

The Soil heat ~~flow~~flux sensor functioning checks, imbalances' origins, and forgotten energies.

Bartosz M. Zawilski

5 CESBIO Université de Toulouse, CNES, CNRS, INRA, IRD, UPS.

Toulouse, 31000, France

Correspondence to: Bartosz M. Zawilski (~~zawilskib@cesbio.cnrs~~bartosz.zawilski@univ-tlse3.fr)

Abstract. Soil heat flux is an important component of the Surface Energy Balance (SEB) equation. Measuring it
10 ~~require~~requires an indirect measurement. Every used technique may present some possible errors tied with each
specific technique, soil inhomogeneities, or ~~physicals-phenomenon~~physical phenomena such as latent heat
conversion beneath the plates especially in ~~a~~-desiccation cracking soil or vertisol. The installation place may also
induce imbalances. Finally, some errors resulting from the physical sensor presence, vegetation presence or soil
inhomogeneities may occur and are not avoidable. For all these reasons it is important to check the validity of the
15 measurements. One quick and easy way is to integrate results during one year. The corresponding integration
should be close to zero after a necessary geothermal heat efflux subtraction ~~which should be included into the SEB
equation for long-term integrations.~~ However, below plate evaporation and vegetation absorbed water or rainfall
water the infiltration may also contribute to the observed short scale or/and long scale imbalance. Another energy
source is usually not included in the SEB equation: ~~the~~-rainfall or irrigation. Yet its importance for ~~a~~-short- and
20 long-term integration is notable. As an example, the most used ~~sensors~~sensor: Soil Heat Flux Plates (SHFP), is
given.

1 Introduction

On the surface of the soil, ~~a~~-daytime solar radiation and nighttime soil infrared radiation ~~generates~~generate an
25 important heat ~~flow~~flux called G . This ~~flow~~flux is either positive, heat ~~flow~~flux going down to the depths of the
soil and mainly due to solar heating, or negative, the soil surface temperature drops and therefore a heat ~~flow~~flux

risers from the ground to the surface mainly lasting at night. This heat exchange is important as ~~that~~the energy stored ~~into~~in the soil may be used for ~~the~~water evaporation (Penman, 1948, Monteith, 1965). Many ~~process~~processes, especially biological ~~process~~processes such as roots and microbial activities, are temperature-
30 dependent which is directly related to G . Also, the knowledge about G is necessary to check the well-known Surface Energy Balance or Budget (SEB) (Lettau and Davidson, 1957, Lemon, 1963) given by equation 1:

$$R_n - G = H + L_e \quad (1)$$

35 With R_n being the net radiation, H being the sensible heat ~~flow~~flux into the atmosphere and L_e being the latent heat flow (evaporation).

~~By~~For the sake of SEB closing, this equation may be completed including the vegetation heat storage S_c and photosynthesis activity S_p (Meyers and Hollinger, 2004). SEB closure allows us to have a quick quality check on all the concerned measurements (Oncley et al., 2002~~and~~, Oncley et al., 2007).

40 Depending on the concerned surface and period, all over the different energy fluxes, G part is significant and may reach up to 50% of R_n (Monteith, 1958, Idso et al., 1975, Choudhury et al., 1987). The soil heat ~~flow~~flux is not a direct measurement and ~~is~~ not evident as it cannot be done on the surface but, more or less, deeply buried into the soil. Different techniques are employed: flux plates (heat flux sensing thermopiles), calorimetric (temperature temporal variation), temperature gradient or combination (simultaneous calorimetric and gradient measurement or
45 flux plate and above storage measurement), see Sauer and Horton (2005), for ~~a~~recent review see Gao et al. (2017). All the used techniques are sensing only *conduction* heat transfer. ~~Radiation or convection are~~Convection heat transfer is not sensed. The radiation concerns a soil surface and is sensed by ~~a~~ radiometer and included in R_n and the convection ~~concern~~concerns fluids (liquids or gases) and may potentially bias the measurements but ~~are not sensed and~~usually are not ~~sensed nor~~included ~~into~~in SEB or G corrections.

50 One of the most used ~~technique~~ G sensors is the SHFP buried in the soil. As ~~with~~ every sensor, these plates are subject to biases and errors. Some of these errors are specific to the ~~used~~ heat flux plate ~~measurements~~ technology, ~~(thermopile)~~, others are rather specific to the surface exchanges and soil inhomogeneities. Whatever is the sensor used for G determination, it is important to check if the acquired measurements were representative of the surface energy exchanges or possibly biased by inhomogeneities. Further considerations ~~treating~~deal with the flux plates
55 sensors example.

SHFP sensing temperature ~~difference~~differences across their thickness. This temperature difference is proportional to the heat flux going through the plate and inversely proportional to the ~~plate~~plate's thermal conductance.

Nevertheless, because the soil thermal conductivity is not the same as SHFP thermal conductivity (and then its thermal conductance) the heat flux density is deformed and the measurement is biased (Philip, 1961; Sauer et al., 2003). As the soil thermal conductivity ~~change~~changes greatly with soil water content and soil density (Sepaskhah and Boersma, 1979), flux plates have to be periodically calibrated. Nowadays, the commercial self-calibrating SHFP are available and are calibrated by heating their upper side ~~by~~with a deposited thin resistor and then checking the ~~proportion~~part of the sensed heat versus ~~proportion~~the part of the produced heat forming a real-time calibration factor. Liebethal (2006) checks the correct functioning of this calibration. However, ~~SHFPs~~SHFPs are punctual ~~(only a small surface is sensed)~~, invasives, and are subject to bias measurements (Sauer and Horton, 2005). As for every punctual sensor, there should be enough installed plates ~~in-order~~ to ensure a spatially representative measurement. The measurement of SHFP buried at some depth ~~need~~needs to be completed by adding the upper soil layer heat storage ~~in-order~~ to obtain surface soil heat flux (Ochsner et al., 2007). And finally, as the soil heat plates are sensing only sensible heat fluxes by conduction, any evaporation taking place under the plate, water vapor flowing through the soil into the atmosphere is not sensed causing an imbalance of up to 100W/m² (Buchan, 1989, Mayocchi and Bristow, 1995).

Nevertheless, the flux plates placement remains controversial. On the one hand, ~~in-order~~ to avoid sensible heat to latent heat conversion (evaporation or condensation) beneath the ~~plates~~plate biasing measurement, ~~numerous~~numerous authors and adopted the ICOS protocol (Op de Beeck et Al., 2018) are suggesting 5 cm depth burring. On the other hand, Gentine et al. (2012) is indicating a systemic error due to high-frequency solar radiations variation not sensed by ~~a~~ deeply buried SHFP or temperature profile sensors and suggest then 2mm depth.

This short note deals with how to assess the correctness of SHFP functioning and highlighted possible ~~imbalance~~imbalances. It does not deal with the soil layer heat storage above the plate which should be measured and added. Other energies than solar radiation energy should be added to the surface energy balance equations if applicable.

2 Materials and Methods

Soil heat plates used for these studies were HFP01SC self-calibrating flux plates from Hukseflux Thermal Sensors B.V., Delftechpark 31, 2628 XJ Delft, The Netherlands. The used datalogger was a CR1000 from Campbell

Scientific, Logan, Utah, USA. Autocalibration is triggered every seven hours: ~~during~~for four minutes heating with 1.4 W power.

For comparison of different operational modes, including or not including the data acquired during and
90 immediately after all calibration periods, data are collected by the logger either every one minute and stocked with
a flag corresponding to the calibration initialized every seven hours or averaged every 30 minutes including the
calibration periods. This allows checking the influence of the calibration heater inclusion in the collected data.
Plates are used on ~~aan~~ ICOS cropland site FR-Lam (43°29'47.21"N, 1°14'16.36"E, silty-clay: 50.3% clay, mainly
Kaolinite, 35.8% silt, 11.2% sand, 2.8% organic matter). ~~according to the classification described by Malterre and~~
95 ~~Alabert, 1963~~). Results reported in this paper concern the year 2020 with winter wheat (*Triticum aestivum*) culture.

3 Results and discussion

3.1 SHFP a posteriori checks.

Using the SHFP is probably the easiest way for monitoring G and this point may explain the relative popularity of
this technique. In this paper, only the soil flux plate functioning is described and no consideration is given to the
100 above soil heat storage measurement which is another challenge.

In the ideal conditions, the soil temperature changes seasonally but after one year it recovers its initial temperature
whatever is the sensed soil temperature depth. ~~Off~~Of course, it is an approximation because there are no two
identical years and the soil temperature may vary slightly from one year to another. By simplification, if we are
assuming the ~~solar-radiation~~ heat stored in the soil ~~did~~does not change after one year, then the total sensed surface
105 heat flux exchange should be negative– due to the geothermal heat flux as explained in the next paragraph.

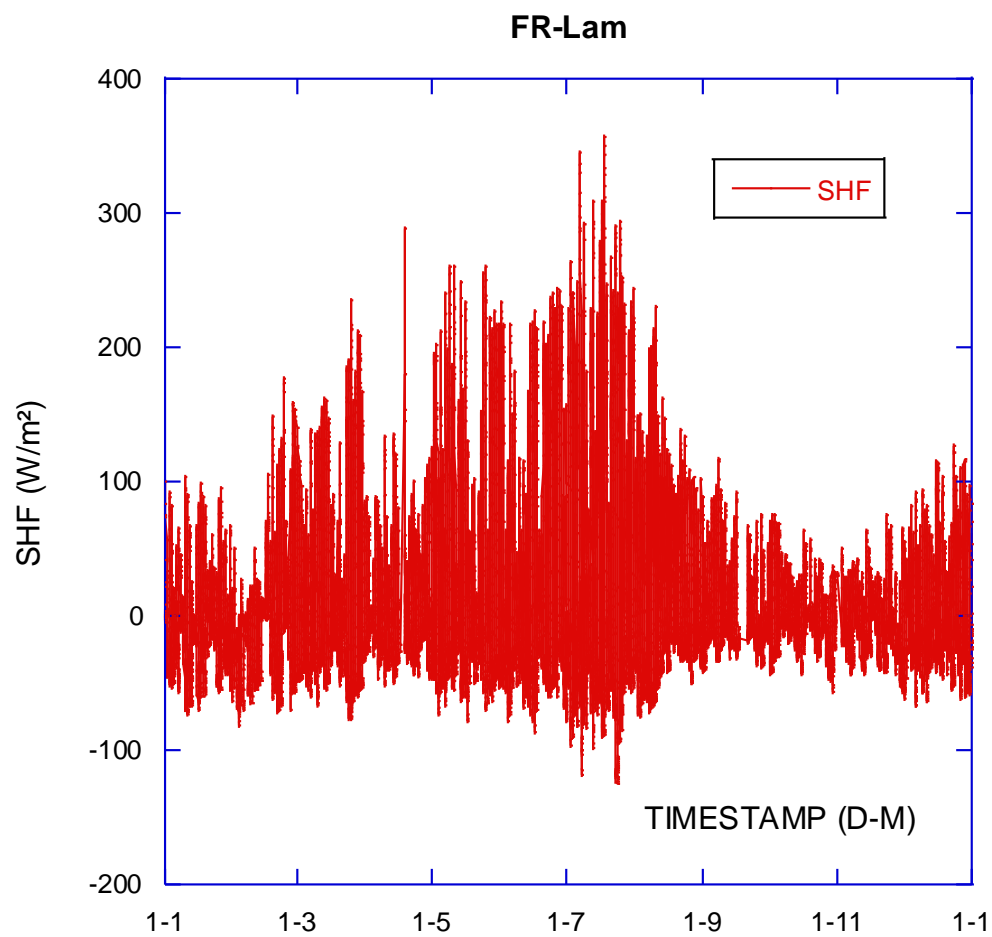


Figure 011) Soil Heat flux measured by a self-calibrated heat flux plate during one year.

3.2 Heat flux origins and imbalances.

Indeed, ~~#~~SHFP sensed soil heat flux is not nil since it includes the geothermal heat flux G_{TH}^L emitted by the Earth (Elder, 1965). On average, the soil emits 82 mW/m² ~~that~~which is -25 MJ/m² a year depending ~~on~~the geolocalization-geolocalization.

Figure ~~01~~1 depicts the soil heat flux recorded by one of our ~~SHFPs~~SHFPs installed at the border of an enclosure and considered as a “reference” for data gap filling when ~~others~~other plates have to be temporarily removed (soil operation on ~~a~~ cropland). It is difficult or even impossible to know if the measurements are valid based only on that figure. As described in Appendix A once the geothermal contribution is subtracted, the annual integration of a one-dimensional soil heat flux should be nil. Using an integration of the concerned measures, after G_{TH}^L subtraction:

$$G^C = G - G_{TH}^L$$

(2)

and during one year, starting from zero, we should also end the year at zero (Fig. ~~02~~2). ~~As mentioned previously, we have to subtract the geothermal heat flux to the sensed heat flux if expecting to reach a zero level by heat flux integration over a year.~~2). The geothermal heat flux varies ~~a lot~~strongly on the ~~Earth~~Earth's surface being localization specific. In our case it is about 75 mW/m² ($W = -24$ MJ/m² a year). Section 3.2.5 shows the geothermal correction on FR-Lam which is not negligible even if the geothermal heat flux is relatively small. As we can see ~~on the~~in Fig. ~~022~~2, SHFP, geothermally corrected, G^C measurements integration is not nil and the geothermal energy correction make the imbalance even worse. Far to be negligible, the observed imbalance represents about 10% of the integrated absolute sensed soil heat flux.

The same plate emplacement gives an imbalance more or less important during different years but still always largely positive and represent always about 10% of the integrated absolute flux. The observed largely positive imbalance may be tied ~~with~~to the heat flux plate technique and the installation emplacement. Indeed, Ochsner et al. (2006) compared different methods and ~~reporting mains~~reported main errors sources for SHFP; thermal conductivity causing a possible heat ~~flow~~flux distortion, a thermal contact between the plate and the soil, latent heat ~~loses~~loss, and water (liquid or vapor) flow disruption. Both, the ~~different from~~difference between surrounding soil plate thermal conductivity and the poor thermal contact can be overcome by self-calibrating plates. For the rest of this paper, by convention, for the long-term important heat ~~flows~~fluxes correction, a superscript “L” is added and for short-term important heat ~~flow~~flux corrections, a superscript “S” is added. When a correction is important for both, short- and long-term measurements, no superscript annotation is added. Theoretically speaking,

the geothermally corrected overall soil heat flux G^C annual integration should be nil and the possible imbalance has two distinct origins.

3.2.1 Beneath SHFP evaporation-

~~The heat conversion from sensible to latent heat is arising below the plate bias balance as the corresponding upcoming energy (latent heat) is not sensed anymore by the plate, however is still sensed by air phase L_e sensors such as eddy covariance setup.~~

~~The subsurface evaporated water is then added to the surface evaporated water when the corresponding energy was already accounted by the soil heat flux plate measurement as sensible heat before the conversion. It is a *double counting* as highlighted by Ochsner et al. (2006). Nota bene, the reality is even more complicated as the water vapor created under the plate may needs some time to emerge from the soil. The sensed water vapor in the air is then not only with multiple origins but also with multiple conversion time complicating SEB closure.~~

~~In our case, the positive imbalance may be, in part, due to the below plate evaporation. As the plate is buried in a high clay content soil, the desiccation cracking may allow deep soil evaporation (Selim and Kirkham, 1970).~~

- The presence of horizontal heat fluxes resulting mainly from a narrow soil or energy apport inhomogeneity, such as a partially shadowed surface, are described in section 3.2.1. The sensed imbalance is real but the measurement is not valid as the heat flux is no more perpendicular to the plate surface (no more vertical). The overall measurement should include plates on both sides of the inhomogeneity to accurately represent soil heat flux.

- The convective, not sensed, heat fluxes such as beneath plate evaporation, root pumped water, rainfall water infiltration, and so on, are described in the sections from 3.2.2 to 3.2.4. The corresponding measurements leak should be assessed and added for sake of SEB closure.

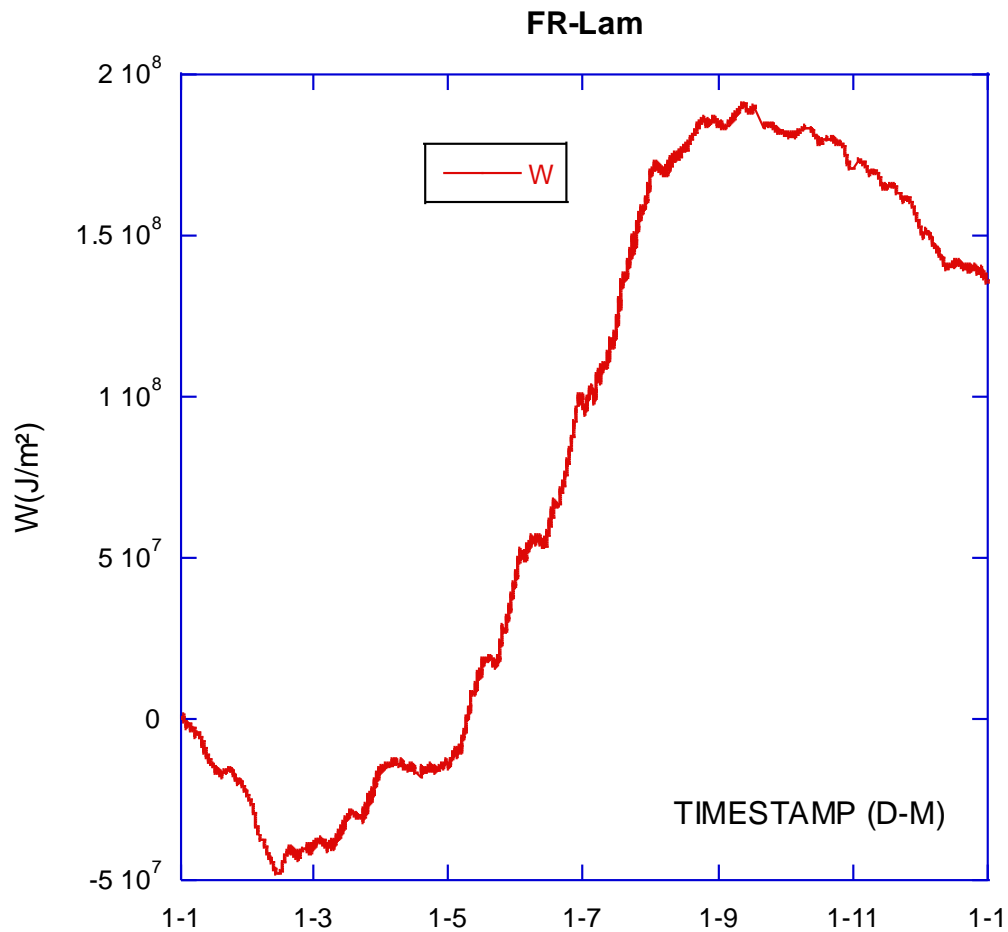


Figure 022) Soil heat flux Integrated during ~~a~~one year.

165 3.2.21 Sunshine or soil inhomogeneities.

~~Another cause may be the enclosure proximity with a higher soil density comparing to the surrounding tiled soil, the explanation given further in the text. Yet another possible bias, either positive or negative~~An important imbalance, ~~is~~ may be induced by the soil surface inequal sunshine resulting in a non-uniform, direction-dependent, heat ~~flow~~flux density. Making abstraction of ~~a~~ heat storage above the flux plates and a possible non-uniform soil

170 heat capacity below the plates, we can consider a simple limited shadowed surface case.

Figure 3 depicts a partially shadowed soil surface with three SHFP. Plate A is installed on a sunny surface far from any shadowed surface. Plate B is installed under a sunny surface but close to a shadowed surface and plate C is installed under a shadowed surface. During ~~a day~~the daytime (Fig ~~03.3~~3. a) plate A and plate B will sense the same amount of heat resulting from ~~the~~ solar heating. Plate C ~~being~~is installed under a shadowed surface, only a little heating is sensed by this plate. Below ~~the~~ plate A, the soil is constituting a heat storage S_A with all the heat penetrating the soil. Below ~~the~~ plate B, one ~~part~~part of the penetrating heat is going under the near, shadowed surface as the soil is over there colder and only a part of the total heat sensed by plate B is stored as S_B . Below ~~the~~ plate C, only a weak heat is penetrating the surface and the storage S_C is constituted from this heat raised by the heat coming from the near sunny surface. We have then a relation:

$$S_A > S_B > S_C$$

(23)

In, the case of a relatively small shadowed surface we can even assume $S_B = S_C$. ~~The~~At night (Fig. ~~03.2~~2.b), the soil below ~~the~~ plate A is giving back the heat drawing from the storage S_A . The same for the soil below plate S_B and S_C . However, the heat flowing up will be proportional to the corresponding heat storage and ~~the~~ equation ~~23~~23 is also valid for nocturnal heat effluxes. Then, the daily balance of ~~the~~ plate A will be close to zero, B plate balance will be positive and C plate balance negative. ~~We can observe then imbalances with perfectly working flux plates without latent heat problems.~~ Of course, if ~~the~~ plate B is placed at a “symmetrical” emplacement of ~~the~~ plate C, the positive daily imbalance of plate B is then opposite of C plate imbalance, averaging these two plates will recover the accurate measurements. This is one of the reasons to have ~~numerus~~numerous plates installed. However, a common behavior would push us to do not install plates under a shadowed surface. Furthermore, this imbalance case is also valid for ~~a~~the coldest soil location due to a higher soil water content (Cabidoche and Voltz, 2005), especially in ~~a~~ clayey soil. Indeed, if the soil surface is not perfectly flat or cracked, after a consequent rainfall and possible runoff (Novák et al., 2000) the rainfall water will naturally concentrate in all surface hollows and cracks.

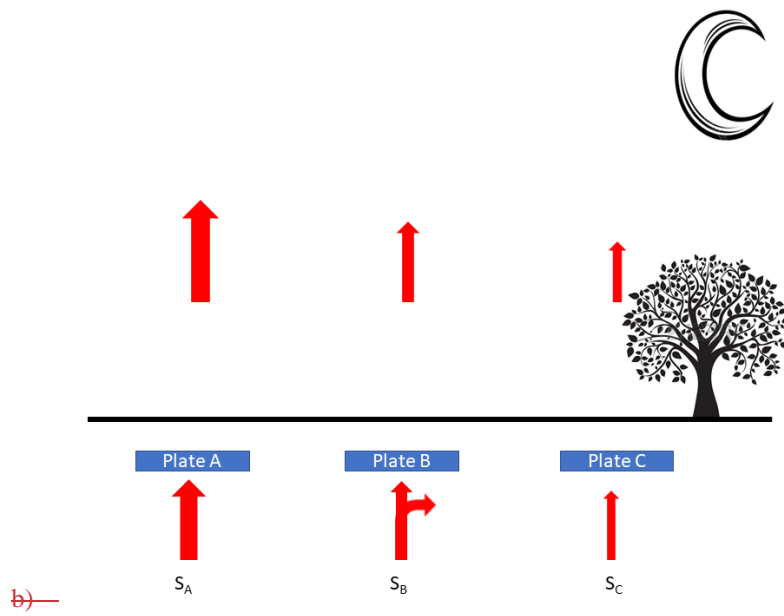
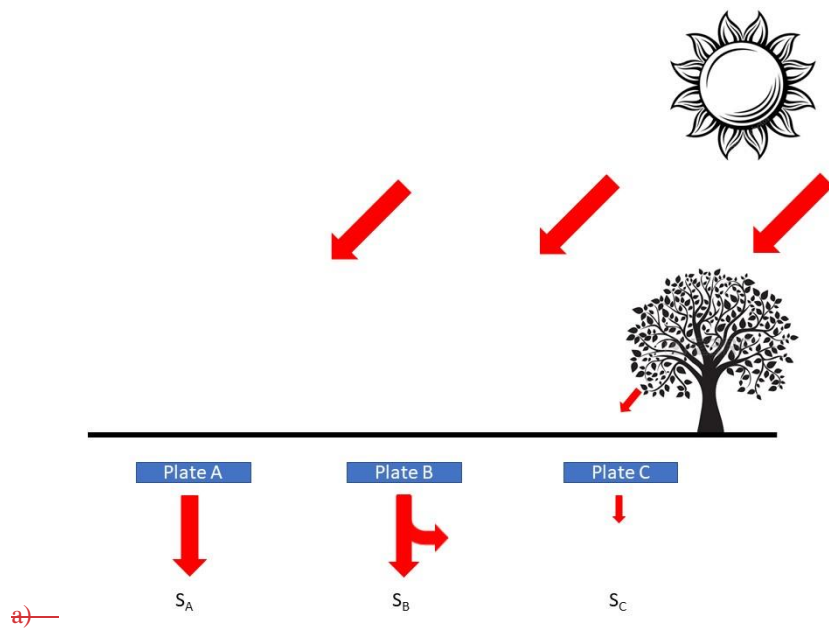


Figure 03) a) Daylight resulting heat flow on a sunny surface A with resulting Heat storage S_A , sunny surface B (Storage S_B) with close shadowed surface C (Storage S_C). b) Nighttime heat flow resulting from heat storages emptying.

These hollows or cracks will become colder than the rest of the soil and a natural underground heat transfer will attempt to equalize soil temperatures creating corresponding SHFP measurements imbalances. A-Non-uniform evaporation (different ~~texture~~ textures or cracks) creates also non-uniform soil temperatures. A non-uniform soil heat capacity (non-uniform density) is causing also in-depth heat exchanges. During the day, soil heat fluxes tend to rise (vertical fluxes) and ~~to equalize~~ equalize soil temperatures (non-vertical fluxes) ~~when~~ while during the night, the soil cooling is mainly resulting from a radiative exchange following Stefan-Boltzmann law:

$$M = \sigma \epsilon T^4$$

(34)

With M being radiant emittance (emitted energy per unit time per unit area), σ being a constant, ϵ being the soil emissivity and T being the soil temperature.

Contrarily to the heat exchanges due to temperature differences this law is highly non-linear, then nighttime exchanges will not recreate ~~day-time~~ daytime soil temperature inhomogeneities and resulting non-vertical soil heat fluxes do not compensate ~~for~~ the ~~day-time~~ daytime non-vertical soil heat fluxes. For this reason, for better representativity, SHFP shouldn't be placed in a vicinity of a pit dug for soil water content probes or any other ~~artificial~~ recent pit with an altered soil density ~~neither~~ nor in a vicinity of an abnormally compacted soil (enclosures)-) unless another plate is placed on the other side of the inhomogeneity to compensate the imbalances.

In general, any soil temperature difference will give rise to below surface non-vertical heat exchanges creating surface heat fluxes imbalances. ~~On~~ These imbalances are positive and negative depending on which side of the inhomogeneity boundary is located in the measuring SHFP. By energy conservation, the real overall imbalance is nil. This point is very important as for the correct special representativity the plates should be placed on both sides of the inhomogeneities boundaries measuring on both sides for a correct inhomogeneity representation. The overall measurement, averaging measurements of all the plates around an inhomogeneity, should display a nil imbalance.

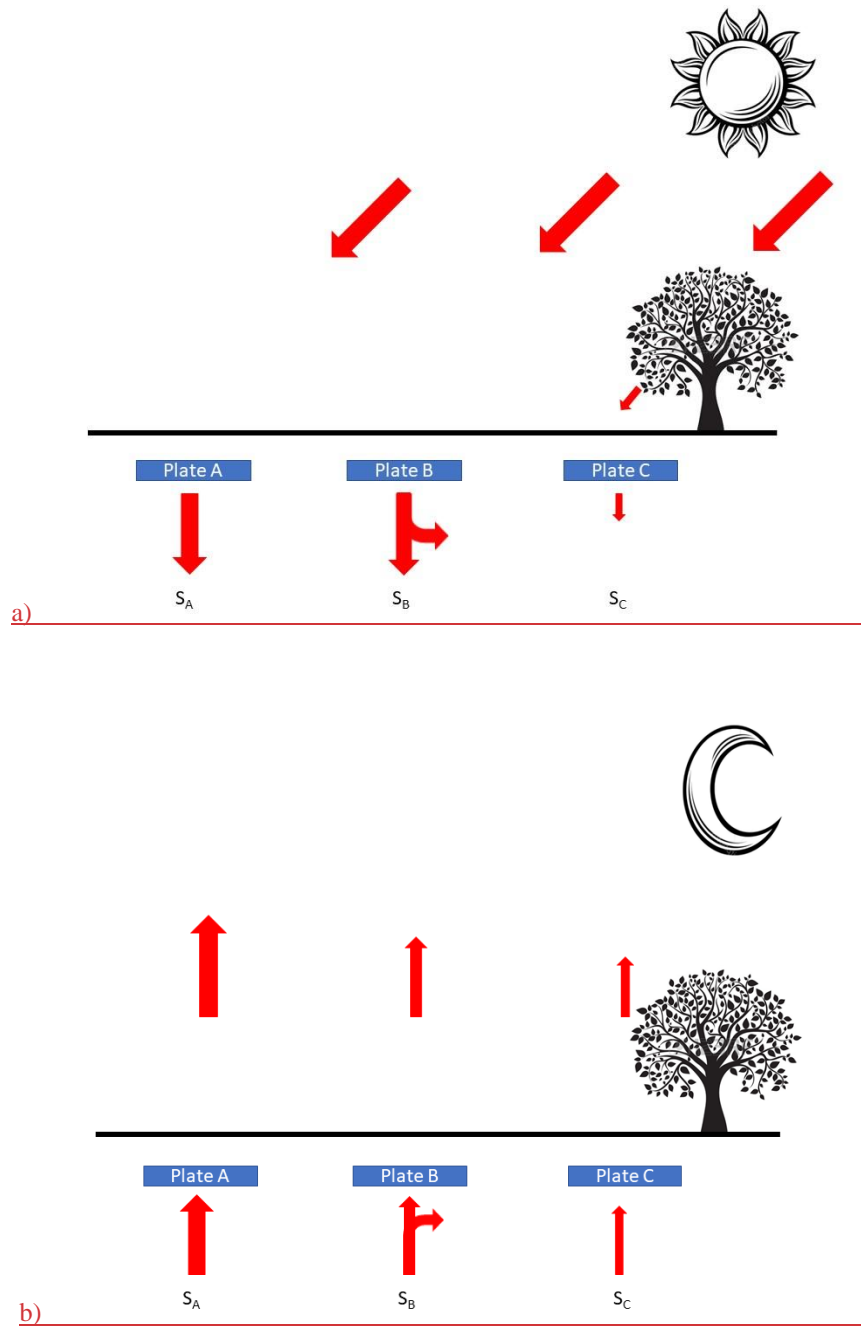


Figure 3) a) Daylight resulting heat flux on a sunny surface A with resulting Heat storage S_A , sunny surface B (Storage S_B) with close shadowed surface C (Storage S_C). b) Nighttime heat flux resulting from heat storage emptying.

For example, considering the previously depicted partially shadowed surface, supposing that we have only two plates installed on this surface. If it is plate A and plate B, then the overall heat flux imbalance will be positive. If it is plate A and plate C, the overall heat flux imbalance will be negative and, if it is plate B and plate C; the overall heat flux imbalance will be nil. Using annual integration, we can see immediately that plate A does not have any inhomogeneity boundary in the vicinity and that plate B and plate C are “symmetric”. In the case where only two plates are used, by individual integration we can see if the inhomogeneity boundary is present and was correctly compensated by placing as many plates on one side as on the other side. Of course, the reality is a bit more complicated since not only one inhomogeneity may be present, and convective fluxes causing also imbalances. However, the convective fluxes discussed later in this paper are less localized and an overall imbalance is easily identified in the FR Lam field-deployed plates.

We can expect to overcome imbalances due to surface soil inhomogeneities using ~~numerus~~ numerous flux plates “judiciously ~~placed.~~” placed. A much better understanding of the observed soil heat integration imbalances would be given by a correct three-dimensional heat flux measurement and not only one-dimensional measurement. Three-dimensional heat flux sensors were proposed by Domínguez-Pumar et al. (2020) for regolith (fine soil, or dust of planets without atmosphere). To my knowledge, a three-dimensional soil heat flux sensor for terrestrial use does not exist yet. A quick but not cheap solution would be to borrow three plates: one horizontally and two others vertically orthogonally to each other. Any horizontal heat flux reveals an inhomogeneity boundary.

If we are assuming that the observed unbalance is mainly due to ~~a beneath flux plate evaporation~~ convective fluxes, a minimization of the corresponding systemic error (~~double counting~~) may be attempted by the yearly based soil heat balance closure with a deduced statistical correction.

Considering only a field-deployed SHFP first we can integrate their measurements with an adequate G_{TH}^L correction over a year ~~in order to~~. Based on the computed imbalance and its deviation from an overall imbalance, decide which plate is correctly representative and which plate is not (Fig. ~~044~~). Discard data from obviously biased plates (G42 and G51 in this example) and form the average overall measurement with the remaining data. ~~Correcting with an adequate G_{TH}^L , by integration, we can estimate the soil surface heat exchange imbalance.~~ We have to note that ~~this year the~~ soil considered data soil's December temperatures were slightly cooler than the ~~soil soil's~~ January temperatures. ~~Difference ranging~~ Differences range from 2.5 ~~degree~~ degrees to 1 degree depending ~~of on~~ the depth (2.5 ~~degree~~ degrees cooler at the surface and 1-degree cooler at 100 cm depth). But the

calculated heat flux imbalance does not correspond ~~then~~ to the soil temperature variation and would be even bigger if the soil temperatures were the same at the beginning and at the end of that year. The fact that there is a large, quasi constant, soil heat imbalance in all ~~theremaining~~ measured locations, is suggesting that this imbalance is not resulting from ~~soil~~-inhomogeneities. We can then attempt to correct it by convective heat flux considerations. Below are listed some of the convective fluxes that can also cause notable imbalances.

3.2.2 Soil gas exchanges.

The soil is exchanging gazes, mainly respiration: CO₂ coming from the soil and absorbed O₂, and subsurface evaporation/condensation. For respiration, due to the characteristic heat capacity difference of CO₂ and O₂, we may also expect an energy exchange. This is the case but the total amount remains negligible (yearly about 100 J/m² for winter wheat culture).

The heat conversion from sensible to latent heat arising below the plate bias balance as the corresponding upcoming (or downcoming in the case of condensation) energy (latent heat) is not sensed by the plate, however, is still sensed by the air phase L_e sensors such as eddy covariance setup.

The subsurface evaporated or condensed water is then added to the surface evaporated or condensed water when the corresponding energy was already (in the case of subsurface evaporation) or will be (in the case of condensation) accounted for by the soil heat flux plate measurement as sensible heat before or after the conversion. It is a *double-counting* as highlighted by Ochsner et al. (2006). Nota bene, the reality is even more complicated as the water vapor created or condensed under the plate may need some time to emerge or infiltrate from or into the soil. The sensed water vapor in the air is then not only with multiple origins or pits but also with multiple conversion times complicating SEB closure.

In our case, the positive imbalance may be, in part, due to the below plate evaporation. As the plate is buried in a high clay content soil, the desiccation cracking may allow deep soil evaporation (Selim and Kirkham, 1970).

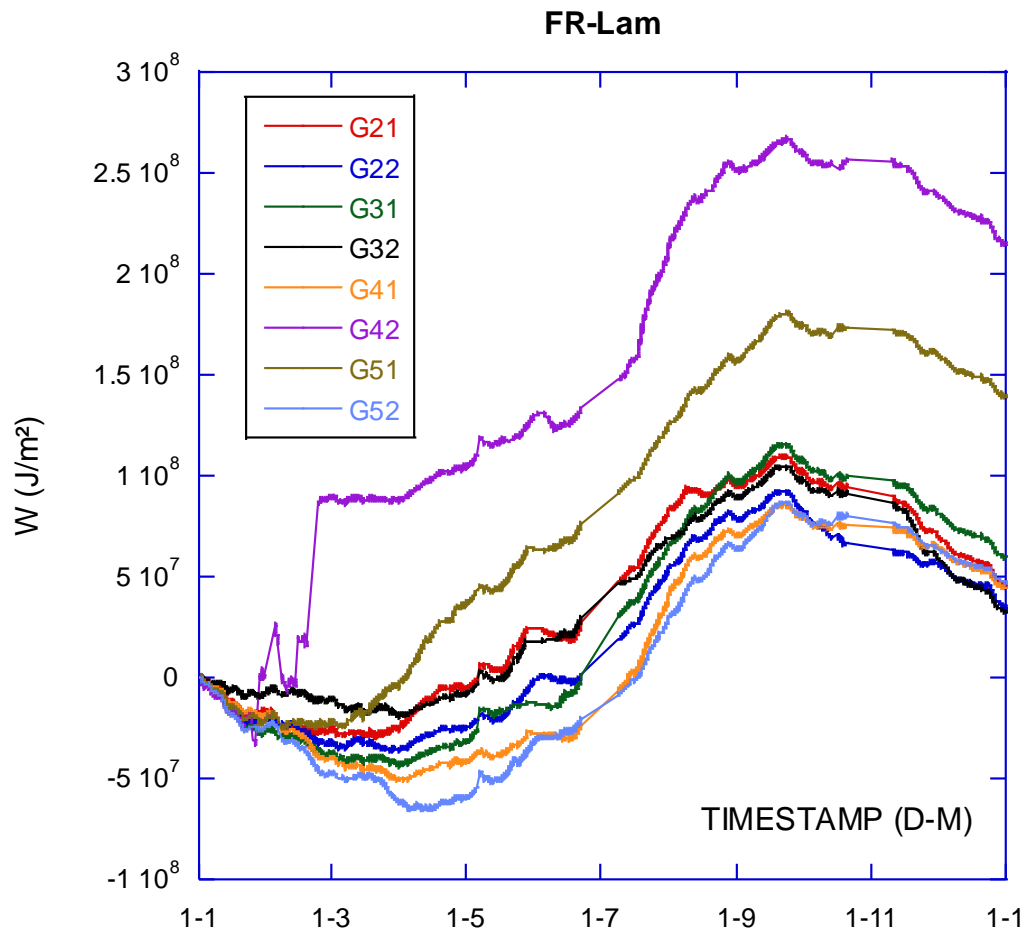


Figure 044) Integrated raw measurements of eight heat flux plates installed on FR-Lam on an agricultural plot (cropland).

3.2.3 Evapotranspiration, ~~positive imbalances sources.~~

A question remains open: except for a latent heat conversion below the SHFP is there another possibility to cause the soil heat flux imbalances? For example, the water absorbed by the roots is routed to the leaves and evaporated chiefly during at the daytime, and the hot seasons. This water migration, is similar to ~~a~~ convection and is not sensed by any heat flux sensor. Moreover, during the hot seasons, the deep roots absorbed water has a lower temperature than the soil surface temperature. ~~In order~~ To equalize its temperature with the surrounding soil a heat transfer ~~takes~~ takes place lowering the soil temperature then lowering the soil ~~the~~ heat storage and accentuating the heat

transfer from the soil surface. Figure 055 depicts the water absorbed by the wheat roots, flowing through the vegetable body and evaporating by the leaves.

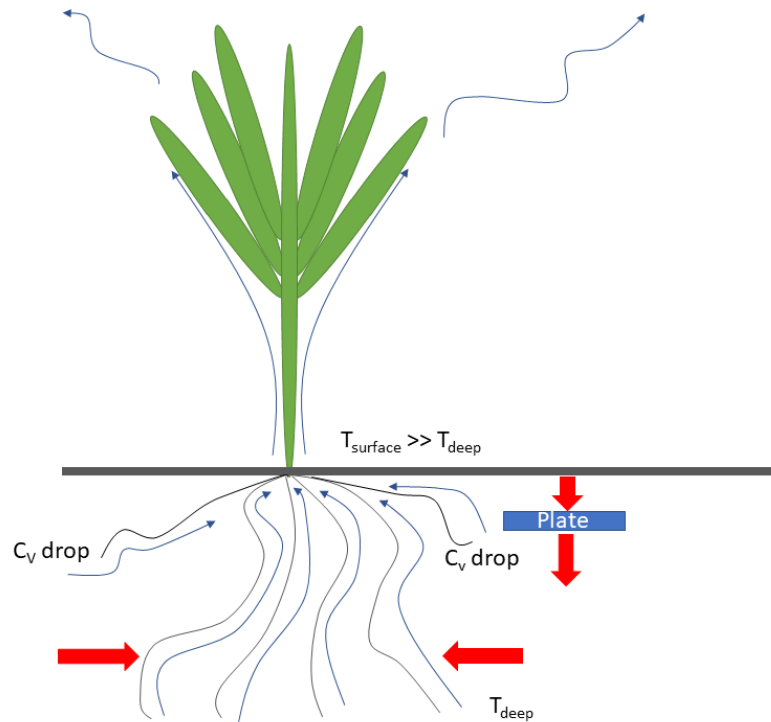


Figure 055 Root absorbed water is flowing up from the deep soil at low temperature to the hot sun heated soil surface provoking a heat transfer between the soil and the roots. Shallow roots ~~absorbing~~absorb water drying soil lowering its heat capacity C_v . Water is storing then energy is evacuated from the soil.

Even if the root absorbed water coming from the shallow soil layer, as water is an important part of the soil heat storage due to its high heat capacity, the daytime dried soil's heat capacity drops and, by nighttime, the soil is not able to counterbalance the daytime heat flux as the storage is not only a question of temperature but also a question of heat capacity. The water absorbed by the root, with corresponding stored energy, is not sensed by SHFP and there will be a resulting positive unbalance as the water stored energy is no more ~~disponible~~available for nighttime opposite transfer. In general, any mass flow from beneath the SHFP, gaseous, liquid, or solid, will give rise to an energy evacuation and then heat flux imbalance. Considering the winter wheat daily water usage, the soil water table (assumed as only one source of the root absorbed water as winter wheat roots may reach over two meters

depth (Thorup-Kristensen et al., 2009)) and the temperature difference with SHFP level soil temperature, a very rough estimation of the energy withdrawn from the soil bellow SHFP, gives an imbalance of about 20 MJ/m² a year for winter wheat (the culture of the considered year on FR-Lam). The assessed imbalance source is then comparable to the geothermal correction (see Sect. 23.2.5) with an opposite sign, and cannot explain alone the observed imbalance ~~on~~ in Fig. 044 (50 MJ/m²). However, this estimation is certainly underestimated as the transpiration ~~taketakes~~ place mainly during the daytime when the temperature gradient between the soil surface and the deep soil is much more important than during the night. Then, the daytime deep soil water evacuation ~~withdrawn~~withdraws more energy than during the night and the daily average of the transpiration is underestimating that energy. Also, during the bare soil period, the surface evaporation is forcing the soil water to migrate from the deep layers to the dried shallow layers. This migration is not sensed either by SHFP and adds a positive imbalance again rather for a long-term imbalance. The corresponding correction is noted G_{ET}^L . ~~Note that only the beneath SHFP cause double-counting problem~~A similar mechanism causing soil heat flux imbalance is the soil water redistribution so-called *water lift* when some deep-rooted plants are pumping water from the deep wet soil layer and releasing it into the shallow dry soil layer due to the water potential Ψ gradient (Horton and Hart, 1998). During the hydraulic lift, no evaporation is involved. Depending on how deeply is released deep-root pumped water, namely below or above the SHFP's level, the resulting convective flux may bias SHFP's measurement too.

Note that only the beneath SHFP evaporation/condensation causes a *double-counting* problem.

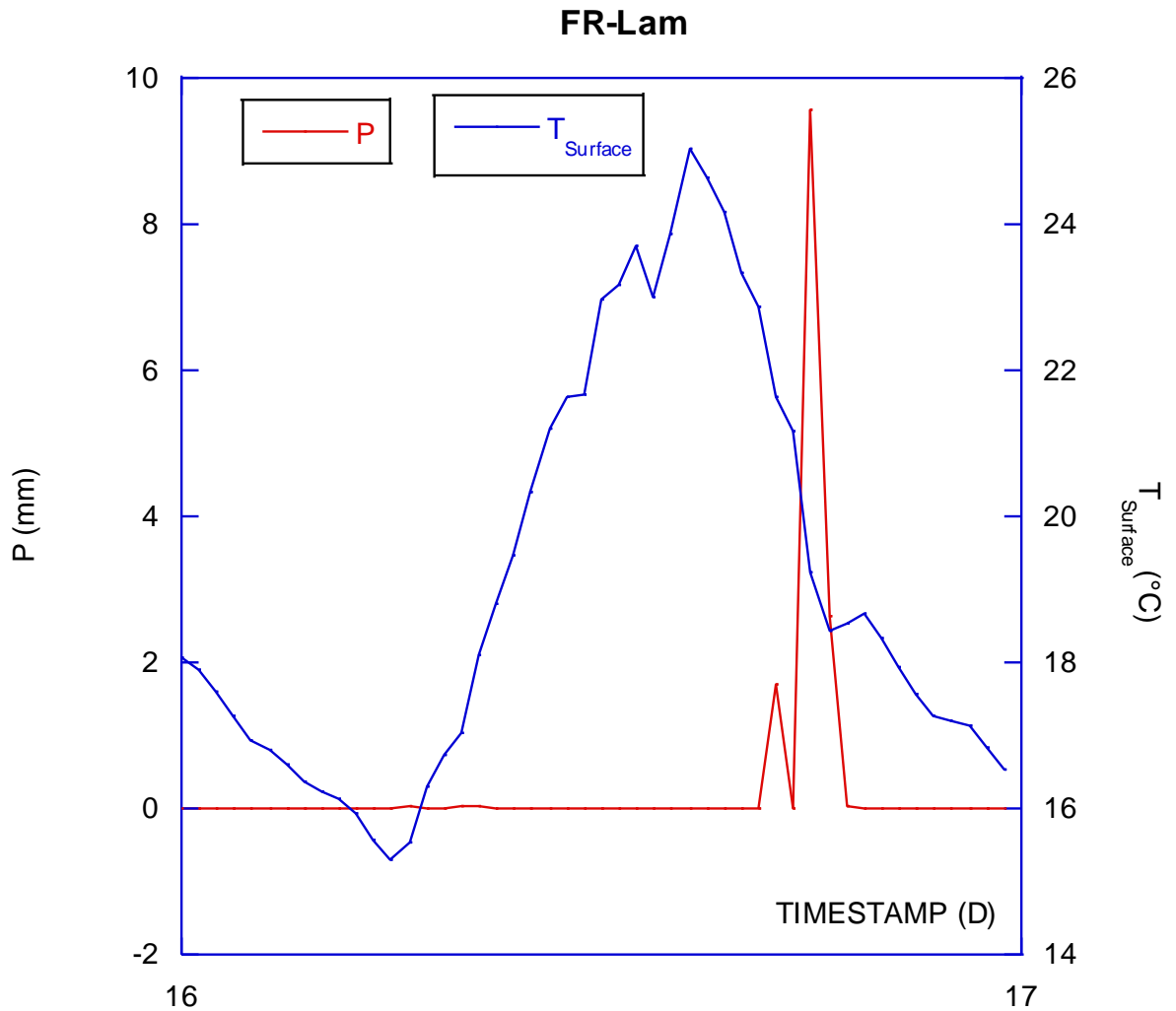


Figure 066) Rainfall soil surface temperature cooling.

330

3.2.4 Rainfall or irrigation is a negative and positive imbalance source.

On FR-Lam, the main water inputs are rainfall and irrigation. Other water inputs such as snowfall or hailfall are extremely rare. Note that with the snowfall and hailfall energy apports would be more difficult to assess since there is also heat absorption during later liquefaction.

335 The rainfall or irrigation P (in mm of water) is causing the soil surface cooling and provokes a negative soil heat flux (Fig. 966). This does not affect the SHFP balance (not at this stage, see further text) but the corresponding energy H_p needs to be included in the SEB equation (see equation 68) as it is an external frigories apport proportional to rainfall intensity $P_I = \frac{\delta P}{\delta t}$, to the water heat capacity C_w and to the difference between falling water temperature T_w with the soil surface temperature T_s :

340
$$H_p = P_I * C_w * (T_w - T_s)$$

(45)

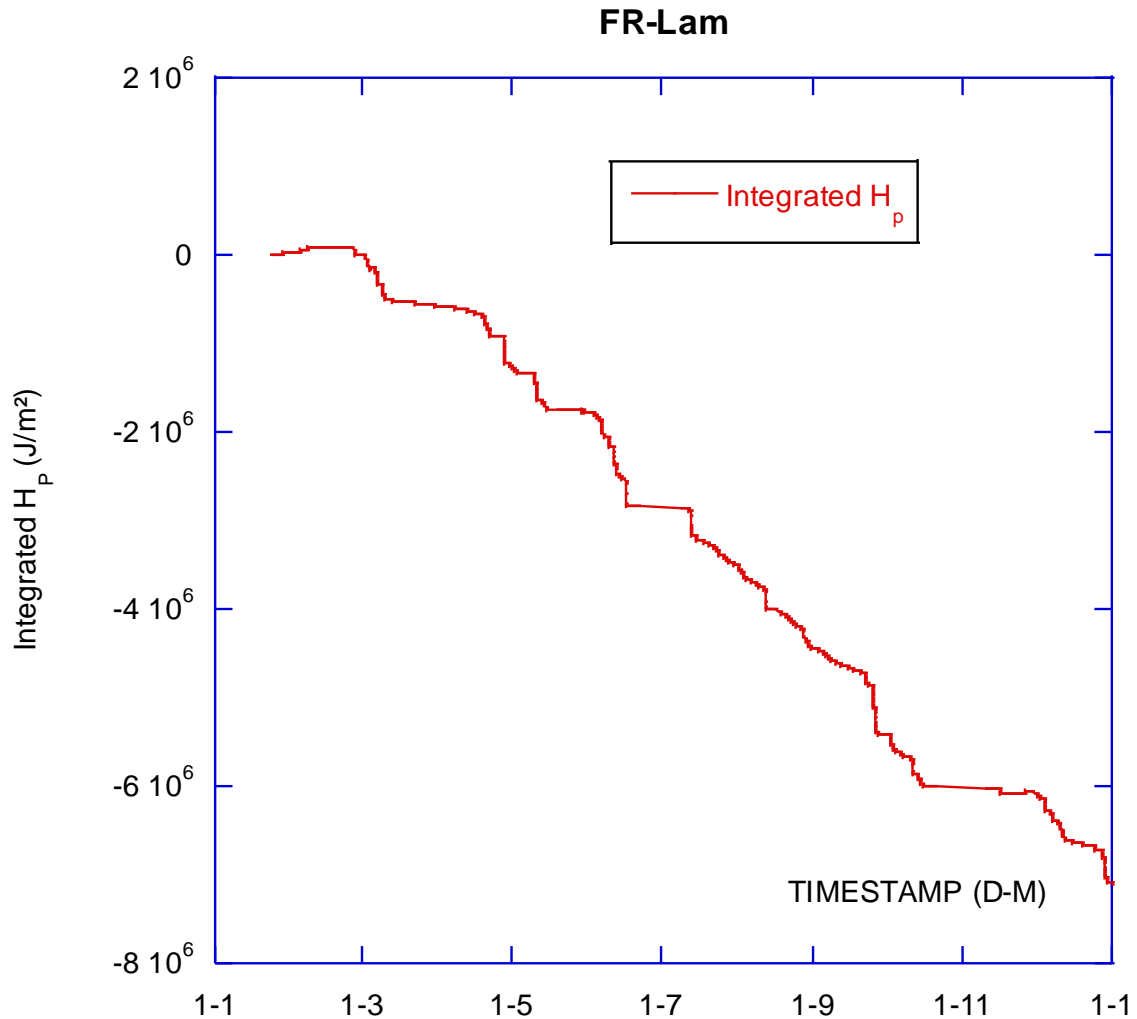


Figure 977) Integrated rainfall cooling H_p .

Unfortunately, we do not have any instrument installed on FR-Lam that can provide us with a ~~rain water~~rainwater temperature. As a rough approximation, the air temperature is used assuming that the falling water has the same temperature as the ambient air (this assumption is ~~absolutely~~—not valid for irrigations and ~~overestimate~~overestimates water temperature for natural precipitations). After one year ~~of~~precipitation, we obtain -7 MJ/m² (Fig. ~~077~~) which is not ~~negligeable~~negligible on the annual scale. On the short scale, the rainfall soil cooling is very important and the corresponding SEB is greatly affected (considering data shown in ~~the~~Fig. ~~066~~, cumulated rain cooling energy is $E_p = -289$ kJ/m² and SHFP measurements ~~shows~~show that when it would be about -10 W/m² of heat flux without the rain, it was -70 W/m² with the rain).

The rainfall (or hailfall) is also bringing energy through its high kinetic energy is important enough to be considered an important soil erosion factor (Wischmeier and Smith, 1994). Unfortunately, we do not have yet any disdrometer installed on FR-Lam making it difficult to assess the kinetic energy importance.

When the rainfall water is on the soil surface the SHFP measurements are not yet not imbalanced. ~~Afterwards~~Afterward the rainfall water is penetrating the soil and, similarly to the evapotranspiration, SHFP is not sensing this migration but an important heat transfer by convection may take place (Kollet et al., 2009). This time the imbalance would be negative if the infiltrating water was hotter than the deep soil bringing some calories. This happens when the soil surface temperature is higher than the SHFP level soil temperature (5cm on FR-Lam). This is not always the case especially ~~by~~at nighttime and event by ~~the~~ daytime during ~~cold~~scold seasons.

The resulting heat ~~flow~~flux G_p^S would be similar to H_p but ~~with~~using the difference ~~of~~in the soil surface temperature T_s and the SHFP level soil temperature T_5 .

$$G_p^S = P_l * C_w * (T_s - T_5)$$

(56)

Figure ~~088~~ depicts the cumulated G_p^S . We can note that after one year the results are almost nil, under 0.022 MJ/m². Then, we cannot assume the rainfall water convection ~~counterbalancing~~counterbalances the evapotranspiration water convection for SHFP measurements on a long-term scale on FR-Lam. With nighttime irrigation, results would be positive, and with daytime irrigation, results would be negative but if the irrigation is limited then the overall additive would be limited too however, a short-term correction may be necessary.

375 All these considerations may deserve more investigation work.

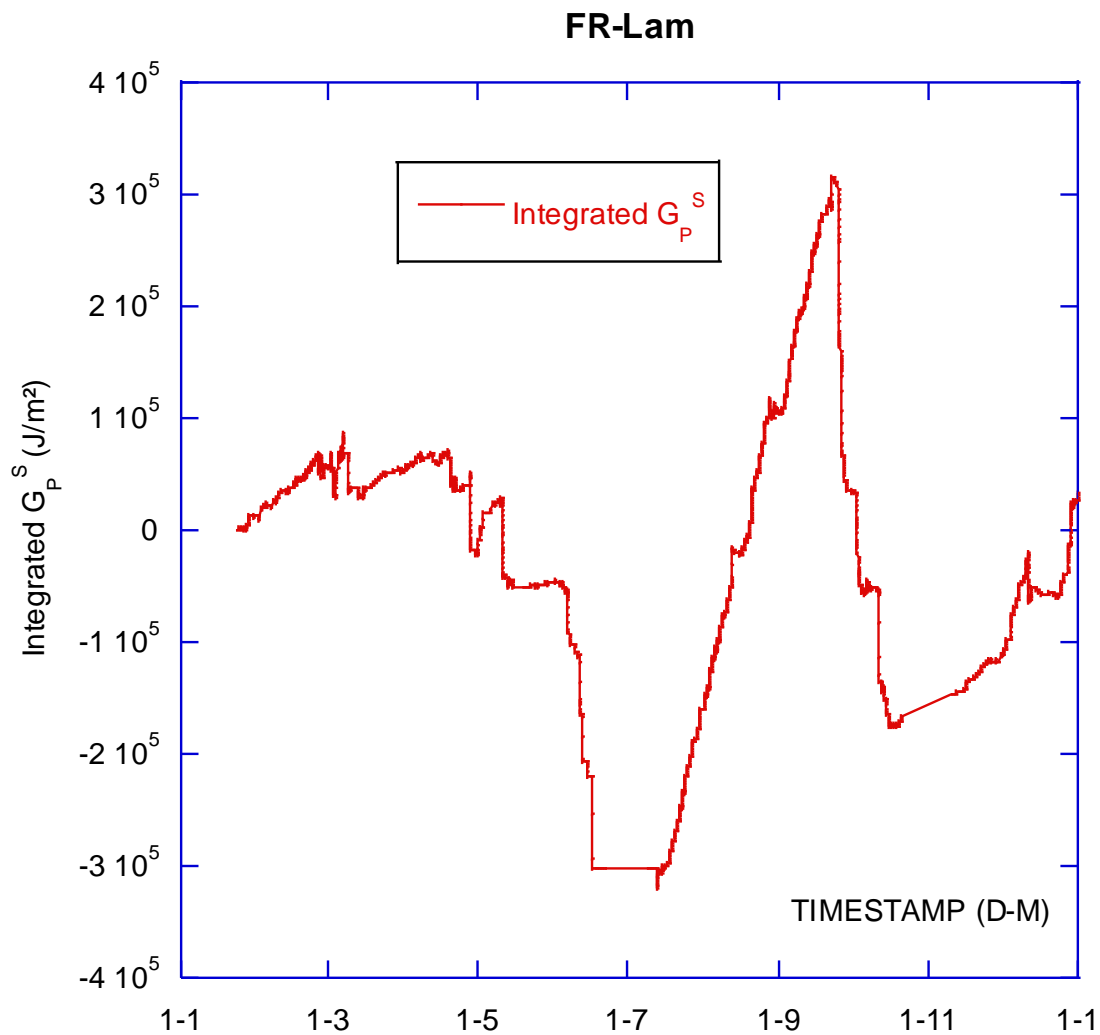


Figure 088) Integrated factor of precipitation with soil surface temperature difference with soil 5cm depth temperature.

380

23.2.5 Geothermal heat flux.

Concerning the geothermal heat flux, well sensed by the SHFPs, even if G_{TH}^L is relatively small in respect of the solar maximum radiation and the nocturnal soil maximal heat efflux, this heat flux is always upgoing.

Consequently At the same time, when totalizing energy fluxes, as a solar radiation heating is counterbalanced by a nocturnal soil radiation, the diurnal and especially the annual imbalance due to the geothermal heating flux may be important (Fig. 099). Consequently, a geothermal correction is rather for a long-term integration check.

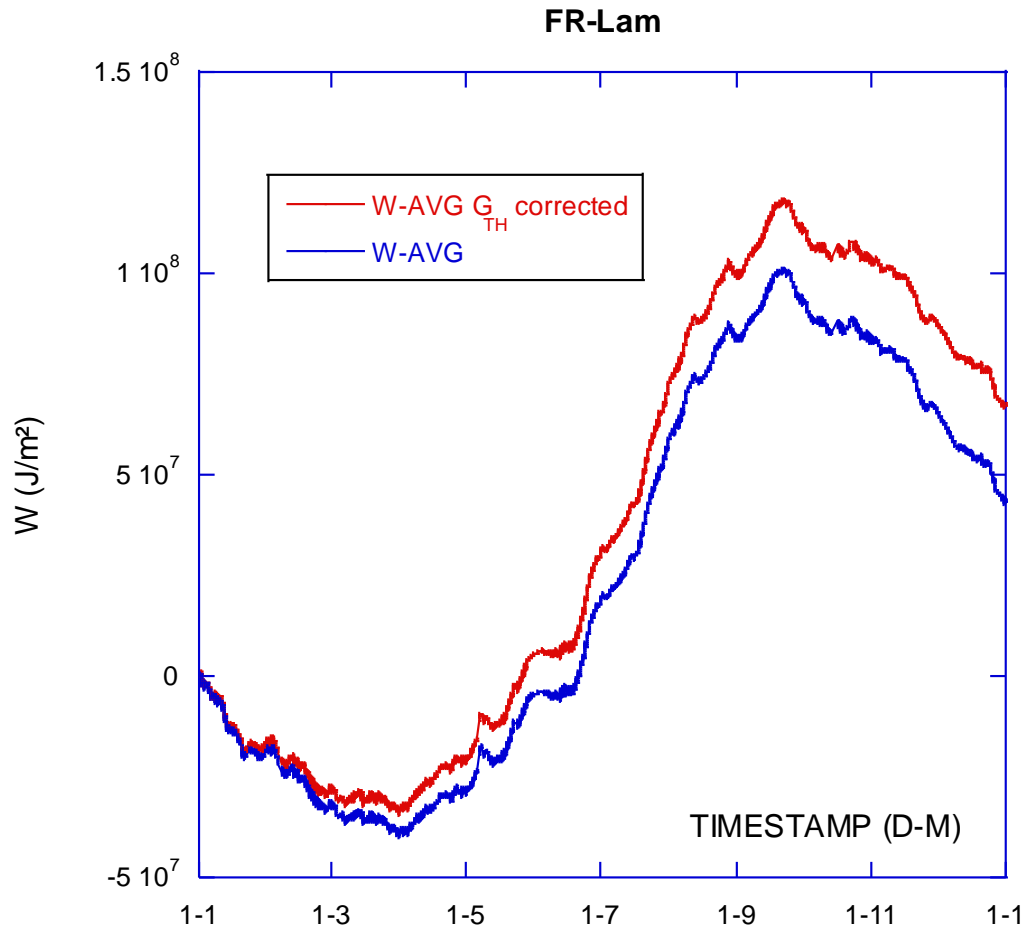


Figure 099) Integrated averaged, among the plates, measured soil heat flux: W-AVG and the same integrated flux with geothermal efflux subtracted: W-AVG G_{TH} Corrected.

~~2.2.6 Soil gas exchanges.~~

~~The soil is exchanging also the gases, mainly CO₂ coming from the soil and absorbing O₂. Due to their characteristic heat capacity difference, we may expect an energy exchange. This is the case but the total amount remains negligible (yearly about 100 J/m² for winter wheat culture).~~

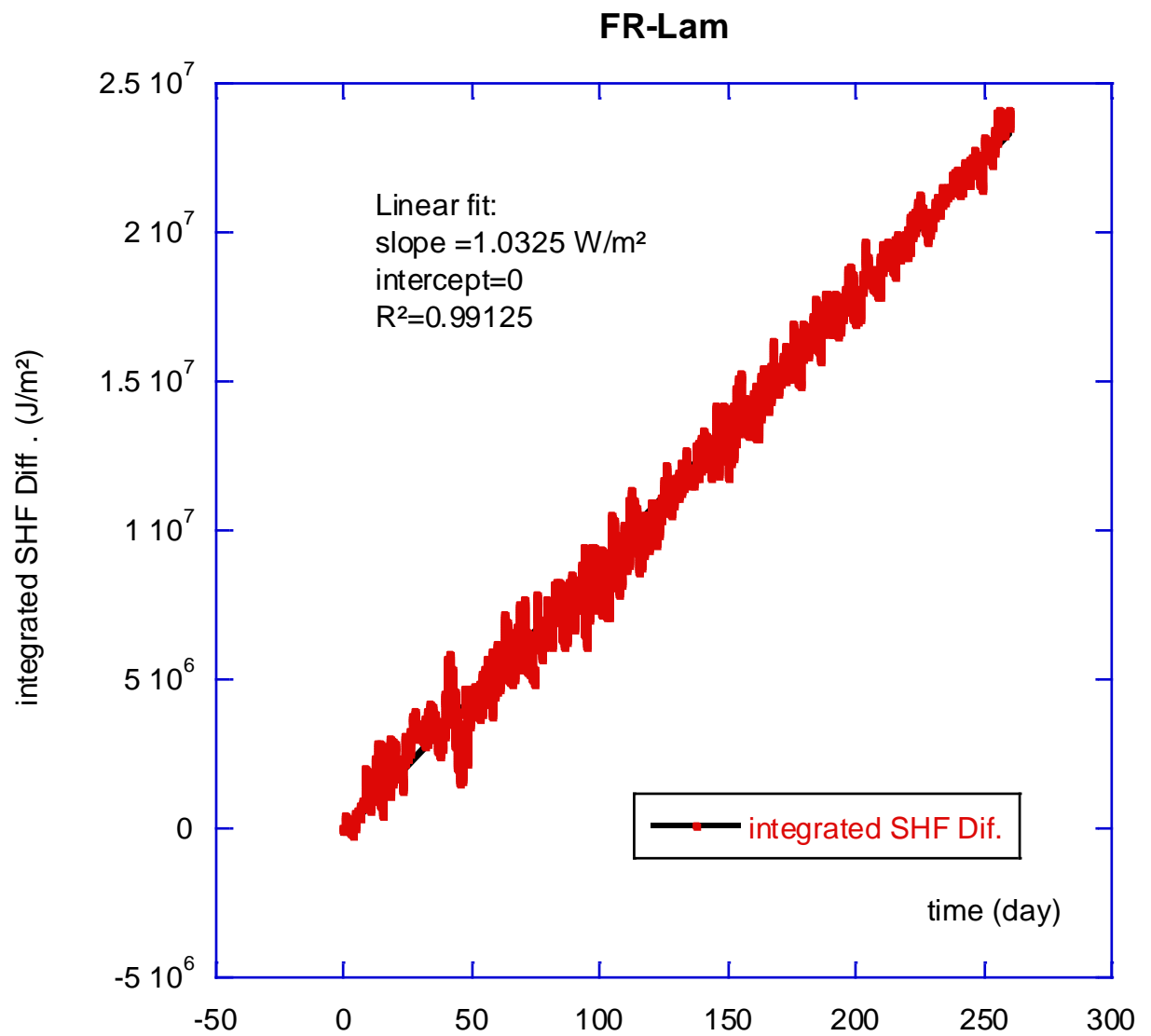


Figure 10) Integrated SHF Diff. along with a linear regression.

3.2.2.76 Calibration data.

There is also a well-known, but deserving to be signaled again, the precaution that should be taken when working with the self-calibrated flux plates. Because during the calibrations an artificial heat ~~flow~~flux is generated, during and one hour, or even more, after the calibration the initialization data have to be discarded. Not only the generated heat is sensed but also the surrounding soil is heated and needs time to cool down. If corresponding data are not discarded an overestimation of the heat flux is observed. It is less known that for the committed error, when not discarding calibration period data, a rough correction remains possible. Figure ~~6~~10 shows the integrated difference (SHF Diff.) between measurements with all data including calibration periods and measurements where, during and one hour after calibration, the data are discarded.

SHF Diff = (Half-~~hourly~~hourly averaged measurements with all data available) – (half-~~hourly~~hourly averaged measurements with discarded data during and one hour after calibration).

(~~5~~7)

As we can see, this difference integrated over ~~a~~ time is following a straight line which means the average heat fluxes measurements, with calibration data, can be corrected with a simple additive: -1.0325 W/m² in our case, with a rather good accuracy ($R^2 > 0.99$). It is consistent with the calibration process as the total applied heating is 1.4 W ~~during~~for 4 minutes every 7 Hours then averaging this heating power along with SHFP diameter (80 mm) gives an average of 2.65 W/m².

Conclusion.

Self-calibrated ~~SHFP~~SHFPs are probably the most used sensors for G measurements. This technique is reliable however, important errors ~~which~~that are not always taken into account may bias the results. Some of the errors are avoidable, others result from physical ~~phenomenon~~phenomena and may still be present even if all the precautions are undertaken. It is important to carefully ~~chese~~check the installation place ~~and check the~~considering a possible imbalance by ~~a yearly~~an annual integration. The annual integration allows to check quickly each SHFP, individually, and to select representative plates. ~~This way, based on an obvious divergence of an observed annual imbalance versus overall annual imbalance. This way~~ is very easy to compute and allows an immediate sight check contrarily to the non-integrated soil heat fluxes results. In case of a systematic relative imbalance of all plate measurements, a statistical correction may be attempted. ~~However,~~A beneath SHFP water evaporation and ~~others~~

~~phenomenon~~other phenomena such as ~~the~~-evapotranspiration or ~~the~~-rainfall, or any water infiltration, may contribute to the sensed heat imbalance.

Concerning the SEB equation (Eq. 1), since SHFP are sensing only the conduction heat ~~flow~~flux, the G term should also include corrections for ~~a~~-short- or long-term measurements such as G_{ET}^L or G_P^S and ~~the geotherm heat flux G_{TH}^L~~ or other terms such as rainfall ~~cooling term~~or irrigation, snowfall, hailfall, but also mist and fog (Yin and Arp 1994), dew (Jacobs et al., 2006) or marine breeze (Drobinski et al. 2018) H_p which should be added as these energy fluxes are not negligible when totalizing energy variations and do not originate from ~~a~~-solar ~~radiation but~~or resulting heat flux may be sensed by flux plates or ~~others~~other heat flux sensors. Assuming appropriate ~~inhomogeneities influence compensation and~~ the beneath plate evaporation ~~negligible~~negligibility, the SEB equation ~~become~~becomes:

$$R_n - \cancel{(G + G_{TH}^L - G_{ET}^L - G_P^S + S_c + S_p)} - H_p (G^C + |G_{TH}^L| - G_{ET}^L - G_P^S) - (S_c + S_p) + H_p = H + L_e \quad \text{---(6)(8)}$$

Here, as mentioned previously, by simplification, ~~G contain~~ G^C contains the below SHFP heat storage. Note that all the corrections on G are not solving SEB closure problems when using the eddy covariance technique for $H + L_e$ measurement as these corrections ~~rather lowering~~tend to lower sensed G or $H + L_e$ are usually already too small for SEB closure (over 30% disclosure on FR-Lam (Dare-Idowu et Al., 2021)) suggesting that the eddy covariance technique sensibly ~~underestimate~~underestimates H and L_e measurements. ~~The H_p~~ Only the H_p term ~~is not helping either~~helps for SEB equation closure as it represents a soil surface cooling, then a negative term. The vegetation heat storage and photosynthetic activity may be added to complete this equation.

For ~~a~~ better energy transfer monitoring, I'll suggest ~~to measure~~measuring not only the water table depth but also the soil water table temperature and the rainfall water temperature for further calculation.

Appendix A.

SHFP measures a punctual vertical conductive heat flux. Punctual, because the measuring surface of the SHFP is very small compared to the eddy covariance footprint. This appendix describes the one-dimensional heat flux measurement and the theoretical annual integration nullity.

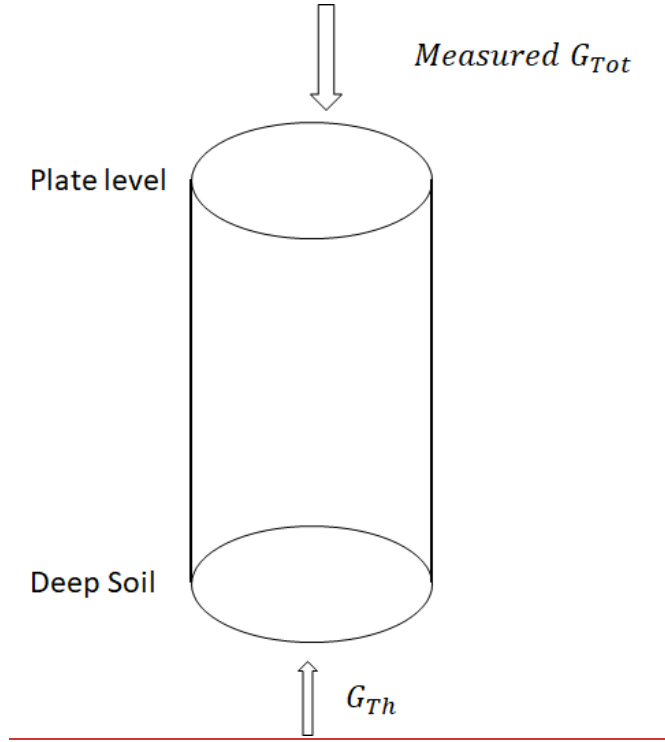


Figure A1) Soil colon between the soil surface and a deep soil level where the soil temperature does not change during the year.

Let's consider a homogeneous soil column between the SHFP depth and the depth where the soil temperature is invariable during the year (Fig. A1). Through this column, one-dimensional heat flux is entering or quitting by the upper side and by the lower side.

In practice, the SHFP depth is 5cm and we can consider the soil temperature as invariable at 1000 cm depth. At the top, in the ideal case, the SHFP measures the total heat flux: G_{Tot} , at the bottom, since the surface heat flux variations were absorbed through the soil column, there is only the geothermal heat flux coming from the deep soil: G_{Th} .

This soil column stores some thermal energy and its variation ΔE between t_0 and t_1 can be calculated by integrating entering or quitting heat flux from the top and the bottom:

$$\Delta E = \int_{t_0}^{t_1} (G_{Tot} - G_{Th}) dt$$

(A1)

If we consider that after one year the soil temperature profile and specific soil capacity profile did not change, it means there is no energy variation stored inside the considered soil column, then the energy balance should be nil:

-
-

$$\int_0^{365} (G_{Tot} - G_{Th}) dt = 0$$

(A2)

The non-nil results of this integration represent the imperfection of the SHFP measurements.

These imperfections could have two distinct origins: inhomogeneities boundaries causing non-vertical, lateral, heat exchanges (one-dimensional heat flux does not apply anymore) and not sensed convective heat fluxes.

-

The geothermal heat flux subtraction is proposed for the missing heat flux parts estimation.

Code and data availability.

The data and source code used for these studies can be obtained by contacting the author.

Competing interests.

The author declares that he has no conflict of interest.

~~Acknowledgements. The main founding of the equipment was provided by ICOS France.~~ Acknowledgments.

I would like to thank the technical team and more particularly ~~to~~ Franck Granouillac CESBIO who is greatly contributing to the installation maintenance.

Financial support.

This project was funded by the Institut National des Sciences de l'Univers (INSU) through the ICOS ERIC and the OSR SW observatory. Facilities and staff are funded and supported by the Observatory Midi-Pyrenean, the University Paul Sabatier of Toulouse 3, CNRS (Centre National de la Recherche Scientifique), CNES (Centre National d'Etude Spatial), INRAE (Institut National de Recherche pour l'Agronomie et Environnement) and IRD (Institut de Recherche pour le Développement).

References

de Beeck, M. Op, Gielen, B., Merbold, L., Ayres, E. Serrano-Ortiz, P., Acosta, M., Pavelka, M., Montagnani, L., Nilsson, M., Klemedtsson, L., Vincke, C., De Ligne, A., Moureaux, C., Marañon-Jimenez, S., Saunders, M., Mereu, S., Hörtnagl, L.: Soil-meteorological measurements at ICOS monitoring stations in terrestrial ecosystems, International Agrophysics, 32-4, 619-631, doi:10.1515/intag-2017-0041, 2018.

Buchan, G. D.: Soil heat flux and soil surface energy balance: A clarification of concepts. In Proc. of the 4th Australasian Conf. on Heat and Mass Transfer, May 1989, Christchurch, New Zealand. University of Canterbury. <https://digitalcommons.unl.edu/cgi/viewcontent.cgi?article=2407&context=usdaarsfacpub>, 1989.

Cabidoche, Y.-M. and Voltz, M.: Non-uniform Volume and water content changes in swelling clay soil: II. A field study on a Vertisol, August 2005 European Journal of Soil Science 46-3, 345 – 355, doi:10.1111/j.1365-2389.1995.tb01331.x, 2005.

Choudhury, B. J., Idso, S. B., and Reginato, R. J.: Analysis of an empirical model for soil heat flux under a growing wheat crop for estimating evaporation by an infrared-temperature based energy balance equation, Agricultural and Forest Meteorology, 39-4, ~~1987~~, 283-297, doi:10.1016/0168-1923(87)90021-9, 1987.

Domínguez-Pumar, M., Rodríguez-Manfredi, J. -A., Jiménez, V., Bermejo, S., Pons-Nin, J.: A Miniaturized 3D Heat Flux Sensor to Characterize Heat Transfer in Regolith of Planets and Small Bodies. *Sensors* **2020**, *20*, 4135, doi:10.3390/s20154135, 2020.

520 [Drobinski, P., Bastin, S., Arsouze, T., Béranger, K., Flaounas, E., Stéfanon, M.: North-western Mediterranean sea-breeze circulation in a regional climate system model. Clim Dyn 51, 1077–1093, doi:10.1007/s00382-017-3595-z, 2018.](#)

Elder, J. W.: Physical processes in geothermal areas, Chapter 8 in Terrestrial Heat Flow (ed. by William H. K. Lee), Geophysical Monograph Series No. 8, doi:10.1029/GM008p0211, 1965.

525

Gao, Z., Russell, E. S., Missik, J. E. C., Huang, M., Chen, X., Strickland, C. E, Clayton, R., Arntzen, E., Ma, Y., and Liu, H.: A novel approach to evaluate soil heat flux calculation: An analytical review of nine methods. *Journal of Geophysical Research - D: Atmospheres*, 122, 6934– 6949, doi:10.1002/2017JD027160, 2017.

530 Gentine, P., Entekhabi, D., and Heusinkveld, B.: Systematic errors in ground heat flux estimation and their correction, *Water Resources Research*, 48, 1-15, W09541, <https://doi.org/10.1029/2010WR010203>, 2012.

535 [Horton, J. L. and Hart S. C.: Hydraulic lift: a potentially important ecosystem process, Trends in Ecology & Evolution, 13-6, 232-235, doi:10.1016/S0169-5347\(98\)01328-7, 1998.](#)

Idso, S. B., Aase, J. K., and Jackson, R. D.: Net radiation — soil heat flux relations as influenced by soil water content variations. *Boundary-Layer Meteorol*, 9, 113–122, doi:10.1007/BF00232257, 1975.

540 [Jacobs, A. F. G., Heusinkveld, B. G., Wichink Kruit, R. J., and Berkowicz, S. M. \(2006\), Contribution of dew to the water budget of a grassland area in the Netherlands, Water Resour. Res., 42, 1-8, W03415, doi:10.1029/2005WR004055, 2006.](#)

545 Kollet, S. J., Cvijanovic, I., Schüttemeyer, D., Maxwell, R. M., Moene, A. F., and Bayer, P. L.: The influence of rain sensible heat and subsurface energy transport on the energy balance at the land surface, *Vadose Zone Journal*, 8(4), 846-857, doi:10.2136/vzj2009.0005, 2009.

Lemon, E. R.: The energy budget at the earth's surface. Part 1. U.S. Army Electronic Proving Ground Production Res. Rep. no. 71. U.S. Army, Washington, DC., 1963.

550

Lettau, H. and B. Davidson, Ed.: Exploring the atmosphere's first mile. Vol. 1. Instrumentation and data evaluation. Pergamon Press, New York, 1957.

555

Liebenthal, C.: Thesis: On the determination of the ground heat flux in micrometeorology and its influence on the energy balance closure, <https://epub.uni-bayreuth.de/812/1/DissLiebenthal.pdf>, 2006.

Malterre, H. and Alabert, M., Nouvelles observations au sujet d'un mode rationnel de classement des textures des sols et des roches meubles - pratique de l'interprétation des analyses physiques. Bulletin de l'AFES 2, 76-84, 1963

560

Meyers, T. P. and Hollinger, S. E.: An assessment of storage terms in the surface energy balance of maize and soybean, *Agricultural and Forest Meteorology*, 25, 1–2, 105-115, doi:10.1016/j.agrformet.2004.03.001, 2004.

565

Mayocchi, C. L. and Bristow, K. L.: Soil surface heat flux: Some general questions and comments on measurements. *Agric. For. Meteorol.* 75, pp-43–50, doi:10.1016/0168-1923(94)02198-S, 1995.

Monteith, J. L.: The heat balance of soil beneath crops, UNESCO-Australia Symposium on Arid Zone Climatology with special reference to Microclimatology, Canberra, October 1956. UNESCO, 123-128, <http://unesdoc.unesco.org/images/0014/001489/148904eb.pdf>, 1958.

570

Monteith, J. L.: Evaporation and environment. *Symposia of the Society for Experimental Biology*. 19, pp- 205-234, <https://repository.rothamsted.ac.uk/item/8v5v7>, 1965.

575

Novák, V.-J., Šimáunek, J., and van Genuchten, M. Th.: Infiltration of Water into Soil with Cracks, *Journal of Irrigation and Drainage Engineering*, 126-1, doi:10.1061/(ASCE)0733-9437(2000)126:1(41), 2000.

Ochsner, T. E., Sauer, T. J., and Horton, R.: Field Tests of the Soil Heat Flux Plate Method and Some Alternatives, *Agronomy Journal*, 98-4, pp-1005-1014, doi:10.2134/agronj2005.0249, 2006.

580

Ochsner, T. E., Sauer, T. J., and Horton, R.: Soil heat storage measurements in energy balance studies, *Agron. J.*, 99, 311-319, doi.org:10.2134/agronj2005.0103S, 2007.

Dare-Idowu, O, Brut A., Cuxart, J., Tallec, T., Rivalland, V., Zawilski, B., Ceschia, E., Jarlan, L.: Surface energy balance and flux partitioning of annual crops in southwestern France, *Agricultural and Forest Meteorology*, 308–309, 108529, doi:10.1016/j.agrformet.2021.108529, 2021.

585

Oncley, S. P., Foken, T., Vogt, R., Bernhofer, C., Kohsiek, W., Liu, H., Pitacco, A., Grantz, D., Riberio, L., and Weidinger, T.: The Energy Balance Experiment EBEX-2000, in 15th Symposium on Boundary-layer and Turbulence, Wageningen, The Netherlands, 15–19, American Meteorological Society, Boston, 1–4, <https://ams.confex.com/ams/BLT/webprogram/Paper43687.html>, 2002.

590

Oncley, S. P., Foken, T., Vogt, R., Kohsiek, W., DeBruin, H. A. R., Bernhofer, C., Christen, A., van Gorsel, E., Grantz, D., Feigenwinter, C., Lehner, I., Liebethal, C., Liu, H., Mauder, M., Pitacco, A., Ribeiro, L., and Weidinger, T.: The Energy Balance Experiment EBEX-2000. Part I: overview and energy balance. *Boundary-Layer Meteorol* 123, 1–28, doi:10.1007/s10546-007-9161-1, 2007.

595

Penman, H. L.: Natural evaporation from open water, bare soil and grass, *Proc. R. Soc. A*, 193-1032, 120–145, doi:10.1098/rspa.1948.0037, 1948.

Philip, J. R.: The theory of heat flux meters, *J. Geophys. Res.* 66-2, 571–579, doi :10.1029/JZ066i002p00571, 1961.

600

Sauer, T. J., Meek, D. W., Ochsner, T. E., Harris, A. R., and Horton, R.: Errors in Heat Flux Measurement by Flux Plates of Contrasting Design and Thermal Conductivity, *Vadose Zone Journal*, 2-4, November 2003, 580-588, doi:10.2136/vzj2003.5800, 2003.

605

Sauer, T. J. and Horton, R.: *Micrometeorology in Agricultural Systems*, Volume 47, Chapter 7, Soil Heat Flux, Editors: J.L. Hatfield, J.M. Baker, doi:10.2134/agronmonogr47.c7, 2005.

Selim, H. and Kirkham, D.: Soil temperature and water content changes during drying as influenced by cracks: a laboratory experiment, *Soil Sci. Soc. Am. J.*, 34 (4), 565-569, doi:10.2136/sssaj1970.03615995003400040010x, 1970.

610

Sepaskhah, A. R. and Boersma, L.: Thermal conductivity of soils as a function of temperature and water content, Soil Sci. Soc. Am. J. 43, 439–444, doi:10.2136/sssaj1979.03615995004300030003x, 1979.

615

Thorup-Kristensen, K., Salmerón Cortasa, M., and Loges, R.: Winter wheat roots grow twice as deep as spring wheat roots, is this important for N uptake and N leaching losses?, Plant Soil 322, 101–114, doi:10.1007/s11104-009-9898-z, 2009.

620 Wischmeier, W. H. and Smith, D. D.: Rainfall energy and its relationship to soil loss. Trans. AGU, 39, 285, doi:10.1029/TR039i002p00285, 1958

Yin, X. and Arp, P. A.: Fog contributions to the water budget of forested watersheds in the Canadian Maritime Provinces: A generalized algorithm for low elevations, Atmosphere-Ocean, 32, 553-565, doi:10.1080/07055900.1994.9649512, 1994.

625