# Swarm Langmuir Probes' data quality validation and future improvements

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**Abstract.** Swarm is ESA's first Earth observation constellation mission, which was launched in 2013 to study the geomagnetic field and its temporal evolution. Two Langmuir Probes on board of each of the three Swarm satellites provide in situ measurements of plasma parameters, which contribute to the study of the ionospheric plasma dynamics. To maintain a high data quality for scientific and technical applications, the Swarm products are continuously monitored and validated via science-oriented di-

- 5 agnostics. This paper presents an overview of the data quality of the Swarm Langmuir Probes' measurements. The data quality is assessed by analysing short and long data segments, where the latter are selected sufficiently long to consider the impact of the solar activity. Langmuir Probes data have been validated through comparison with numerical models, other satellite missions, and ground observations. Based on the outcomes from quality control and validation activities conducted by ESA, as well as scientific analysis and feedback provided by the user community, the Swarm products are regularly upgraded. In
- 10 this paper we discuss the data quality improvements introduced with the latest baseline, and how the data quality is influenced by the solar cycle. In particular, plasma measurement are more accurate in day side regions during high solar activity, while electron temperature measurements are more reliable during night side at middle and low latitudes during low solar activity. The main anomaly affecting the Langmuir Probes measurements is described, as well as possible improvements in the derived plasma parameters to be implemented in future baselines.

# 15 1 Introduction

Swarm is an Earth Observation mission of the European Space Agency (ESA) with the primary objective to measure Earth's magnetic field and its temporal variations, which enables investigations of, e.g., the core dynamics, geodynamo processes, and core-mantle interactions (Olsen et al., 2013). Further, the Swarm mission is devoted to characterise the ionospheric electric fields, currents, and other ionospheric plasma processes. The space segment consists of three identical satellites, which carry a

- 20 diverse set of instruments to achieve the ambitious mission objectives: a Vector Field Magnetometer (VFM) and an Absolute Scalar Magnetometer (ASM) for collecting high-resolution magnetic field measurements, three star trackers for accurate attitude determination, a dual-frequency GPS receiver for precise orbit determination, an accelerometer to retrieve measurements of the satellite's non-gravitational acceleration, and an Electric Field Instrument (EFI) composed of two Langmuir Probes (LPs) and two Thermal Ion Imagers (TIIs) for the plasma and electric field related measurements. The three satellites were launched
- 25 in 2013 into the same near-polar orbits. Shortly after launch, the satellites were manoeuvred into a constellation in which two satellites, Swarm A and Swarm C, fly side-by-side with 1.4° separation in longitude at the equator at an altitude of 462 km (initial altitude), and the third satellite, Swarm B, flies at a higher altitude of 511 km (initial altitude). Due to the difference in altitude, the orbital planes precess at different rates such that the angle between Swarm B's orbital plane and that of the other two satellites slowly changes over time. In 2018, Swarm B's orbital plane was perpendicular to that of Swarm A and Swarm
- 30 C, while by the end of 2021, Swarm B will be counter-rotating with respect to the lower-flying pair, which will result in a conjunction every 47 minutes.

With its plasma instrumentation (LP and TII, (Knudsen et al., 2017)), Swarm is an excellent mission to investigate and survey the ionosphere, its structure and dynamics. Recently, Swarm measurements brought more understanding of the space weather.

- As reported by Archer et al. (2019), Swarm EFI measurements helped to advance the understanding of the auroral phenomenon known as "Steve", which is visible as subauroral purple emission. By using Swarm LP electron density and temperature measurements this study demonstrates that Steve events are not only associated to intense subauroral ion drifts (MacDonald et al., 2018), but also to peaks of plasma temperatures and extremely low densities (Archer et al., 2019). The results presented in (Archer et al., 2019) provided additional understanding of the Steve phenomena, expanding the knowledge needed for proper
- 40 numerical simulations. More recently, De Michelis et al. (2021) discussed the possibility to use electron density measurements collected by LPs on board Swarm, to derive a proxy for the ionospheric turbulence. This work suggests that, looking at the scaling features of the density fluctuations for different locations and geomagnetic activity levels, it is possible to distinguish two families of density fluctuations, one of which is most probably related to turbulent processes (De Michelis et al., 2021). Furthermore, the long time coverage of the Swarm mission offers the possibility to perform extended statistical analysis on the
- 45 climatology of plasma irregularities via plasma-related measurements, as the in-situ electron density data from the Swarm LP. The first global statistics obtained by in-situ measurements of plasma variations observed by Swarm mission, confirmed the presence of three main regions of strong ionospheric irregularities: the magnetic equator extending from post-sunset to early morning, the auroral ovals, and the polar caps (Jin et al., 2020). The long-term behaviour of density gradients and fluctuations has been studied by using Swarm data (Jin and Xiong, 2020), where LP density measurements are used to catch ionospheric
- 50 structures and irregularities. This new statistical study describes phenomena already explored by past missions, but also reveals a new anomaly that is the persistence of strong density fluctuations in the southern polar cap during local summer (December solstice) (Jin and Xiong, 2020). The morning overshoot consists in a rapid increase of electron temperature in the early morning hours at low latitudes. Its dependence on geographic regions, local time, seasons and geomagnetic activity has been presented by Yang et al. (2020), by using electron temperature and density LP data at two different altitudes of the Swarm satellites,

- 55 and ISS/FPMU (International Space Station/Floating Potential Measurement Unit) measurements (Coffey et al., 2008). Plasma density and temperature hemispherical asymmetries have been extensively investigated in ionospheric physics, and recently discussed by Hatch et al. (2020). In their study plasma density from both Swarm and CHAMP (Flury et al., 2006) measurements is used, demonstrating the importance of multi mission synergies and long mission life-time to statistically investigate ionospheric phenomena. For a comprehensive list of scientific results obtained with the support of the Swarm data we refer to
- 60 the Swarm web-page (ESA, b). It is worth to emphasize the role of LP plasma measurements in the recent ionospheric research field. As discussed above, LPs data contributed to many scientific results advantaged by Swarm long time mission coverage, multi-points in-situ measurements as per Swarm spacecraft constellation, and continuously improved LP data quality. These studies demonstrated the scientific valence of LP measurements, and thus, the importance of an accurate monitoring of LP data with the scope to maintain an high instrument performance and data processing accuracy.
- The LPs are relatively simple instruments which are immersed into a plasma to measure electron density,  $N_e$ , and electron temperature,  $T_e$ . Owing to their simplicity, relatively small weight and low power consumption, LPs have been used on many satellite missions (Boyd, 1965; Abe and Oyama, 2013). Examples are Demeter (Lebreton et al., 2006), Rosetta (Eriksson et al., 2007), and Swarm (Knudsen et al., 2017). The science data derived from LPs on board Swarm are part of the Level 1B (L1B) products and are obtained from the PLASMA operational processor. The LP data are available at both 2Hz and 1Hz cadence.
- 70 The algorithm of the PLASMA processor is described in the L1b Plasma Algorithm document (Buchert and Nilsson, 2018). To support the scientific research, the Swarm data products are continuously monitored for Quality Control (QC) and improved by the ESA/ESRIN Swarm Data Innovation and Science Cluster (DISC) Data Quality Team. Also, users community feedback are essentials to improve the Swarm data product quality. Most of the feedback actually results in recommendations which are the drivers to elaborate and introduce improvements in the data processing algorithms (ESA, 2019). It is worth to specify that
- 75 data quality is here intended as the goodness of the data product as output of a processing process. The data quality can be qualified by comparison with other dataset (in-situ or ground measurement), validation with numerical or empirical models, or derived by statistical data analysis of the product itself. In our definition of data quality, if the data product is subject to a low level of errors as derived from statistical analysis or known issues, or/and has a high agreement with other dataset (model or spacecraft observations), then the quality of the data is considered good. In this paper the EFI-LP L1B data quality evolution
- 80 introduced in the current baseline is described and the data quality status is statistically investigated. Known issues and future perspectives are discussed as well.

## 2 The Swarm Langmuir Probes

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The Swarm LPs have been described by Knudsen et al. (2017) including its "harmonic mode" with the sinusoidally modulated probe bias, in which the instrument is operated most of the times. Also the model equations that are assumed to determine the plasma density, the electron temperature and the spacecraft potential from the currents and admittances (which are the reciprocal of impedance) computed on board for given biases, are therein included. Complementing the description in Knudsen et al. (2017), we add here a detailed description of the LP instruments' functionalities and operational settings.

Probe	Gain	Surface	Position
1	high up to Dec 2019- low onward	TiN	- ŷ
2	low up to Dec 2019- high onward	Au	$+ \hat{y}$

**Table 1.** List of differences between the two LPs on each Swarm satellite. The probe position is defined with respect to the spacecraft coordinate system where  $\hat{x}$  is along the fly direction,  $\hat{y}$  horizontally crosses the satellite toward local dusk, and  $\hat{z}$  points toward the Earth.

The two probes are mounted on the earthward edge of the ram panel as illustrated in Figure 1. They are separated by 30 cm and located relatively close to the faceplate of the TII, which is also mounted on the ram panel. The LPs are expected to provide accurate and independent estimates of the spacecraft potential, which is in principle needed to process the TII data.

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The probes are also expected to provide plasma densities and electron temperatures over the entire range of signal magnitudes encountered along the orbit. Experimental results demonstrated that when the probes are immersed in the satellite plasma sheath, the estimation of spacecrfat potential me by effected Wang et al. (2015), but so far the accuracy of current and density estimation from Swarm LP is not effected by this issue. The Swarm orbits will cover a limited range of altitudes from about

95 520 km shortly after launch to 250 km close to re-entry. But they sample practically all latitudes and local times, which results in a relatively large and dynamic signal range. Densities ranging from few hundreds cm<sup>-3</sup> to several millions cm<sup>-3</sup> can occur, representing more than 4 orders of magnitude. Avoiding an automatic gain control, which would potentially interfere with reliable and accurate current measurements, both probes are typically operated with fixed but different gains, called low and high gain (Knudsen et al., 2017). By electronically coupling a second shunt resistor in parallel the mode is low gain which allows higher probe currents to be measured without ADC (Analog Digital Converter) overflows. The term "gain" should be understood here rather as a sensitivity of the current measurement than an amplification. The ratio between high and low gain

is about 50. High or low gain can be set by a telecommand from ground and usually one of the probes is in high and the other in low gain. Table 1 summarises the differences between the probes and their gain operations.

The surface material of one of the probes is titanium nitride (TiN), which had previously been used in several space missions, for example, Rosetta (Eriksson et al., 2007) and Demeter (Lebreton et al., 2006). Out of concern of the aggressive chemical reactivity of ionospheric Oxygen (*O*), the other probe surface is made of gold-plated (Au) titanium (Ti) which is a novelty in space. It is known that Ti is very difficult to electroplate (e.g., ENS-Technology), however, a small company with experience in gold-plating jewellery made of titanium was given the contract. Testing before launch did not reveal any problems with the Au probes even after baking with temperatures of up to 300° C and after exposure to ultra-sound. Both, the nitration to TiN and the

110 gold-plating are supposed to prevent strong oxidation of the Ti surface. Probably this would negatively affect the performance of the probe, because TiO is a relatively poor conductor. Presently, after more than 7 years in an *O* dominated atmosphere, there are no conclusive indications in the in-orbit data that degradation in form of serious oxidation of any of the probes has occurred, or that any of the two methods is preferable compared to the other one.

## 3 LP data processing

- 115 The L1B PLASMA processor, which is used to generate the LP data products, is organised according to the simple flow-chart reported in Figure 2. It uses as inputs the L1B products containing position and velocity of the satellite, auxiliary data, and EFI-LP Level 0 (L0) data, to obtain three L1B and one Level 1A (L1A) data products. The auxiliary data contains information that support the Swarm data processing, such as physical constants, or instrumental calibration parameters obtained during ground tests. The L0 data contains raw measurements from each Swarm instrument and are essential to generate the L1 products. The
- 120 EFI-LP L1A product (EFIX\_LP\_1A) contains information about the LP configuration, ion and electron currents in different regimes, and bias voltages. The L1B product LP\_X\_CA\_1B delivers the LP calibration parameters derived for each probe by the L1B PLASMA processor. Finally, the EFIX\_LP\_1B and EFIXLPI\_1B products provide the plasma parameters as density, electron temperature, plasma potential, together with the spacecraft position and the flags indicating a possible source of error for each data point. The EFIX\_LP\_1B are available at 2 Hz sample rate. By simple linear interpolation of these products at
- 125 full UTC second, the EFIXLPI\_1B data product is obtained at 1Hz sample rate. Also, the LPs operate in different modes. The "harmonic mode" (HM) consists of sinusoidal varying biases applied to the LPs. Each HM cycle lasts for 0.5 s, and during the HM currents and admittances are measured. To our knowledge this method to obtain the current-voltage (I-V) characteristic of the space plasma is being used in orbit for the first time. The HM operates most of the time, while the classical "sweep mode" occurs each 128 s, and lasts for 1 s. In sweep mode the I-V curve is measured traditionally by scanning the probe bias over a
- 130 range that stretches from a dominant ion current (at negative bias) to a saturated electron current (positive bias). Sweep mode data are not used in the L1B PLASMA processor, but are separately analysed and provided as an additional "advanced" product. Furthermore, each six hours a calibration mode is activated and a calibration data packet is generated on-board. These data are used for calibration purposes and, during the calibration mode, short data gaps are registered in L1B PLASMA products. The EFI-LP data products, and the other Swarm L1B products, are provided in daily files with a latency of four days. Detailed
- 135 information on Swarm L1B processors and data products are described by DTU (2019a, b). The Swarm products are freely accessible through the ESA dissemination server (ESA, a). The next two sections describe the recent data products evolution and data quality characterization.

## 3.1 Evolution from product baseline 04 to 05

- The product baseline is a number identifying the data that were generated in a consistent way, i.e. using the same algorithms
  and input parameters, and, thus, constitute a data set. The product baseline is incremented when algorithm or input parameter
  upgrades lead to significant improvements in the data quality of the related products. The first PLASMA baseline went into
  operation in 2015 with the number 04. Before baseline 04, LP data were processed with a provisional processor by the Swedish
  Institute of Space Physics (IRF) (ESA, 2015). When the final version of the PLASMA processor was ready to be transferred
  into operation, it was deployed directly with baseline number 04 to be aligned with the other Swarm processor baselines. Thus,
  baselines lower than 04 are not available for EFI data products. Since September 2018, the PLASMA baseline has the number
  - 05. An updated version of this processor has been deployed in operation in February 2020 containing only minor evolution,

Update	Baseline 04	Baseline 05
Time coverage	From December 2013 to September 2018	From December 2013 to present,
		this baseline is currently used for daily data production
Processor dependence	MAGNET and ORBATT	ORBATT
Ion density	from low gain (in high density regions),	from low gain
	and high gain (in low density regions)	
Electron temperature	from high gain (in high density regions),	from high gain
	and low gain (in low density regions)	

Table 2. Main differences between baseline 04 and baseline 05

thus the baseline number remained unchanged. A complete description of all the evolution introduced with these processors is reported in the related technical notes (ESA, 2018, 2020b). In the following we will discuss the major differences in PLASMA products between the baselines 04 and 05, consisting of an updated electron temperature ( $T_e$ ) computation from high-gain probe, and the decoupling of PLASMA processor from MAGNET processor. Table 2 reports the main updates introduced in baseline 05, in comparison with the baseline 04.

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#### **3.1.1** Electron temperature computation from the high-gain probe

Each of the two LPs on board the Swarm satellites can be commanded to high or low gain. Typically, one probe is set to the low gain and the other one to the high gain. The LP product parameters can be estimated from each probe. In practice the values often differ, which we suspect is because of the different probe gains. Many investigations are being carried out by the Data Quality Team, in order to understand the nature of the difference between high and low gain measurements. Yet, a real conclusion has not been reached, thus we shelve the description of these studies for a future work where a clear explanation may be reported.

The first analysis, preceding baseline 04, estimated the electron density  $N_e$  and electron temperature  $T_e$  from the high gain probe for low densities/probe currents, from the low gain probes for high densities/probe currents, and by blending the results from both probes for an intermediate range of density/probe current. This avoided sudden jumps which would be caused by switching the probes at threshold values. Typically the low gain probe needs to be used at the dayside magnetic equator because of very high density in the ionization anomaly, and the high gain probe is more appropriate for other regions. In the commissioning phase it became clear that the regularly occurring transition between probes produced unphysical variations

165 of the estimated parameters even when smoothed by the intermediate blending. Therefore the algorithm to estimate the density was changed to use the weaker ion current instead of the retarded and saturated electron currents. The ion current and admittance is always and very reliably measured by the high gain probe. The density product is therefore rather an ion density product, though often designated still  $N_e$ . At Swarm altitudes, in the thermosphere and F region, the ion and electron densities are expected to be equal (only in the mesosphere and D region negatively charged ions and dust particles could cause  $N_e$  to

$<\Delta Te/Te_{05}>$					
Swarm A	Swarm B	Swarm C	MLT		
- 11.6 %	2.31 %	- 5.52 %	ALL		
- 9.56 %	1.01 %	- 5.04 %	[8-16] hr		
- 14.1 %	2.94 %	- 6.24 %	[20-4] hr		

**Table 3.** Average relative difference between  $Te_{04}$  and  $Te_{05}$  for all the Swarm spacecraft for different MLT ranges. The results are obtained considering one week of data from 7 to 13 September 2018.

170 be less than the positive ion density). Also for  $T_e$  the blending of high and low gain estimates was eventually abandoned in order to avoid producing unphysical variations at transitions. This, however, has the drawback that especially in the ionization anomaly ADC overflow occurs in the high gain saturated electron current. This approach increased the number of  $T_e$  data with a flag for ADC overflow, but with the benefit to have a data set that can be better calibrated. The  $T_e$  from the low gain probe is dropped in, with a flag value as warning. This modification has been introduced with baseline 05. The regions characterized by 175 large plasma density are generally observed at equatorial and low latitudes. In particular, in correspondence to day side equatorial crossings, it is possible to observe the typical double peak of the plasma density. This feature is related to the equatorial fountain effect characterizing the equatorial ionisation anomaly (Kelley, 2009). Also, the ADC overflows are frequently observed at equatorial latitudes. Thus, to compare the measurements from baseline 04 (where high and low gain  $T_e$  measurements were blended together) and baseline 05 (where only high gain measurements are used) it is worth to consider the latitudinal variation. Figure 3 shows the differences between  $T_e$  obtained from baseline 04 ( $Te_{04}$ ) and baseline 05 ( $Te_{05}$ ) as a function 180 of Quasi-Dipole (QD) latitude. The analysis is shown separately for (a) day side, (b) night side, and (c) full Swarm A orbits during one week in September 2018. The different phases of the orbits have been selected with respect to the magnetic local time (MLT). The analysis is limited to the latitudinal range to  $\pm -50^{\circ}$  because at higher latitudes the electron temperature has a level of fluctuations too strong to obtain a meaningful comparison between  $Te_{04}$  and  $Te_{05}$ . Figure 3 demonstrates that  $Te_{04}$  is 185 on average larger that  $Te_{05}$  at higher latitudes. On the day side, the two baselines are comparable at equatorial latitudes (panel (a)), while the differences in this region are larger on the night side (panel (b)). In particular, the night side presents a negative peak between -10 and 10 degrees of QD latitude. Also, in Figure 3 (c), we note a negative peak in correspondence to equatorial latitudes, and a decrease for higher latitudes. Table 3 reports the average relative differences  $\langle \Delta T e/T e_{05} \rangle$  for each MLT range, where  $\Delta Te = Te_{04} - Te_{05}$ . The results demonstrate that, on average, the baseline 05 measures  $T_e$ , which is 5-10% larger 190 for the lower pair (Swarm A and C). This is a very good improvement, because it has been shown that the LP measurements of baseline 04, on average, underestimate the electron temperature with respect to ground measurements (Lomidze et al., 2018). Thus, the larger  $T_e$  measurements obtained with baseline 05 represent a better agreement with ground observations.

## 3.1.2 Decoupling between PLASMA and MAGNET processors

In the previous configuration related to baseline 04, the PLASMA processor had a dependence on the MAGNET and OR-

- 195 BATT processors. The ORBATT processor is fundamental for the L1B processing chain because it generates the L1B satellite ephemeris and attitude products, which are inputs for all the other processors. The MAGNET processor generates L1B magnetic measurements data products, which also contain the satellite position and attitude for convenience. The PLASMA processor needs as inputs the spacecraft position and velocity expressed in the Earth-fixed reference frame. In baseline 04, the spacecraft velocity was retrieved from the ORBATT processor, while the spacecraft position was retrieved from the MAGNET processor
- 200 sor. Also, in baseline 04, magnetic measurements from MAGNET processor were needed to compute electrical field from TII measurements. This dependence on other processors implies that if one of those has a partial or total failure in producing the data products, then also the PLASMA processor fails. However, it was observed that the dependence on the MAGNET processor was not necessary, since the generation of the electrical field from TII measurements were removed from the PLASMA processor in baseline 05. Therefore, in the latest baseline, satellite position and attitude data can be directly retrieved from
- 205 the ORBATT data products. As a consequence, with baseline 05, the PLASMA processor is decoupled from MAGNET, and it is now depending only on the ORBATT processor. This decoupling offered the opportunity to recover past data gaps that occurred because of MAGNET failures. In particular, with baseline 05 it was possible to recover the production of 4 days for Swarm A, 11 days for Swarm B, and 5 days for Swarm C. A full list of recovered data products is available in ESA (2020a). Even if it is a very small portion of data that has been recovered over more than seven years of Swarm measurements, this still
- 210 represents an improvement introduced with respect to the older baseline 04. Finally, we note that the decoupling of PLASMA from MAGNET processor has no impact on the LP data quality.

## 3.2 Baseline 05

The baseline 05 covers the data products from December 2013 to present (this baseline is currently used for daily data production and data coverage with baseline 05 will increase until a new baseline will be released). The LPs on board Swarm can well
capture the ionospheric variability in shorter intervals of time. Figure 4 shows the variation of plasma density and electron temperature as measured by Swarm B. Invalid measurements are removed in this Figure. The missing data at equatorial latitudes in Figure 4 (b) are mainly due to ADC overflows, which generate invalid measurements. An interesting feature, that is worth to be noticed, is the typical double peak of the electron density at equatorial latitudes. This effect is related to the equatorial electrojet fountain (Kelley, 2009), and it is well visible in the Swarm measurements. In fact, at mid-low latitudes the density is higher, showing two peaks at around ±10 degrees of QD latitude, and slightly lower values at around zero degrees. At higher

higher, showing two peaks at around  $\pm 10$  degrees of QD latitude, and slightly lower values at around zero degrees. At higher latitudes, the density is lower again. The electron temperature instead presents a different features showing lower values at midand low- latitudes, and higher values at higher latitudes. This is another typical characteristic of ionospheric plasma (Kelley, 2009).

During more than seven years in orbit, the Swarm measurements span more than half of a solar cycle. Figure 5 the F10.7 as indicator of the solar activity, and the Kp index as an indicator of geomagnetic activity. The regions highlighted in orange represent the years when Swarm is in orbit. Such a long temporal coverage with Swarm measurements opens the opportunity to study the impact of solar activity on the ionosphere (Xiong et al., 2010) and to perform a long-term analysis of the ionospheric variations as well as multi-mission studies (Noja et al., 2013; Xiong et al., 2020). Here we discuss the data quality variation of Swarm LP measurements with respect to the last solar cycle. The F10.7 index is used as reference for the solar activity

- (Covington, 1947, 1948; Tapping, 2013). The F10.7 index is a proxy for the solar EUV flux, which is the dominating source of ionisation, molecular dissociation, and heat in the thermosphere-ionosphere (see for example Liu and Chen (2009); Vaishnav et al. (2019)). Figure 6 shows the average plasma density variation from December 2013 to July 2020 as measured by Swarm A, separately for the ascending and descending orbit phases in panel (a) and (b), respectively. Panel (c) shows the F10.7 index for the same interval of time. The density profile shows a high correlation with the F10.7 index. The solar radiation
- 235 is the fundamental driver of density and temperature variations in the ionosphere (Prölss, 2004; Kelley, 2009). An example are the different characteristics of ionospheric plasma on the day and night side, the latter having lower densities and higher temperatures (see for example Heelis and Maute (2020) and reference therein). Thus, the strong correlation between F10.7 index and Swarm density measurements reported in Figure 6, which is related to the ionospheric processes driven by the solar activity, represents additional evidence of the quality of the Swarm data.
- Each LP data point is associated with a flag indicating the instrument performance and settings, together with the source of possible errors. For more information on the flag we refer the reader to Section 6.8 of the document DTU (2019b). The percentage of measurements that are flagged as invalid is a useful proxy for the data quality and instrument performance. A larger percentage of invalid measurements obviously indicates a poorer data quality. Figure 7 reports the daily and monthly percentage of invalid measurements from the beginning of the mission up to July 2020, for Swarm C. The results are reported
- for the plasma density and electron temperature in panel (a) and (b), respectively. The shadowed area in the panels represents the F10.7 index variation in the same period. In Figure 7 (b), we observe a common trend in the percentage of invalid measurements of electron temperature  $T_e$  and the F10.7 index, whereas the opposite trend is visible for the percentage of invalid measurements of plasma density in Figure 7 (a). These trends are similarly observed for all three Swarm satellites. During the solar minimum, the plasma density decreases, as also shown in Figure 6. In particular, the LP derived plasma density is negative more frequently
- 250 during the solar minimum. This feature is reflected in Figure 7 (a) by a larger number of invalid derived density data at lower F10.7 index values. During periods of stronger solar activity we observe more frequently ADC overflows, which generate invalid  $T_e$  measurements. This feature is represented in Figure 7 (b), by a larger number of invalid  $T_e$  measurements at lower F10.7 index. The geographical location and temporal variation in the occurrence of the invalid measurements are very useful to the Swarm EFI-LP team to study the instrument performance and to detect possible anomalies in the LP measurements.
- The plasma density can also be derived from the faceplate (FP) on-board Swarm as part of the TII instrument. The FP, similarly to a planar Langmuir Probe (Oyama, 2015), measures the current with a cadence of 16 Hz. The electron density  $N_e$  is derived from FP current measurements only for certain orbits per day, namely when the TII is not active. The FP data and relative technical notes are available for all Swarm users (see IRF (2017)). A validation of LP density measurements can be performed by comparing the LP and FP derived densities. Figure 8 shows a scatter plot between density as measured by
- the LP and FP separately for the (a) day and (b) night. We observe a very high correlation of 0.98 between the two data sets

for the day side and a moderate correlation of 0.47 for the night side. The relative difference between the FP and LP density measurements, defined as  $(Ne_{FP} - Ne_{LP})/Ne_{LP}$ , is 19% for the day side, and 34% for the night side, noting that the FP density measurements are generally higher than the LP density measurements. These results are in agreement with the recent study by Smirnov et al. (2021). In this context, it is worthwhile to emphasise that the LP processor algorithm needs to assume a certain

- 265 ion composition. This assumption is that ionospheric plasma at Swarm height only contains  $O^+$  ions. The FP data processing is done independent of the plasma composition. However, a contribution of  $H^+$  to the plasma composition would cause thermal effects, because for  $H^+$  the satellite velocity is not much larger than the thermal velocity. This is so far not taken into account in the data processing. Thus, the discrepancy between the FP and LP measurements could be caused not only by noise at low densities, but also by a contribution of  $H^+$  to the composition, in particular for the night side. Indeed, the electron density
- 270 in nocturnal regions is lower compared to the day side, which is due to the weaker sun illumination and consequently lower ionisation on the night side, and larger number of molecular ions (Kelley, 2009; Heelis and Maute, 2020). The comparison between the FP and LP density measurements demonstrate that the two data sets are in good agreement in day side regions. The results also show that the L1b PLASMA algorithm can be further improved by taking the difference in the ion composition between the day and night side into account. Possible ways to improve the plasma density computation are under investigation
- 275 and will be included in future baselines.

## 4 Known issues and future plans

The Swarm LP measurements have a high value for scientific investigations. However, few anomalies affect the LP measurements which are continuously monitored and investigated by the ESA Data Quality Team and the scientific community. The source of these anomalies is only partially understood, which leaves open questions in both physical and instrumental domains. This section is dedicated to the description of the occurrence of one of these anomalies, namely the occurrence of extremely high values in the electron temperature measurements, which has a large impact on the on data quality and scientific investigations. In addition, we describe the calibration of LP measurements, which will be introduced in the next baseline 06.

## 4.1 Extreme T<sub>e</sub> values

The ionospheric electron temperature typically ranges from a few hundred Kelvin during quiet periods at lower latitudes to a few thousands Kelvin during extreme events such as Steve auroral emissions (Archer et al., 2019), during which peaks of 8000 K were observed. However, the LP on board Swarm satellites occasionally measures  $T_e$  values up to more than twenty thousand Kelvin, which have to be considered as "extreme". Figure 9 reports the extreme  $T_e$  values that occurred in 2019, as a function of the solar elevation ( $\alpha$ ) and azimuth ( $\beta$ ) angles with respect to the spacecraft. The extreme  $T_e$  values represent around 0.1% of the data in 2019. In particular, about 19% of the extreme  $T_e$  values are located between  $\pm 50^\circ$  of QD latitude (green circles denoted EQ in the legend), 15% are located at latitudes higher than 50° (purple circles, NH in the legend), and 65% are observed at latitudes below  $-50^\circ$  (blue circles, SH in the legend). It is worthwhile to notice that the distribution is more scattered for

positive  $\alpha$  values, i.e. when the sun illuminates the spacecraft from the rear (anti-flight direction). On the opposite, we observe

% of $T_e$ extreme values					
Swarm A	Swarm B	Swarm C	QD-Lat		
0.09	0.07	0.15	ALL		
19.2	10.1	4.9	[-50 50]°		
15.4	18.4	16.4	$> 50^{\circ}$		
65.3	71.6	78.6	< -50°		

**Table 4.** Percentage of  $T_e$  extreme values ( $T_e > 20000$  K) observed during the 2019 at different latitudinal locations.

a more ordered distribution for negative  $\alpha$  values, i.e. when the sun shines on the front of the satellite. Similar results are obtained for all three Swarm satellites (not shown). This peculiar behaviour suggests that part of the  $T_e$  extreme values are probably related to instrumental disturbances possibly triggered by the sun illumination. Table 4 reports some statistics on the occurrence of extreme  $T_e$  values at different latitudes, which were observed in 2019. Numerous investigations are ongoing in order to identify the source of these extreme  $T_e$  values, which are more frequently observed in the southern hemisphere, as reported in Table 4, and occur at specific angles of the solar illumination of the spacecraft. The extreme  $T_e$  values are currently flagged as valid measurements. The next baseline will introduce a dedicated flag value to highlight this anomaly.

#### 300 4.2 LP calibration against ground measurements

The Swarm LP data have been extensively compared with other data sets during past years. For example, LP data have been compared with Digisonde (Singh et al., 2021), other missions (Liu et al., 2020), and with the International Reference Ionosphere model (IRI, Bilitza (2018)) during quiet as well as disturbed periods (Pignalberi et al., 2016). Swarm LP measurements also contributed to ionospheric modelling, as described in Pezzopane and Pignalberi (2019). Furthermore, Swarm measurements have been statistically validated as presented in Lomidze et al. (2018), by comparing LP data from December 2013 to June

- 305 have been statistically validated as presented in Lomidze et al. (2018), by comparing LP data from December 2013 to June 2016 with nearly coincident measurements from low- and mid-latitude incoherent scatter radars (ISRs). The ISR measures altitude profiles of ionospheric plasma and temperature. The ISR measurements usually extend beyond the altitude of the Swarm satellites, thus making them well suited for validation studies. The results demonstrate that Swarm LP measurements underestimate the plasma density by approximately 20% and overestimate the electron temperature by approximately 400
- 310 K. The results of Lomidze et al. (2018) allow to calibrate the Swarm LP density and electron temperature measurements. The calibration parameters represent the correction to LP data to obtain a better agreement with ground measurements. As discussed in section 3.2, the comparison between LP and FP data revealed a difference of the 18% in the plasma measurements on day side. At the present stage of the development, the calibration of the LP measurements yields a much better agreement between LP and FP density, where the remaining difference is only  $\sim 3\%$ . The calibrated LP measurements will be very useful for
- 315 future studies dedicated to the comparison of the Swarm LP data with other data sets. The calibration of the LP measurements will be implemented in the future baseline 06, where the difference between measured and calibrated LP data, obtained using calibration parameter presented in Lomidze et al. (2018), will be stored in a new variable in the L1B EFI-LP data products.

## 5 Conclusions

The quality control and validation activities performed by the Data Quality Team in the frame of the ESA Swarm DISC

- 320 reveal the good quality and instrument performance of the Langmuir Probes on board the Swarm satellites. The analysis demonstrated that the current baseline 05 plasma data products are substantially improved with respect to previous baseline 04. In particular, the electron temperature measurements are more stable and, on average, smaller with respect to the older baseline. The changes introduced in the current baseline lead to the recovery of past data gaps, increasing the data coverage and reducing the possibility of future failures for generating the data. The LP measurements have captured the ionospheric
- 325 plasma variability over more than half of a solar cycle, which revealed that the data quality depends on the solar activity, as shown in 7. In particular, plasma density measurements are more accurate during higher solar activity. On the opposite, electron temperature measurements are more stable during low solar activity. These results are highly related to the LP instrumental settings and are well tracked by the monitoring of the data quality. Plasma density LP data have good agreement with TII faceplate measurements, particularly on the day side. However, the comparison between the two data sets demonstrates a
- 330 weaker correlation on the night side. The disagreement in nocturnal regions is partially related to the fact that the LP processing algorithm assumes that the plasma is composed of singly ionised oxygen only. Investigations are ongoing in order to include molecular ions in the plasma algorithm and to improve the quality of the plasma density computation in future baselines. The next release of the L1B LP data products will include the calibration parameters for plasma density and electron temperature, which are statistically derived from a comparison with ground measurements. Furthermore, a dedicated flag will be introduced
- 335 to identify the extreme values of electron temperature. These changes will further improve the quality of the Swarm LP L1B data products and will further promote their application to a broad range of ionospheric studies.

#### 6 Data availability

In accordance with ESA Earth Observation Data Policy, all Swarm Level 1b and Level 2 products are freely accessible to all users at the site swarm-diss.eo.esa.int accessible via https://.

340 Author contributions. This study was led and coordinated by FC and SB with contributions and internal review by all named authors.

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Figure 1. Location of the LPs below the ram panel. Image credits: ESA/ATG medialab.



**Figure 2.** Schema of the L1B PLASMA operational processor. The blue boxes on the left side represent the input files, the central yellow box represents the PLASMA processor, and the right side boxes represent the processor outputs. In particular, the green box contains the EFI-LP L1B data products.



**Figure 3.** Difference between electron temperature  $T_e$  computed from baseline 04 ( $Te_{04}$ ) and 05 ( $Te_{05}$ ) as a function of quasi-dipole latitude, measured by Swarm A from 07-09-2018 to 13-09-2018. Blue squares are the daily averages of each one-degree bin in latitude, while vertical bars represent the standard deviation. The figure shows the difference during (a) day side, (b) night side, and (c) full orbits.



**Figure 4.** Plasma density (a) and electron temperature (b) measured on board Swarm B between 08/03/2018 and 15/03/2018, as a function of Latitude in quasi dipole coordinate, and time. The vertical lateral panel shows the average (squares) and standard deviation (vertical bars) for each degree in latitude. During this period the spacecraft was performing a noon-midnight orbit.



**Figure 5.** Overview of F10.7 cm and Kp indices over the  $\approx$  7 years of Swarm in orbit. The top panel shows the 10.7 cm radio flux, the panel below the Kp index. The bottom panels show, with a logarithmic color scale, the plasma density measured by Swarm A in ascending orbits for two representative time intervals of 1 year duration, July 2014-June 2015 and July 2020-July 2021. Time is on x axis, the quasidipole latitude on the y axis. The highest densities occur typically near the magnetic equator on the day-side, associated with the equatorial ionization anomaly. Very low densities are typically seen in the night-side mid-latitude trough and around the winter polar cap



**Figure 6.** Orbital averages of the electron density variation (red squares) with standard deviations (vertical bars) during (a) ascending and (b) descending orbit phases, observed by Swarm A from December 2013 to July 2020. Panel (c) displays the F10.7 index in solar flux units for the same period. Similar results are obtained also for Swarm B and C (not shown).



**Figure 7.** Daily (black lines) and monthly (red lines) percentage of invalid measurements (right axis) of (a) plasma density and (b) electron temperature measured by Swarm C from February 2015 to July 2020. The grey area in the panels represents the F10.7 index (left axis) in solar flux units in the same interval of time.



**Figure 8.** Comparison of the plasma density derived from the LP and FP on the (a) day side and the (b) night side as measured by Swarm C in February 2020. The black lines represent the linear fit obtained for the two data sets.



**Figure 9.** Electron temperature ( $T_e$ ) extreme values as a function of solar elevation ( $\alpha$ ) and azimuth ( $\beta$ ) angles as observed by Swarm C in 2019. Measurements located at latitudes between  $\pm 50^{\circ}$  in QD coordinates are represented with green circles (EQ). Measurements at latitudes smaller than  $-50^{\circ}$  are represented by using blue (SH) circles. Purple circles (NH) denote measurements at latitudes larger than  $50^{\circ}$ .