat storage correction. Third, neglecting the heat storage
214 in the soil above the heat flux plates induces errors on soil heat flux estimates that are not



215 systematically large, since they range between 20 and 50 W m⁻² on average (20-50% relative
216 to measured value), as reported by Foken (2008).

217 **2.5. Data processing: calculation of convective fluxes**

218 Sensible (H) and latent (LE) heat fluxes were calculated from the 20 Hz data collected by the
219 sonic anemometers and the krypton hygrometers, using the ECPACK library version 2.5.22
220 (Van Dijk et al., 2004). H and LE fluxes were calculated over 30 minute intervals.

221 **2.5.1. Flux calculation**

222 Most of the instrumental corrections proposed in the aforementioned version of the ECPACK
223 library were applied. These corrections addressed (1) the calibration drift of the krypton
224 hygrometer using air humidity and temperature measured by the HMP45C probe; (2) the
225 linear trends over the 30-min intervals; (3) the effect of humidity on sonic anemometer
226 measurement of temperature; (4) the hygrometer response for oxygen sensitivity; (5) the mean
227 vertical velocity (Webb term); (6) the corrections for path averaging and frequency response
228 (spectral loss); and (7) the rotation correction for airflow inclination (see Section 2.5.2).

229 **2.5.2. Coordinate rotations**

230 When calculating energy fluxes with the EC method, it is conventional to rotate the
231 coordinate system of the sonic anemometer (Kaimal and Finnigan, 1994). Coordinate
232 rotations were originally designed to correct the vertical alignment of the sonic anemometer
233 over flat terrains, and they are commonly used over non-flat terrains to virtually align the
234 sonic anemometer perpendicularly to the mean airflow, in an idealized homogeneous flow.
235 Common rotation methods are the double rotation and the planar fit method. In both methods,
236 the anemometer is virtually rotated around its vertical axis (yaw angle) to cancel the lateral
237 component of the horizontal wind speed.



238 The planar fit and double rotation methods calculate the rotations in different ways. In
239 the planar fit method (Wilczak et al., 2001), a mean streamline plane is evaluated by multi-
240 linear regression of the vertical wind speed (w) against the two horizontal components of the
241 wind speed (u and v). This multi-linear regression is applied over long periods, usually
242 several days or weeks. The double rotation method is applied to each time interval over which
243 the convective fluxes are calculated (30 minutes in our case). After the first rotation that
244 cancels the lateral component of the horizontal wind speed (yaw angle, see previous
245 paragraph), a second rotation (pitch angle) is applied around a horizontal axis perpendicular to
246 the main wind direction, to cancel the mean vertical wind speed. Thus, it implicitly accounts
247 for changes in wind direction and vegetation height that are likely to be constant over 30-
248 minute intervals.

249 Both double rotation and planar fit methods have advantages and drawbacks. On the
250 one hand, a significant variability in rotation angles can be observed at low wind speeds with
251 the double rotation method (Turnipseed et al., 2003). On the other hand, the planar fit method
252 must be applied for different sectors of wind direction and for different intervals of vegetation
253 height in case of sloping terrains and changes in vegetation height (Zitouna-Chebbi et al.,
254 2012; 2015). Since our study area was typified by large wind speeds (Zitouna-Chebbi et al.,
255 2012; 2015), we selected the double rotation method.

256 **2.5.3. Data quality assessment**

257 Several quality criteria for flux measurements have been proposed in the literature. The most
258 commonly used are the steady-state (ST) test and the integral turbulence characteristics (ITC)
259 test (Foken and Wichura, 1996; Geissbühler et al., 2000; Hammerle et al., 2007; Rebmann et
260 al., 2005). These tests verify that the theoretical requirements for the EC measurements are
261 fulfilled. The ST test assesses the homogeneity of turbulence over time, while the ITC test
262 assesses the spatial homogeneity of turbulence. Although established over flat terrains, they



263 have been used for long over mountainous terrains (Hammerle et al., 2007; Hiller et al., 2008)
264 and more recently over hilly terrains (Zitouna-Chebby et al., 2012; 2015), because there is no
265 specific test for relief conditions.

266 Quality classes were assigned to each half-hourly flux data according to the results of
267 the two tests. For this, we followed the classification proposed by Foken et al. (2005) and
268 Rebmann et al. (2005). H and LE flux data belonging to the quality class I could be used for
269 turbulence studies. H and LE flux data belonging to classes II to IV could be used for long-
270 term flux measurements. Finally, we rejected H and LE flux data belonging to class V that
271 correspond to both $ST > 0.75$ and $ITC > 2.5$.

272 Regarding footprint, the flux contributions were likely to originate from the target
273 fields, regardless of wind direction and vegetation height. On the one hand, experimental
274 conditions (measurement height, field size, vegetation height and micrometeorology) were
275 similar to those indicated in Zitouna-Chebby et al. (2012) and Zitouna-Chebby et al. (2015).
276 On the other hand, the latter reported that calculated flux contribution from the target fields
277 were about 75%-80% throughout three one-year duration experiments. In the next section, we
278 address the vegetation and micrometeorological conditions, as well as the subsequent
279 relevance of measurement height.

280 **2.6. Experimental conditions**

281 **2.6.1. Climate forcing and wind regime**

282 During the experiment that lasted from December 2012 to June 2013, the meteorological
283 station (M) recorded a cumulative precipitation of 563 mm. Over the same period, the
284 reference evapotranspiration ET_0 recorded by the meteorological station ranged between
285 1.1 and 5.8 mm day⁻¹ at the daily timescale, with a cumulated value of 510 mm.



286 The wind speed value recorded during the experimental period by the meteorological
287 station was 4 m s^{-1} on average. This value was as twice as the worldwide value over lands
288 (Allen et al., 1998). The averaged wind speed value recorded by the meteorological station
289 was very close to those recorded by the sonic anemometers installed on the flux stations
290 within field A, B and C, with differences lower than 0.4 m s^{-1} . The spatial homogeneity for
291 wind speed was also observed in previous studies conducted on different locations within the
292 same watershed (Zitouna-Chebbi, 2009; Zitouna-Chebbi et al., 2012; 2015).

293 The wind rose obtained from the data collected at the meteorological station depicted
294 two prevailing directions (Figure 2). The first direction corresponded to winds coming from
295 south (directions between 70° and 220° , clockwise, North is 0°). The second direction
296 corresponded to winds coming from the other directions, hereafter referred to as northwest
297 winds. The topography induced downslope winds on field A and upslope winds on field B
298 under northwest winds. The reverse was observed under south winds.

299 [Figure 2 about here.]

300 Micrometeorological conditions were analyzed using the atmospheric stability
301 parameter $\xi = (z-D) / L_{MO}$, where z is measurement height, D is displacement height and L_{MO}
302 is Monin-Obukhov length. D was set as two third of vegetation height, the latter being derived
303 from in-situ measurements (see Section 2.6.2). The atmospheric stability parameter ξ was
304 most of the time negative, with notably few values larger than 0.1, mainly during sunrise or
305 sunset. The ξ median values were -0.007 , -0.011 and -0.010 respectively for field A, B and
306 C. These values corresponded to conditions of forced convection (neutrality or low instability)
307 induced by large wind speeds. We did not observe notable differences between northwest and
308 south winds. Zitouna-Chebbi et al. (2012) and Zitouna-Chebbi et al. (2015) obtained similar
309 results with a dataset collected between 2003 and 2006 on different fields within the same
310 study area.



311 Overall, the analysis of wind direction and micrometeorological conditions indicated
312 that the wind regime did not stem from valley wind or sea breeze. Indeed, the wind direction
313 did not depict any diurnal course in relation to anabatic / katabatic flows or to sea / land heat
314 transfers, while the ξ parameter did not correspond to conditions of atmospheric stability with
315 free convection.

316 **2.6.2. Vegetation conditions**

317 Throughout the experiment, the evolution of the wheat phenology was monitored using the
318 scale of Feekes and Large so-called “BBCH Scale improved” (Lancashire et al., 1991). Fields
319 A, B and C depicted similar phenological evolutions. The beginning of tillering stage
320 appeared on January 15, and full tillering was on February 19. Start of bolting was on
321 March 5, and full flowering was on April 22. Seed maturity stage lasted from the beginning to
322 the end of May, and the beginning of senescence was late May.

323 Vegetation height was measured on a weekly basis using a tape measure. For each
324 date, 30 height measurements were performed within each field, and next averaged at the field
325 scale. Vegetation height reached its maximum on April 22, and maximum averaged values
326 were 1.00 m, 0.87 m and 0.98 m, for fields A, B and C, respectively. Vegetation height
327 measurements were next interpolated on a daily basis by using a logistic function.

328 The vegetation height data indicated that the sonic anemometers and KH20 krypton
329 hygrometers, set up around 2 m above soil surface, was located above the roughness sublayer.
330 Indeed, the experiment was typified by neutral or slightly unstable conditions that
331 corresponded to a roughness sublayer extension from the ground up to $1.43 \times$ vegetation
332 height (Pattey et al., 2006).

333 Green leaf area index (LAI) was measured using a planimeter. Every two weeks, all
334 leaves were collected within three one-meter-long transects to derive a spatially averaged



335 value. LAI reached its maximum on April 11, and maximum values were $2.5 \text{ m}^2/\text{m}^2$,
336 $2.3 \text{ m}^2/\text{m}^2$ and $2.3 \text{ m}^2/\text{m}^2$ for fields A, B and C respectively.

337 **2.7. The dataset to be filled**

338 Missing LE data stemmed from (1) total shutdowns of flux stations, following battery
339 discharges or vandalism acts; (2) dysfunctions of KH20 krypton hygrometers after
340 precipitation events when air humidity permeated the sensor because of seal degradation; and
341 (3) rejection of LE data identified as class V data by ST and ITC tests (Section 2.5.3).

342 Table 3 displays the amounts of available data derived from EC measurements over
343 the three fields, when considering the latent heat flux (LE). It gives the beginning and ending
344 dates of the EC measurements, the number of daytime data over 30 minutes intervals, the
345 numbers and proportions of data with good (classes I to IV) and bad quality (class V)
346 according to ST and ITC tests, the number of missing data due to dysfunctions of the Krypton
347 hygrometer (KH20), and the number of missing data because of total shutdown of flux
348 stations.

349 [Table 3 about here.]

350 The ratio of acquired LE data after filtering ranged between 20 % and 61 %. It was
351 rather low as compared to the ratios reported by former studies at the yearly timescale for
352 worldwide flux networks such as FLUXNET (65%), where these ratios stemmed from system
353 failures or data rejection (Baldocchi et al., 2000; Falge et al., 2001a; Falge et al., 2001b). The
354 low ratio we obtained in the current study was ascribed to KH20 dysfunctions and total
355 shutdown of flux stations. Furthermore, the KH20 sensor installed on field B was out of order
356 from the end of March until the end of the experiment, because of severe instrumental
357 dysfunctions.



358 The proportion of bad quality data was low, with around 3 % of data belonging to
359 class V. The results of the quality control tests did not exhibit any difference between the
360 fields. For sensible heat flux H, the percentages of data belonging to the high quality classes
361 (I to IV) were 85 %, 84 % and 88 % for fields A, B and C, respectively.

362 On the one hand, the rate of missing data for the current study, between 40 and 80%,
363 was much larger than those reported in former studies, i.e., between 25 and 35% (Baldocchi et
364 al., 2001; Falge et al., 2001a; Law et al., 2002). On the other hand, the rate of rejected data by
365 quality control, between 2 and 4%, was much lower for the current study as compared to
366 those reported in former studies, i.e., between 20 and 60% (Papale et al., 2006). Therefore, the
367 overall rate of data to be filled was comparable to those reported in former studies.

368 **3. Methods**

369 **3.1. Rationale in choosing and implementing gap-filling methods**

370 Amongst the existing LE gap-filling methods listed in Introduction (Table 1), we selected
371 some methods that differ in the use of ancillary information, either meteorological variables
372 or energy fluxes. The meteorological data to be used were those provided by the
373 meteorological station, while the flux data to be used were those collected at each of the three
374 flux stations of interest (Section 2.3). We did not select methods that involve measurements of
375 soil water content or vegetation canopy, since energy fluxes indirectly account for the latter at
376 a spatial scale closer to that of the LE missing data (see results about footprint analysis in last
377 paragraph of Section 2.5.3).

378 Amongst the existing LE gap-filling methods listed in Introduction (Table 1), we
379 selected the commonly used REddyProc method that relies on LUT and MDV to fill missing
380 flux data with those collected under similar meteorological conditions or with averaged values
381 over adjacent days. We also selected methods that fill LE gaps by using multilinear



382 regressions on other energy flux data (R_n , H and G). We did not select methods based on
383 artificial neural networks because ensuring the relevance of calibration, testing and validation
384 steps require large datasets of at least one year (Abudu et al., 2010; Beringer et al., 2007;
385 Eamus et al., 2013; Papale and Valentini, 2003).

386 3.1.1. REddyProc

387 For the REddyProc method, we selected the online tool available at [http://www.bgc-](http://www.bgc-jena.mpg.de/REddyProc/brew/REddyProc.rhtml)
388 [jena.mpg.de/REddyProc/brew/REddyProc.rhtml](http://www.bgc-jena.mpg.de/REddyProc/brew/REddyProc.rhtml), and that is based on Reichstein et al. (2005).

389 The REddyProc method combines the co-variation of the convective fluxes with
390 meteorological variables (Falge et al., 2001b) and the temporal auto-correlation of the
391 convective fluxes (Reichstein et al., 2005). Gaps are filled in accordance with available
392 information by considering three cases: (1) solar radiation (R_g), air temperature (T_{air}), and
393 vapor pressure deficit (VPD) data are available; (2) R_g data only are available; and (3) none
394 of the R_g , T_{air} , VPD data are available.

- 395 • For Case (1), the missing LE value is replaced by the average value under similar
396 meteorological conditions within a time window of ± 7 days. Similar meteorological
397 conditions correspond to R_g , T_{air} and VPD values that do not deviate by more than
398 50 W m^{-2} , $2.5 \text{ }^\circ\text{C}$, and 5 hPa , respectively. If no similar meteorological conditions occur
399 within the ± 7 day time window, the latter is extended to ± 14 days.
- 400 • For Case (2), a similar approach is taken. Similar meteorological conditions correspond to
401 R_g deviation by less than 50 W m^{-2} , and the window size is not extended.
- 402 • For Case (3), the missing value is replaced by an adjacent value within ± 1 hour, or by an
403 averaged value at the same time of the day that is derived from the mean diurnal course
404 over ± 1 day.

405 In case the three steps do not permit to fill the gaps, the whole procedure is repeated while
406 increasing the window sizes until the value can be filled. Thus, the window size increases



407 using 7-day steps until ± 70 days for Case 1 and 2, and until ± 140 days for Case 3, which
408 obviously result in a degradation of the quality indicator.

409 **3.1.2. LE reconstructed from Rn**

410 Initially proposed by Cleverly et al. (2002), this method was successfully tested on our study
411 site by Zitouna-Chebbi (2009). It assumes the stability of the LE / Rn ratio over a given
412 period that can be one day, one month or one year (Table 1). We implemented the method by
413 first calibrating the linear regression $LE = a Rn + b$ on existing LE and Rn data, and next
414 applying the regression to missing LE data for which Rn was actually measured. This method
415 will be referred to as ‘LE - Rn method’ hereafter.

416 **3.1.3. LE reconstructed from multi-linear regression against other energy fluxes**

417 This method is an extension of the LE - Rn method, since LE is estimated as a linear
418 combination of the other energy fluxes Rn, H and G. As for the LE - Rn method, the multi-
419 linear regression (MLR) method was implemented by first calibrating the multi-linear
420 regression on existing LE, Rn, H and G data ($LE = a' Rn + b' G + c' H + d'$), and next
421 applying the regression to missing LE data for which the three other fluxes were actually
422 measured. This method will be referred to as ‘MLR method’ hereafter.

423 Energy balance theoretically implies $a' = 1$, $b' = -1$, $c' = -1$ and $d' = 0$. However, this
424 is not the case in practice because of the “energy imbalance problem” for EC measurements.
425 This problem has been mentioned in the literature for vegetated canopies and bare soils, as
426 well as over flat, mountainous and hilly terrains (Foken, 2008; Hammerle et al., 2007;
427 Leuning et al., 2012; Wilson et al., 2002; Zitouna-Chebbi et al., 2012; 2015). As reported by
428 Leuning et al. (2012), the energy imbalance problem is that the sum of the convective flux
429 ($H + LE$) underestimates available energy ($Rn - G$), because of theoretical assumptions (e.g.,
430 neglecting storage terms or lateral turbulent transfers) and because of experimental



431 assumptions (e.g., neglecting measurement inaccuracies, neglecting differences in
432 measurement spatial extensions). Thus, applying the energy balance equation $LE = R_n - G - H$
433 would transfer energy imbalance onto LE estimates, which is not the case with the MLR
434 method that involves a regression calibration ($a' \neq 1$, $b' \neq -1$, $c' \neq -1$ and $d' \neq 0$).

435 **3.1.4. LE reconstructed from evaporative fraction (EF)**

436 Evaporative fraction EF is defined as the ratio of latent heat flux LE over available energy
437 ($R_n - G$) when assuming the latter equals the sum of convective fluxes ($H + LE$). Li et al.
438 (2008) and Shuttleworth et al. (1989) showed that EF was almost constant during daytime
439 hours. Although rebutted (Hoedjes et al., 2008; Van Niel et al., 2011), various studies stated
440 that EF at midday (EF_{md}) is statistically representative of daily EF, and thus recommended to
441 use EF_{md} for estimating LE (Crago and Brutsaert, 1996; Crago, 1996; Gentine et al., 2011; Li
442 et al., 2008; Peng et al., 2013).

443 The estimation of missing LE data was twofold. In a first step, EF_{md} was calculated on
444 a daily basis by using the measured data over the four hours centered on solar noon, provided
445 that 75% at least of the eight 30 minutes data was available between noon -2h and noon +2h
446 for LE, R_n , and G.

$$447 \quad EF_{md} = \frac{\sum_{noon-2h}^{noon+2h} LE_i}{\sum_{noon-2h}^{noon+2h} (Rn_i - G_i)}$$

448 In a second step, the missing LE data were estimated as $LE = (R_n - G) EF_{md}$, when R_n and G
449 were actually measured. This method will be referred to as 'EF method' hereafter.

450 As compared to the MLR method that implicitly accounts for the energy imbalance
451 problem via the regression calibration, the EF method induced an overestimation of the
452 convective fluxes, by replacing $H + LE$ with available energy $R_n - G$. Conversely, averaging
453 EF around solar noon rather than over the diurnal cycle might induce an underestimation of



454 EF at the daily timescale. Therefore, the EF method was likely to (1) induce some errors on
455 LE estimates used for filling gaps, and (2) increase energy imbalance for the reconstructed
456 data because of the difference between $H + LE$ and $R_n - G$.

457 **3.2. Tailoring the gap-filling methods to the conditions of hilly crop fields**

458 The gap-filling methods were tailored to the conditions of hilly crop fields by splitting the
459 dataset on the basis of the airflow inclination that is driven by the combined effect of wind
460 direction, topography and vegetation height. The analysis of the experimental conditions
461 showed that the wind regimes was typified by two main wind directions, i.e. northwest and
462 south, that induces upslope and downslope winds on field A and B (Section 2.6.1). Therefore,
463 any of the three datasets for field A, B and C was split into two sub-datasets that correspond
464 to northwest and south winds. We recall that (1) northwest winds correspond to downslope
465 and upslope winds on field A and B, respectively, (2) south winds correspond to upslope and
466 downslope winds on field A and B, respectively, and (3) field C was horizontal.

467 Most existing gap filling methods for LE measurements include a prior splitting of the
468 time series to be filled (Table 1), so that missing data are filled with existing observations
469 collected under similar conditions (e.g., nighttime / daytime, wind directions, vegetation
470 phenology, weekly or monthly time windows). REddyProc relies on time windows ranging
471 from 1 to 140 days with Case 1 and 2, and up to 280 days with Case 3 (Section 3.1.1). The EF
472 method relies on an estimate of evaporative fraction for each day, and therefore implicitly
473 splits the time series on a daily basis. The LE - R_n method assumes that the linear relation
474 between LE and R_n is stable over time, and the MLR method assumes that the multi-linear
475 regression between LE, R_n , G and H is also stable over time. For both LE - R_n and MLR
476 methods, it was therefore necessary to split the time series into nominal periods over which
477 the regressions were likely to be stable. This was all the more necessary since vegetation



478 development can combine with wind direction and thus impact the regression between LE and
479 other energy fluxes.

480 For both the LE - Rn and MLR methods, we split the dataset into three periods that
481 differed in vegetation phenology. By splitting the dataset on the basis of vegetation
482 phenology, we indirectly accounted for changes in soil water content and vegetation height at
483 monthly to seasonal timescales. The beginning and ending of each period are given in
484 Table 4, along with the vegetation and climatic conditions. The first period corresponded to
485 active green vegetation, with moderate reference evapotranspiration, and with abundant and
486 frequent precipitation events that supply plant transpiration and soil evaporation. It was
487 typified by the absence of water stress, and therefore large values for both evaporative
488 fraction EF and LE / Rn ratio. We labeled this first period “GV” for green vegetation. The
489 second period preceded grain maturation and leaf senescence. It corresponded to the
490 beginning of water stress that resulted from the combined effect of limited precipitation and
491 large reference evapotranspiration. We labeled this second period “PS” for pre-senescence.
492 The third period corresponded to leaf senescence and grain maturation. It corresponded to a
493 pronounced water stress that resulted from the combined effect of no precipitation and large
494 reference evapotranspiration. We labeled this third period “SV” for senescent vegetation.

495 [Table 4 about here.]

496 **3.3. Assessing the performances of the gap-filling methods**

497 The performances of the three gap-filling methods were assessed on filling rate, retrieval
498 accuracy and quality of gap-filled time series through energy balance closure. In order to
499 make comparable the performances of the four methods, we used the following procedure.



- 500 • Conversely to REddyProc, the LE - Rn, MLR and EF methods were not able to fill gaps
501 induced by total shutdowns of the flux stations. Therefore, we addressed the filling of the
502 gaps that resulted from dysfunctions of the KH20 sensors and quality filtering only.
- 503 • For field B, the LE - Rn, MLR and EF methods were not able to fill gaps induced by the
504 shutdown of the KH20 sensor from the end of March (middle of the GV period) to the end
505 of experiment. Indeed, the EF method required Rn, G and LE data on a daily basis, while
506 the LE - Rn and MLR methods required data for each of the periods GV, PS and SV,
507 which excluded periods PS and SV. Therefore, we disregarded the time period in question
508 (from the end of March to the end of experiment) for field B.
- 509 • The filling performances were given in accordance with the number of reconstructible
510 data (LE missing data because of both KH20 dysfunctions and quality filtering). They
511 were expressed as the ratio of reconstructed to reconstructible data.
- 512 • The prior splitting of the time series to be filled is a common procedure for most gap-
513 filling methods (Table 1), but is different from one method to another (Section 3.2).
514 Therefore, we did not assess the performances of the gap-filling methods on the basis of
515 the time periods GV, PS and SV. We discriminated the periods GV, PS and SV for the
516 regression calibrations only (LE - Rn and MLR methods).
- 517 • To quantify retrieval accuracy, REddyProc provides estimates for each existing data,
518 where the estimate is derived independently of the corresponding data. Therefore, we
519 implemented a leave-one-out cross-validation (LOOCV) procedure to evaluate the
520 retrieval accuracy for the LE - Rn, MLR and EF methods. For this, any estimate for
521 retrieval accuracy was calculated by removing the corresponding reference value.
- 522 • We evaluated the performances of the gap filling methods before and after the splitting of
523 the time series on the basis of wind direction (northwest / south). We separately



524 considered the field A, B and C, where field A and B are located on two opposite hillsides
525 with upslope and downslope winds, and field C is located on a horizontal terrain.

- 526 • The retrieval accuracy was quantified using absolute and relative root mean square error
527 (RMSE and RRMSE) as well as mean absolute difference (MAE), bias and coefficient of
528 determination R^2 (Jacob et al., 2002; Moffat et al., 2007).
- 529 • To evaluate the quality of the gap-filled time series, we compared the sum of the
530 convective energy fluxes ($H + LE$) against available energy ($R_n - G$) before and after gap
531 filling, where gap filling was conducted after the splitting of the time series on the basis of
532 wind direction. Although energy balance closure analysis is questionable for assessing the
533 consistency of flux measurements, it permits to compare independent measurements.

534 **4. Results**

535 **4.1. Filling performances of the gap-filling methods**

536 For the three fields (A, B, C) and the two wind directions (northwest, south), Table 5 displays
537 the number of reconstructible data (LE missing data because of KH20 dysfunctions or LE
538 data belonging to quality class V), as well as the number and percentage of reconstructed data
539 by the four methods (REddyProc, LE - R_n , MLR and EF). For each field, the total number of
540 reconstructible data is also indicated, as well as the total number and corresponding
541 percentage of reconstructed data. The total number of reconstructible data in Table 5
542 corresponds to that given in Table 3 (i.e. sum of LE missing data because of KH20
543 dysfunctions and of LE data belonging to quality class V), apart from field B (2083 versus
544 3060) for which we restricted the time period to the GV period, since no LE data were
545 available on periods PS and SV because of the KH20 shutdown (second item in Section 3.3).

546 [Table 5 about here.]



547 With both the REddyProc and LE - Rn methods, all the missing LE data could be
548 reconstructed. The MLR method permitted to reconstruct 84%, 86% and 90% of the missing
549 LE data, on fields A, B and C respectively. The EF method permitted to reconstruct 32%,
550 19% and 70% of the missing LE data, on fields A, B and C respectively. The reconstruction
551 rates obtained with the MLR method were similar on fields A, B and C. On the other hand,
552 the reconstruction rate with the EF method was much larger on field C (flat terrain) than those
553 on field A and B (sloping terrains). Overall, the filling rate was the same for a given field,
554 whether we split or not the time series on the basis of wind direction.

555 **4.2. Accuracy of the gap-filling methods**

556 The calibration of the LE - Rn method for the three periods (GV, PS and SV) was similar for
557 fields A (Figure 3), B and C (Figure SP1a and SP1b in supplementary materials). The LE / Rn
558 ratio exhibited a notable temporal stability for each of the three periods, and we did not
559 observe any distinct scatterplot for the period GV, even if the scattering was larger as
560 compared to the periods PS and SV. On the other hand, we observed significant differences in
561 slope and offset from one period to another, with changes in slope between 90 and 170%
562 (relative to mean value), and changes in offset between 60 and 120% (relative to mean value).

563 [Figure 3 about here.]

564 We obtained similar LE - Rn regressions for field A (Figure 3) and B (Figure SP1a in
565 supplementary materials) when splitting the time series on the basis of south and northwest
566 winds that correspond to upslope (respectively downslope) and downslope (respectively
567 upslope) winds on field A (respectively B). Apart from the SV period with too few data on
568 field A, we noted some differences in regressions between the two wind directions for any
569 period, with changes in slope between 5 and 50% (relative to mean value), and changes in
570 offset between 40 and 80% (relative to mean value). On the other hand, the differences were
571 lower on field C with a flat terrain (see Figure SP1b in supplementary materials), with



572 changes in slope between 0.5 and 10% (relative to mean value), and changes in offset between
573 15 and 30% (relative to mean value). A covariance analysis conducted on the regression
574 coefficients showed that the changes in slope and offset were statistically significant in most
575 cases (Table SP1 in supplementary materials).

576 We quantified the retrieval accuracies of the four gap-filling methods by comparing
577 reference data and gap-filling retrievals of latent heat flux LE over 30 minute intervals for
578 each field and each wind direction (Table 6). The retrieval accuracies were obtained using a
579 LOOCV procedure (Section 3.3). We observed the following trends.

- 580 • The four methods provided similar retrieval accuracies, with differences between RMSE
581 values lower than 20 W m^{-2} . Bias values were almost null, apart from the EF method. In a
582 lesser extent, the RMSE values were lower with REddyProc that also provided better R^2
583 values, and the EF method provided the larger RMSE and biases values, down to -
584 20 W m^{-2} for bias.
- 585 • Regardless of gap-filling method, the retrieval accuracies were similar for field A and C,
586 whereas they were lower for field B.
- 587 • The method performances could be either different or similar before and after the splitting
588 of the time series on the basis of wind direction. For field A, the RMSE values were
589 similar for upslope and downslope winds, and they were comparable to those obtained
590 before the splitting. For field B, the RMSE values were much lower (respectively slightly
591 larger) for downslope winds (respectively upslope winds) as compared to those obtained
592 before splitting the time series. For field C with a flat terrain, the statistical indicators were
593 comparable before and after the splitting.

594 [Table 6 about here.]

595 4.3. Energy balance closure analysis



596 We recall that the gap-filling retrievals we considered for energy balance closure analysis
597 were those obtained with the splitting the time series on the basis of wind direction
598 (Section 3.3). We obtained similar results for energy balance closure for field A (Figure 4),
599 field B and C (Figure SP2a and SP2b in supplementary materials). Before and after gap
600 filling, the sum of the convective fluxes systematically underestimated available energy, apart
601 from field B after gap filling with the EF method. On a field basis, change in energy balance
602 closure from one gap-filling method to another was 15% for field A, 65% for field B, and
603 44% for field C, according to changes in the $H + LE$ versus $R_n - G$ regression slope. On a
604 method basis, energy balance closure varied from 5% (MLR) to 32% (EF) from one field to
605 another, according to changes in the $H + LE$ versus $R_n - G$ regression slope. Finally, energy
606 balance closure could be better after gap filling, and energy balance closure on sloping fields
607 A and B was comparable to that on the flat field C.

608 [Figure 4 about here.]

609 When comparing energy balance closure after gap filling with the four methods, we
610 could not identify any clear trend on the basis of the $(H + LE)$ versus $(R_n - G)$ linear
611 regression. Gap filling with the LE - R_n method provided among the best energy balance
612 closure, and gap filling with the REddyProc method provided among the worst energy
613 balance closure. Energy balance closure was very similar for the LE - R_n and MLR methods,
614 with changes in the regression slope between 2.5% (field C) and 4.5% (field A). Further, the
615 EF method could provide the worst (Field A) or the best (Field B) energy balance closure.
616 The scattering around the $(H + LE)$ versus $(R_n - G)$ regression was reduced after gap filling,
617 either slightly with the REddyProc and EF methods, or much with the LE - R_n and MLR
618 methods.

619 5. Discussion

620 5.1. Filling performances of the gap-filling methods



621 The filling rate was maximal with the REddyProc and LE - Rn methods. Indeed, REddyProc
622 relied on existing LE values within a given time window, either corresponding to similar
623 meteorological variables or derived from averaged diurnal courses. Similarly, the LE - Rn
624 method relied on continuous measurements of net radiation. The MLR method was less
625 efficient than the REddyProc and LE - Rn methods, because of both missing H measurements
626 and H data rejection by quality control. In this case, the filling rate was comparable to the
627 percentage of available H data given in Section 2.7 (84%, 86% and 90% versus 85%, 84%
628 and 88% for field A, B and C, respectively). The worst efficiency of the EF based gap-filling
629 method was explained by the fact that Rn, G and LE data around solar noon are required on a
630 daily basis.

631 The filling rate was similar whether we split or not the time series on the basis of wind
632 direction. For REddyProc, this was explained by the capability of the method to find LE data
633 under similar meteorological conditions or to obtain averaged values from diurnal courses
634 within a scalable time window. For the LE - Rn and the MLR methods, this was explained by
635 existing data for regressions within the three periods GV, PS and SV, when applicable. For
636 the EF method, this was explained by the daily basis computation of EF and the subsequent
637 filling at the daily timescale. Overall, the four methods were able to complete time series, in
638 spite of larger gap occurrences induced by the splitting of the time series on the basis of wind
639 direction. Also, it is important to note that conversely to the LE - Rn, MLR and EF methods
640 that relied on energy fluxes (Rn, G and H), REddyProc had the capability to fill gaps induced
641 by total shutdowns of the flux stations, although we did not address these total shutdowns to
642 make comparable the performances of the four methods.

643 We could not compare the filling rates we obtained in the current study against
644 outcomes from the former studies listed in Table 1 for LE data, owing to the absence of
645 information on this issue. The same applied for former studies about carbon dioxide.



646 **5.2. Accuracy of the gap-filling methods**

647 When calibrating the LE - Rn method, it was relevant to split the time series into the three
648 periods GV, PS and SV, because of large changes in the LE - Rn regression from one period
649 to another. The strong decrease of LE / Rn ratio throughout period GV to SV was ascribed to
650 the decrease in LE magnitude because of vegetation senescence that combined with no
651 precipitation and increasing reference evapotranspiration. This emphasized the impact of
652 changes in soil water content and vegetation canopy at monthly to seasonal timescales. When
653 calibrating the LE - Rn method, it was also relevant to split the time series on the basis of
654 northwest and south winds. Indeed, some differences were observed between the two wind
655 directions for the periods GV and PS, and these differences were larger for sloping terrains
656 (fields A and B) than for the flat terrain (field C). As compared to former studies listed in
657 Table 1, these outcomes were consistent with those from Zitouna-Chebbi (2009). Indeed, the
658 latter reported the need to split time series into distinct periods and wind directions, so that it
659 was possible to take into account changes in aerodynamic conditions for measurements
660 collected within the same study area, over other crop fields and during other years.

661 The slightly better accuracies obtained with REddyProc indicated that this method was
662 able to find appropriate LE values under similar meteorological conditions or within a given
663 time window, in spite of possible changes in soil water content. LE - Rn and MLR provide
664 very similar accuracies. We expected that MLR would outperform LE - Rn because of the
665 additional inclusion into the regression of G and H fluxes that are driven by vegetation canopy
666 and soil water content. Then, the similar accuracies might result from too large time windows
667 for periods GV, PS and SV, and especially for period GV with large scattering around the
668 regression line (see for instance Figure 3 with the LE - Rn regression). The EF method
669 provided the lower accuracies. We expected better accuracies with the EF method that filled
670 gaps on a daily basis, and the underperformance might result from the combination of (1) the



671 EF underestimation at the daily timescale when computed between 10:00 and 14:00 solar
672 time, and (2) the overestimation of $H + LE$ by $R_n - G$ as a result of energy imbalance. Overall,
673 the method performances were driven by the temporal dynamics of the local conditions in
674 terms of micrometeorology, vegetation canopy and soil water content. For instance, large
675 precipitations were likely to induce sharp changes in soil water content, thus advantaging the
676 EF method that is based on a daily basis computation, and disadvantaging the REdyProc
677 method that relies on similar meteorological conditions or average diurnal courses.

678 Overall, the RMSE values between reference data and gap-filling retrievals of latent
679 heat flux LE ranged between 20 W m^{-2} and 90 W m^{-2} , and almost $2/3$ of these values were
680 lower than 50 W m^{-2} . The retrieval accuracy was similar for the four gap-filling methods, and
681 was comparable to those reported by the previous studies listed in Table 1 (e.g. between 25
682 and 50 W m^{-2} for RMSE).

683 Finally, the performances could be better when splitting the time series on the basis of
684 northwest and south winds, with much lower RMSE values for downslope winds. This was
685 not systematic for the sloping fields, but it was systematic for all methods when applicable,
686 although these methods involved different information for the reconstruction of the missing
687 data. Thus, our study confirmed that it may be relevant to discriminate upslope and
688 downslope winds when implementing gap-filling methods. This is consistent with reports
689 from Zitouna-Chebbi et al. (2012) and Zitouna-Chebbi et al. (2015) who showed the need to
690 discriminate upslope and downslope winds when correcting the influence of airflow
691 inclination on measurements collected over hilly crop fields.

692 **5.3. Energy balance closure analysis**

693 For the $LE - R_n$ and MLR methods, energy balance closures were similar, they varied little
694 from one field to another, and they were better than those obtained with REdyProc and EF
695 methods. This was ascribed to the constraint on energy balance closure when replacing gaps



696 with LE estimates derived from regression between energy balance fluxes (LE versus Rn on
697 the one hand, and LE versus Rn, G and H on the other hand). Energy balance closure was
698 lower with REddyProc, and varied much from one field to another. This was ascribed to the
699 lack of constraint on energy balance closure when replacing gaps with LE data collected at
700 different times. For EF, energy balance closure varied much from one field to another, and
701 especially on field B with (H + LE) overestimating (Rn - G). This might be explained by
702 changes in compensation effects between (1) the EF underestimation at the daily timescale
703 when computed between 10:00 and 14:00 solar time, and (2) the overestimation of H + LE by
704 Rn - G as a result of energy imbalance.

705 For the four gap-filling methods, energy balance closure after reconstruction of the LE
706 data was comparable to that observed before gap filling, which showed the consistency of the
707 gap-filled time series. Further, energy balance closure for the two sloping fields (A and B)
708 was comparable to that obtained on the flat field (C), which showed the consistency of the
709 reconstructed data after the splitting of the time series on the basis of upslope / downslope
710 winds. We could not compare the energy balance closures we obtained in the current study
711 against the outcomes from the former studies listed in Table 1 for LE data, owing to the
712 absence of information on this issue. Nevertheless, our values of energy balance disclosure
713 ([15% - 35%]) were comparable to those reported in the literature ([10% - 30%]) for flat, hilly
714 and mountainous terrains (Foken, 2008; Hammerle et al., 2007; Li et al., 2008; Wilson et al.,
715 2002; Zitouna-Chebbi et al., 2012; 2015).

716 **6. Conclusion**

717 For the four gap-filling methods we evaluated (REddyProc, LE - Rn, MLR and EF), the
718 retrieval accuracies were similar and comparable to instrumental accuracies. On the other
719 hand, the filling rate was maximal for REddyProc and LE - Rn, whereas it was lower for
720 MLR and EF. Therefore, the REddyProc and LE - Rn methods were the most appropriate for



721 our study case, in terms of completing time series as much as possible while providing
722 retrievals with good quality. This outcome applied even more for the REddyProc method that
723 is able to fill gaps induced by total shutdowns, although a deeper analysis is beforehand need
724 to evaluate the retrieval accuracies in such situations.

725 Our results led us to recommend the splitting of LE time series on the basis of wind
726 direction, prior to the implementation of the gap-filling methods. Indeed, the prior splitting of
727 time series on the basis of wind direction might improve retrieval accuracies, although the
728 benefit was not systematic. Besides, the obtained accuracies on LE estimates after gap filling
729 were comparable to those reported in the literature for flat and mountainous areas, and the
730 same applied for energy balance closure as a consistency indicator for the filled time series.
731 Finally, the splitting of the time series did not impact the gap filling rate, in spite of larger gap
732 occurrences. Therefore, we conclude that it possible to conduct gap filling for time series
733 collected over hilly terrains, provided the prior splitting of the time series is applied in an
734 appropriate manner by discriminating upslope and downslope winds.

735 Our study case is widespread within the Mediterranean basin, because of orography
736 and climate conditions within coastal areas across the Mediterranean shores. In a lesser extent,
737 the outcomes of our studies are also of potential interest for hilly watersheds in Eastern
738 Africa, India and China. On the other hand, the experiment on which relied the current study
739 lasted over one crop growth cycle only, and we offset this temporal restriction by
740 simultaneously considering three locations that differed much in topographical conditions and
741 resulting airflow inclination. Nevertheless, future works should strengthen the outcomes of
742 the current study, by addressing (1) a larger panel of environmental conditions in relation to
743 climate, vegetation type and water statuses, and (2) consecutive vegetation growth cycles.

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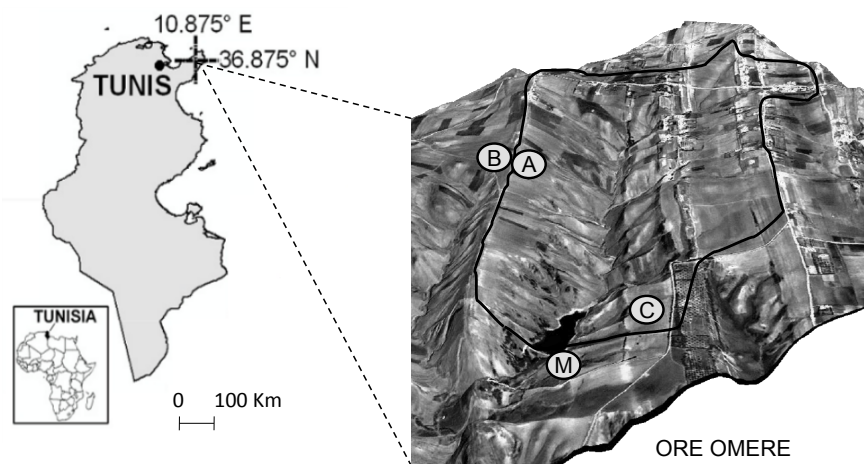
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1 **List of Figures**

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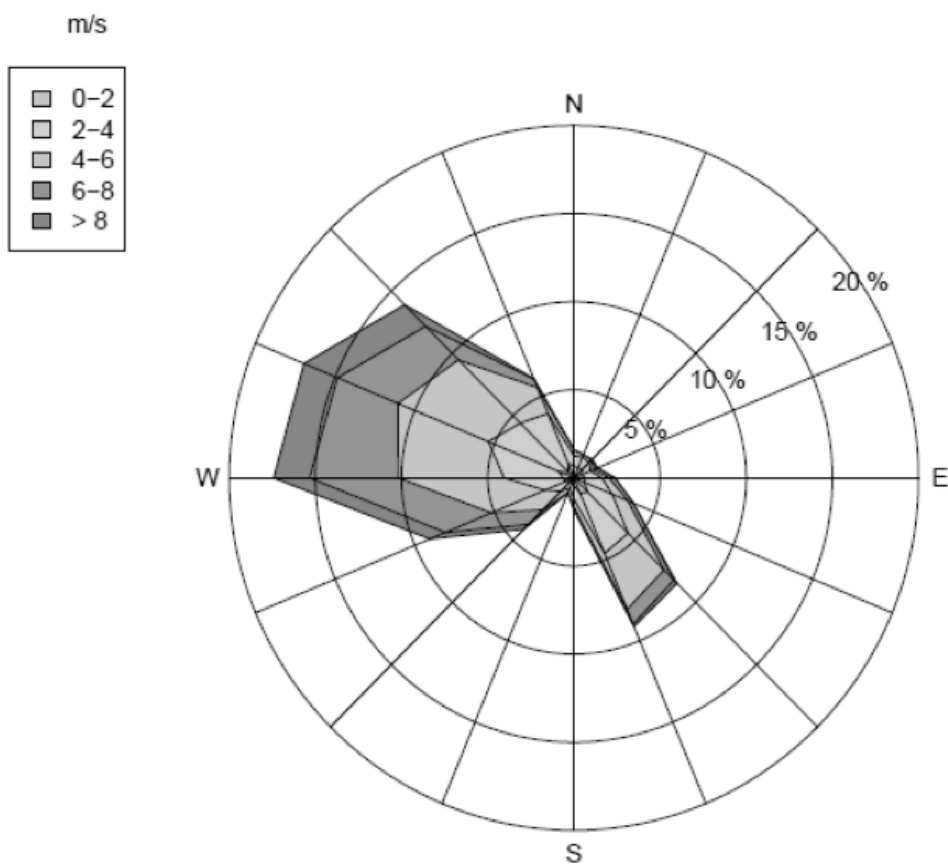
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5 Figure 1. Location of the Kamech watershed within the Cap Bon Peninsula, north eastern
6 Tunisia (left). Kamech has a 0.9 km width and a 2.7 km length. Three-dimensional view of
7 Kamech (right), including locations of the experimental fields (A, B, C) and of the standard
8 meteorological station (M).

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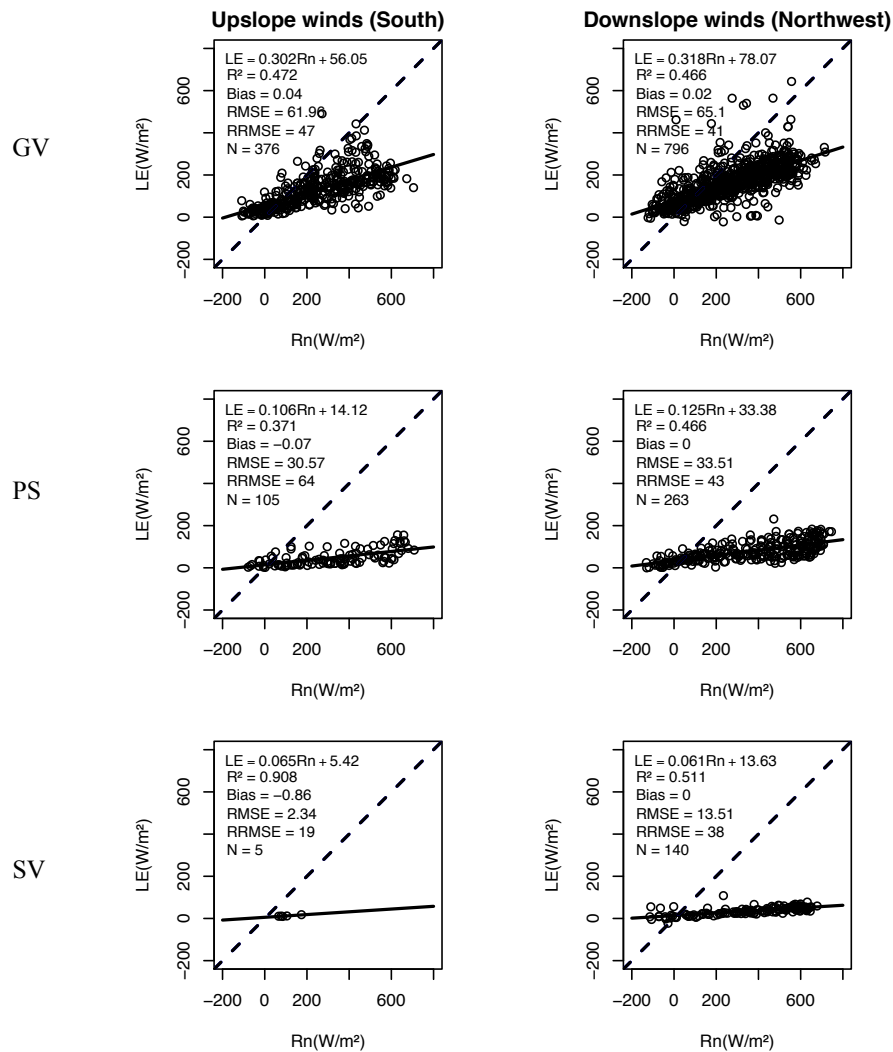
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13 Figure 2. Distribution of the wind directions and wind speeds throughout the experimental

14 period (December 2012 – June 2013), as recorded by the meteorological station.

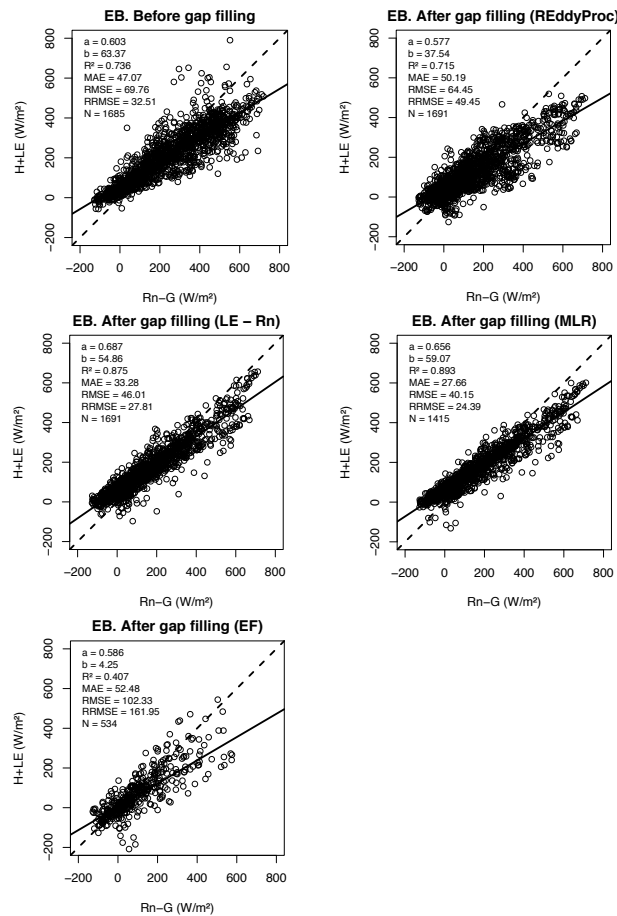
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16 Figure 3. Calibration of the LE - Rn gap-filling method on field A. Columns 1 and 2
 17 correspond to upslope and downslope winds, respectively. Lines 1, 2 and 3 correspond to the
 18 three periods (GV, PS, SV) that differed in vegetation phenology, soil water content and
 19 climatic conditions. The dashed line is the 1:1 line, and the continuous line is the regression
 20 line. R² is coefficient of determination. RMSE and RRMSE are absolute and relative root
 21 mean square errors, respectively. N is the number of flux data calculated over 30 min
 22 intervals.



23



24

25 Figure 4. Energy balance closure (EB) for field A. Flux data are calculated over 30 minutes
 26 intervals. Statistical indicators correspond to the comparison of convective energy (H + LE)
 27 on y-axis against the available energy (Rn - G) on x-axis, before (top left subplot) and
 28 (other subplots) reconstruction of LE data by the four gap-filling methods. The dashed line is
 29 the 1:1 line, and the continuous line is the regression line. Letters a and b are the slope and the
 30 intercept of the linear regression, respectively. R² is coefficient of determination. MAE is the
 31 mean absolute error. RMSE and RRMSE are absolute and relative root mean square errors,
 32 respectively. N is the number of 30 min intervals data.



33 **List of Tables**

34



35 Table 1. Summary of relevant studies that deals with the performances of different gap filling methods for LE time series. Landform
 36 classification includes flat / mountainous / hilly. Dataset splits are based on time window or on specific regimes. NR stands for “not reported”.

Study reference	Datasets or site	Locations / landform / vegetation boundary layer conditions / wind regimes Dataset split	Gap filling methods	Key results
Falge et al. (2001b)	EUROFLUX and AmeriFlux	<ul style="list-style-type: none"> 18 sites / mountainous / four vegetation groups (conifers, deciduous forests, crops, grassland) NR / NR Time window (15 days) 	Mean Diurnal Variation (MDV) Look-Up Tables (LUT)	Good gap-filling performances. The two methods performed similarly, MDV estimates slight overestimated by LUT ones.
Cleverly et al. (2002)	Sevilleta and Bosque del Apache NWR	<ul style="list-style-type: none"> 2 sites / NR / woody species Extremely stable / NR Time window (daily basis) 	LE = a Rn + b b significantly different from 0	NR
Hui et al. (2004)	AmeriFlux	<ul style="list-style-type: none"> 3 sites / hilly topography / forest (deciduous, coniferous, subalpine) NR / NR No split (1-year dataset) 	Imputation methods	Good gap-filling performances. The methods performed similarly, multiple imputation method is easily portable in the context of worldwide networks.
Alavi et al. (2006)	NR	<ul style="list-style-type: none"> 1 site / flat / winter wheat NR / NR Time windows according to the used method (1 year / 4-1.5 days / ±10 days / ±10 days) 	Kalman filter Multiple imputation (MI) Mean Diurnal Variation (MDV) Multiple regressions	Good gap-filling performances of Kalman filtering approach with smaller errors than the other methods.
Roupsard et al. (2006)	NR	<ul style="list-style-type: none"> 1 site / flat / coconut plantation NR / NR Time window (1 month) 	LE = a Rn + b MDV to gap filling H	NR
Beringer et al. (2007)	Fluxnet	<ul style="list-style-type: none"> 1 site / flat / woodland and open forest savanna NR / NR NR 	Feed-forward back propagation (BPN) artificial neural network (ANN) (Papale et Valentini, 2003)	NR

37
 38
 39 (continued on next page)



40 Table 1 (continued).

Study reference	Datasets or site	Locations / landform / vegetation Boundary layer conditions / wind regimes Dataset split	Gap filling methods	Key results
Zitouna-Chebbi (2009)	ORE OMERE	<ul style="list-style-type: none"> • 3 fields / hilly / winter cereals • Neutrality or low instability / externally driven • Based on upslope / downslope airflows (1-year dataset) 	LE = a Rn + b	Good gap-filling performances when discriminating between the two prevailing wind directions.
Abudu et al. (2010)	Bosque del Apache NWR	<ul style="list-style-type: none"> • 1 site / NR / Salt cedar trees • NR / NR • Random split for calibration / testing over X% of existing data with X = [5, 10, 20, 30, 40]. 	Feed-forward (FF) artificial neural networks (ANN) with different inputs	Best performance with the following inputs: daily maximum and minimum temperature, daily solar radiation, day of the year.
Chen et al. (2012)	NR	<ul style="list-style-type: none"> • 1 site / mountainous / forest (evergreens and hardwoods) • NR / NR • Based on nighttime and daytime (2-year dataset) 	Two multivariate methods: <ul style="list-style-type: none"> • Multiple regressions (MRS) • K-nearest neighbors (KNNs) 	KNN performed better than MRS
Eamus et al. (2013)	NR	<ul style="list-style-type: none"> • 1 site / flat plain / Savanna woodland • Nocturnal stability / NR • 10-day windows 	Self-organizing linear output (SOLO) artificial neural network (ANN)	NR
This study	ORE OMERE	<ul style="list-style-type: none"> • 3 fields / hilly / winter cereals • Neutrality or low instability / externally driven • Based on vegetation phenology, and upslope / downslope airflows (1 crop growth cycle) 	REddyProc (MDV / LUT based) Linear regression method (LE - Rn) Multiple linear regression (MLR) Evaporative fraction (EF)	See results and discussion in the current paper

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44 Table 2. Details about experimental setup for each of the three flux stations: type of sensors used, acquisition and storage frequencies, and
 45 accuracies as given by manufacturers. HR and T stand for air relative humidity and temperature. Variables ux, uy and uz stand for 3D
 46 components of wind speed.

47

Instrument type	Field A	Field B	Field C	Acquisition frequency	Storage frequency	Accuracy
Data logger	CR 3000 (Campbell Scientific Inc., USA)					
Sonic anemometer	CSAT3	Young-81000V		20 Hz	20 Hz	CSAT3: 0.001 m s ⁻¹ Young: ±0.05 m s ⁻¹
Krypton hygrometer	(Campbell Scientific Inc., USA)	(R.M Young, USA)		20 Hz	20 Hz	Unavailable
Thermo-hygrometer probe	KH20 (Campbell Scientific Inc., USA)	HMP45C (Vaisala, Finland)		1 s	15 mn	HR: ±1% T: ±0.2 °C
Net radiometer		NR01 (Hukseflux, Netherlands)		1 s	15 mn	±10%
Soil heat flux sensors	HFP (Hukseflux, Netherlands)	(three per field)		1 s	15 mn	-15% to +5%

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50 Table 3. Summary of the available latent heat flux (LE) data derived from the eddy
51 covariance measurements conducted on each of the three fields: dates of the beginning and
52 ending of measurement periods, number of total daytime data over 30 minutes intervals for
53 calculating the fluxes, numbers and percentages of data belonging to quality control classes
54 (I-IV: good quality data, V: rejected data), numbers and percentages of the missing data due
55 to dysfunctions of the KH20 sensors, and numbers and percentages of missing flux data due
56 to total shutdowns of the flux stations.

57

Field	Beginning date	Ending date	Number of daytime 30 min intervals data	I-IV (%)	V (%)	KH20 dysfunctions (%)	System failure (%)
A	06/ Dec /2012	11/ Jun /2013	4108	1685 (41)	162 (4)	1529 (37)	732 (18)
B	11/ Dec /2012	11/ Jun /2013	4007	820 (21)	95 (2)	2965 (74)	127 (3)
C	03/Jan/2013	11/ Jun /2013	3603	2198 (61)	86 (2)	616 (17)	703 (20)

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60 Table 4. Splitting of the dataset into three periods when implementing the LE - Rn and MLR
 61 gap filling methods. The three periods are labelled green vegetation (GV), pre-senescence
 62 (PS) and senescent vegetation (SV). They are indicated along with the vegetation and climatic
 63 conditions. LAI stands for green leaf area index, ET_0 stands for the reference
 64 evapotranspiration. Minimum and maximum LAI values are averaged values over the three
 65 fields A, B and C. Cumulative precipitation, mean ET_0 and mean air temperature are derived
 66 from measurements at the meteorological station.

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Period	Dates	Main phenological stage	LAI min (m^2 / m^2)	LAI max (m^2 / m^2)	Cumulative precipitation (mm)	Mean ET_0 (mm / day)	Mean air temperature ($^{\circ}C$)
GV	06/Dec/2012 to 06/May/2013	Seeding to beginning of dough stage	0.07	2.37	357.5	2.6	11.4
PS	06/May/2013 to 28/May/2013	Beginning of dough stage to fully ripened grain	0.07	0.14	5	5.0	15.7
SV	28/May/2013 to 11/Jun/2013	Fully ripened grain to senescence	-	-	1	5.6	18.2

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72 Table 5. Filling rate performance for each of the four gap-filling methods (REddyProc, LE - Rn, MLR, EF), expressed as the number and
 73 percentage of reconstructible LE data that were actually reconstructed. The filling rates are given for each field (A, B, C) and each wind
 74 direction. S and NW stand for south and northwest winds, respectively. Up and Down stand for upslope and downslope winds, respectively, for
 75 the sloping fields A and B (field C was flat).

	Field A		Field B		Field C		Field A		Field B		Field C			
	All data	All data	All data	All data	All data	All data	S (Up)	NW (Down)	S (Down)	NW (Up)	Total	Total		
Number of reconstructible data	1691	2083	702	702	585	1106	1691	1691	716	1367	2083	230	472	702
REddyProc	1691 (100)	2083 (100)	702 (100)	702 (100)	585 (100)	1106 (100)	1691 (100)	1691 (100)	716 (100)	1367 (100)	2083 (100)	230 (100)	472 (100)	702 (100)
LE-Rn	1691 (100)	2083 (100)	702 (100)	702 (100)	585 (100)	1106 (100)	1691 (100)	1691 (100)	716 (100)	1367 (100)	2083 (100)	230 (100)	472 (100)	702 (100)
MLR	1415 (84)	1789 (86)	631 (90)	631 (90)	489 (84)	926 (84)	1415 (84)	1415 (84)	626 (87)	1163 (85)	1789 (86)	199 (87)	432 (92)	631 (90)
EF	534 (32)	398 (19)	494 (70)	494 (70)	226 (39)	308 (28)	534 (32)	534 (32)	123 (17)	275 (20)	398 (19)	156 (68)	338 (72)	494 (70)



77 Table 6. Accuracy of LE retrievals for the four gap-filling methods (REddyProc, LE - Rn, MLR, EF). Fluxes were calculated over 30-min
 78 interval. Retrieval accuracy is given for each field (A, B, C) and each wind direction (NW and S stands for northwest and south winds,
 79 respectively) along with the corresponding airflow inclination when applicable (Up and Down stands for upslope and downslope winds,
 80 respectively). Accuracy is quantified using statistical indicators (absolute and relative RMSE, Bias, coefficient of determination R^2).

	Field A		Field B		Field C		Field A		Field B		Field C	
	All data	All data	All data	All data	All data	All data	S (Up)	NW (Down)	S (Down)	NW (Up)	S	NW
RMSE (W/m^2)	REddyProc	44.8	70.5	51.9	42.3	41.4	41.4	23.3	77.2	51.1	49.1	49.1
	LE-Rn	56.8	80.2	61.0	56.3	55.5	55.5	38.6	86.7	66.2	57.5	57.5
	MLR	58.3	61.7	59.7	55.1	55.8	55.8	37.3	61.9	61.9	57.0	57.0
	EF	57.5	87.3	62.8	48.1	56.8	56.8	42.9	98.2	63.8	57.8	57.8
RRMSE (%)	REddyProc	36	57	34	37	32	32	28	56	42	30	30
	LE-Rn	46	65	40	50	44	44	47	63	50	35	35
	MLR	45	48	37	47	41	41	45	43	45	34	34
	EF	47	70	41	43	44	44	52	70	48	36	36
Bias (W/m^2)	REddyProc	-1.34	-1.13	-0.65	-2.14	-0.90	-0.90	-0.96	-1.58	2.20	-0.80	-0.80
	LE-Rn	0.01	0.00	0.00	0.00	0.01	0.01	-0.03	0.00	0.01	0.00	0.00
	MLR	0.04	-0.02	0.01	-0.09	0.08	0.08	-0.15	0.00	0.00	0.03	0.03
	EF	-16.15	-6.48	-15.79	-10.54	-19.04	-19.04	-0.93	-8.43	-12.84	-17.73	-17.73
R^2	REddyProc	0.74	0.42	0.78	0.75	0.78	0.78	0.75	0.40	0.83	0.81	0.81
	LE-Rn	0.58	0.25	0.69	0.56	0.61	0.61	0.32	0.25	0.59	0.73	0.73
	MLR	0.58	0.35	0.72	0.59	0.62	0.62	0.36	0.38	0.65	0.75	0.75
	EF	0.69	0.29	0.74	0.75	0.71	0.71	0.52	0.24	0.83	0.80	0.80