

Observation of the rock slope thermal regime, coupled with crackmeter stability monitoring: initial results from three different sites in Czechia (Central Europe)

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10 This paper describes a newly designed, experimental, and affordable rock slope monitoring system. This system is being used to monitor three rock slopes in Czechia for a period of up to two years. The instrumented rock slopes have different lithology (sandstone, limestone, and granite), aspect, and structural and mechanical properties. Induction crackmeters monitor the dynamic of joints, which separate unstable rock blocks from the rock face. This setup works with a repeatability of measurements of 0.05 mm. External destabilising factors (air temperature, precipitation, incoming and outgoing radiation, etc.)
15 are measured by a weather station placed directly within the rock slope. Thermal behaviour in the rock slope surface zone is monitored using a compound temperature probe, placed inside a 3 m deep sub-horizontal borehole, which is insulated from external air temperature. Additionally, one thermocouple is placed directly on the rock slope surface. From the time series measured to-date (the longest since autumn 2018), we are able to distinguish differences between the annual and diurnal temperature cycles of the monitored sites. From the first data, a greater annual joint dynamic is measured in the case of larger
20 blocks; however, smaller blocks are more responsive to short-term diurnal temperature cycles. Differences in the thermal regime between the sites are also recognisable, and are caused mainly by different slope aspect, rock mass thermal conductivity, and colour. These differences will be explained by the statistical analysis of longer time series in the future.

Keywords: monitoring, rock slope, stability, temperature, crackmeter, horizontal borehole temperature

25 1 Introduction

Rock slope stability is crucially influenced by both rock properties and exogenous factors (D'Amato et al. 2016, Selby 1980). The physical properties of rock are well known, and numerous laboratory experiments and theoretical works exist in this field. However, there are very few in-situ experiments that deal with real-world scales (Fantini et al. 2016; Bakun-Mazor et al. 2013, 2020; Janeras et al. 2017; Marmoni et al. 2020; Isaka et al., 2018). Moreover, all these studies are focused on
30 monitoring of a single, well-known unstable rock slope.

Thermal expansion and frost action together with severe rainfall events are the main exogenous physical processes of the mechanical weathering of a rock surface (Krautblatter and Moser, 2009). Together with chemical weathering, these ultimately result in the weakening of rocks slopes and reduction of their stability (Gunzburger et al. 2005, Vespremeanu-Stroe and Vasile, 2010; do Amaral Vargas et al. 2013; Draebing 2020). The loss of stability, caused by repeated changes in the stress field inside the rock, eventually leads to a rock fall, one of the fastest and most dangerous forms of slope processes (Weber et al. 2017, 2018; Gunzburger et al. 2005). In the alpine environment, rock falls are increasingly caused by permafrost degradation and frost cracking (Gruber et al. 2004; Ravelin et al. 2017), or temperature-related glacial retreat (Hoelzle et al. 2017). To address the influence of permafrost melting on the rock slope stability, several monitoring systems/campaigns were proposed. Magnin et al. (2015a) constructed a monitoring system consisting of rock temperature monitoring both on the rock face and in-depth sensors. In-depth rock mass temperature monitoring was placed in up to 10 m deep boreholes. The monitoring was coupled with ERT campaigns to determine sensitive permafrost areas (Magnin et al. 2015b). Girard et al. (2012) introduced a custom acoustic emission monitoring system for quantifying freeze-induced damage in rock. An extensive monitoring system for permafrost activity in Switzerland is presented by Vonder Mühl et al., (2008) and Noetzi and Pellet, (2020). Additionally, a significant percentage of small rock falls is directly triggered by rainfall (Krautblatter and Moser, 2009; Ansari et al, 2015). However, the link between rock fall occurrence and rainfall intensity is not linear, and most events are triggered when rainfall intensity exceeds a specific threshold.

Among the destabilising processes caused by changes in rock temperature and contributing to the decrease of stability are:

- Rock wedging-ratcheting (Bakun Mazor et al., 2020; Pasten et al., 2015)
- Repeated freeze-thaw cycles
- Thermal expansion-induced strain (Gunzburger et al., 2005; Matsuoka 2008) and in specific conditions, exfoliation sheets may be destabilised by cyclic thermal stress (Collins and Stock, 2016; Collins et al., 2017).

These processes are often repeated many times, effectively widening the joints, and fracturing the rock. Rock slope monitoring is a common task in engineering geology and is often used at construction sites (Ma et al. 2020, Li et al. 2018; Scaoni et al. 2018), along roads or railways, or to protect settlements. Various approaches are used, with a background in geodesy (Gunzburger et al. 2005; Reiterer et al. 2010; Yavasoglu et al. 2020), geotechnics (Greif et al. 2017; Lazar et al. 2018), geophysics (Burjanek et al. 2010; 2018; Weber et al. 2017, 2018; Coccia et al. 2016; Yan et al. 2010; Weigand et al. 2020; Warren et al. 2013), or remote sensing methods (Sarro et al. 2018; Matano et al. 2015). Most commonly, sensors such as thermometers, accelerometers, inclinometers, visible light or IR cameras, total stations, TLS (terrestrial laser scanner), GbSAR (ground-based synthetic aperture radar), and seismographs are used to detect potential rock fall events (Burjanek et al. 2010, 2018; Tripolitsiotis et al. 2015; Matsuoka, 2019). These methods are more suitable for monitoring large rock slopes. Tiltmeters, extensometers and other geotechnical devices are usually used to monitor a single unstable block/part of the rock slope (Barton et al. 2000; Lazar et al. 2018). Usually, monitoring methods using various sensors are combined. Large rockslides

65 were monitored by Crosta et al., (2017), Zangerl et al., (2010) and Loew et al., (2012) using a combination of remote sensing, geodetical network, and borehole inclinometers. Experimental monitoring systems aim to develop or test new sensors or approaches (Loew et al., 2017; Jaboyedoff et al., 2004, 2011; Chen et al., 2017; Hellmy et al., 2019) or to describe long-term processes of rock slope destabilisation (Fantini et al., 2016; Kromer et al., 2019; Du et al., 2017). However, these systems are site-specific and installation of a similar system within multiple sites is complicated and often financially demanding.

70 To quantify the influence of meteorological variables, weather stations should be included within monitoring systems (Macciotta et al., 2015). Rarely, environmental monitoring is supplemented by solar radiation monitoring (Gunzburger and Merrien-Soukatchoff, 2011). Thermal observations are often limited to air temperature and/or rock face temperature monitoring only (Jaboyedoff et al. 2011, Blikra and Christiansen, 2014; Marmoni et al. 2020; Collins and Stock, 2016; Collins et al. 2017; Eppes et al. 2016). Less commonly, temperature changes are measured within the rock mass depth (Magnin, et al. 2015a, Fiorucci et al. 2018). Site-specific designed systems are difficult to modify and are usually expensive. This brings difficulties into data processing because they are locally biased and cannot be directly compared.

Therefore, an easy-to-modify, modular, and affordable monitoring system composed of crackmeters, a weather station, solar radiation and compound borehole temperature probes has been designed and tested. With just minor modifications, various rock slope sites may be easily instrumented, allowing data about the temporal behaviour of rock slopes in different settings to be compared, potentially bringing new and much needed information about rock slope stability spatiotemporal development (Viles, 2013).

2 Monitoring methods

Rock slope monitoring methods have recently undergone massive development in terms of their precision, accuracy, reliability, sampling rate, and applicability (Tables 1, 2). Even completely new methods have been established, for example, unmanned aerial vehicle applications, high precision or UAV (unmanned aerial vehicle) held laser scanner, etc.. This expansion has been possible mainly due to the rapid development of corresponding fields of informatics, computation technologies, communication channels, and satellite technology applications.

Unlike the above-mentioned systems, the monitoring system presented here (Fig. 1,2; Table 1) may be placed at various sites without major modifications. Using common safety rules and methods for working at heights, the system may be placed directly within vertical or even overhanging rock faces. Anchoring must be made within a stable part of the rock slope, which ensures worker safety under any circumstances. This monitoring provides the opportunity to compare results from different locations and observe generally applicable regularities in the thermo-mechanical behaviour of the rock face thanks to the use of the same instrumentation on various rock slope sites. All sensors were calibrated by the manufacturer before they were installed on the rock slope to provide precise data. The monitoring system (Table 1, Fig. 1) is composed of the following components:

- A set of automatic induction crackmeters, coupled with dataloggers (Fig. 1) measuring relative block displacement
- A weather station with a set of sensors measuring various meteorological data (Fig. 1), such as air temperature, humidity, and air pressure (Table 1), and surface solar radiation balance (incoming/reflected radiation) of the rock face (Fig. 5) using a pair of pyranometers
- A set of 12 thermocouples placed along a 3 m deep borehole (Fig 2.), carefully insulated between each neighbouring sensor, measuring in-depth profiles of the rock slope temperature

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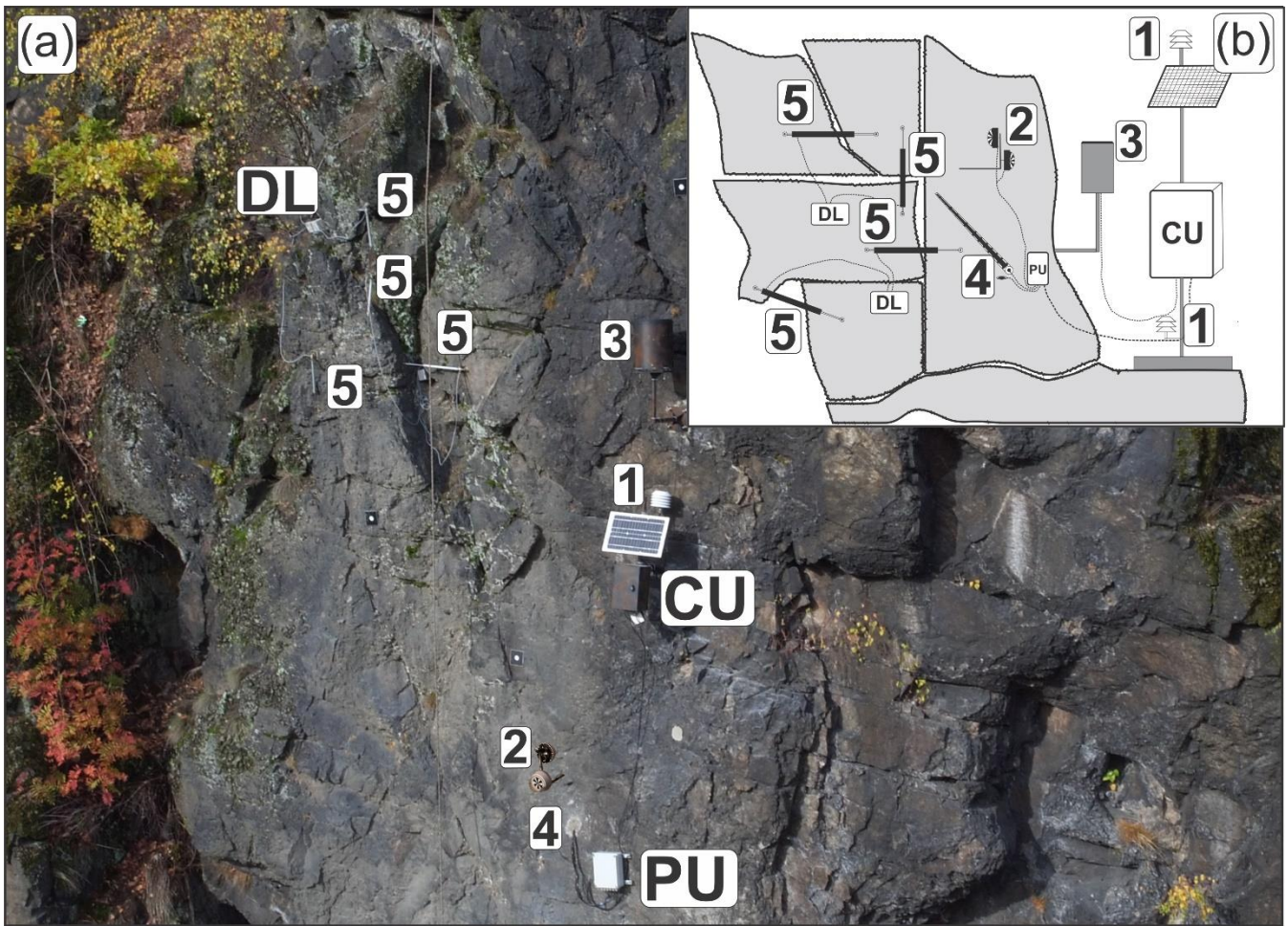
Component	Manufacturer	Accuracy	Resolution	Repeatability	Measuring range	Max sampling rate	Protection	Operational temperature	Service life	Price
Crackmeter Gefran PZ 67-20	GEFRAN (It)	<0.1 %	0.05 mm	0.01 mm	0-200 mm	N/A	IP67	-30 - 100 °C	>25*10 ⁸ m stroki	300 €
Datalogger Tertium Beacon	Tertium tech. (It)	N/A	N/A	N/A	N/A	< 1 sec	IP65	-30 - 60 °C	>5 years	190 €
Datalogger Temp. Sensor	Tertium tech. (It)	0.02 °C	0.01 °C	N/A	-30 - 60 °C	< 1 sec	IP67	-30 - 60 °C	>5 years	
Control unit, battery, solar p	FIEDLER (Cz)	N/A	0.00X; 16bit	N/A	N/A	1 min	IP66	-30 - 60 °C	>5 years	
Temperature sensor	FIEDLER (Cz)	0.1 °C	0.1 °C	0.01 °C	-50 - 100 °C	1 min	IP66	-50 - 100 °C	>5 years	
Rain gauge SR03 500cm2	FIEDLER (Cz)	0.05 mm	0.1 mm/year	0.1 mm	N/A	50 m. sec	IP66	0 - 60 °C	>5 years	1 650 €
Humidity sensor	FIEDLER (Cz)	0.008 %	<0.1 %/year	0.02 %	0 - 100 %	1 min	IP66	-50 - 100 °C	>5 years	
Atmospheric pressure sensc	FIEDLER (Cz)	2 mbar	0.025 mbar	0.1 mbar	300 - 1100 mbar	1 min	IP66	-40 - 70 °C	>5 years	
Pyranometer SG002	Tlusfák (Cz)	10%/day	20 µV/Wm ²	<5%	300 - 2800 nm (0 - 1200 W/m ²)	1 min	IP66	-30 - 60 °C	>5 years	450 €
Borehole temperature sensr	FIEDLER (Cz)	0.1 °C	0.1 °C	0.01 °C	-50 - 100 °C	1 min	sealed inside	-30 - 60 °C	>5 years	1 150 €
Datastorage/procesing	FIEDLER/SigFox	/	/	/	/	1 hour	/	/	infinite	200 €

Table 1 List of the presented monitoring system components, with performance metrics and prices.

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All the elements of the system (Table 1) are commercially available at affordable cost (instrumentation for a single site costs approximately 5000 EUR) and are easily replaced by moderately experienced users. Additional costs are the drilling works (1000-2 000 EUR), which depend on the site accessibility and rock mass hardness. The price of the specific monitoring system is also affected by the number of crackmeters and dataloggers used. System maintenance costs are no higher than 300 EUR per year, including data transmission, processing, and storage. This makes the system ideal for use at multiple sites, without great financial demands. When using the same instrumentation, data from different rock slope sites may be compared and analysed to better understand the general spatiotemporal behaviour of the rock slope.

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115 **Figure 1** Photo of the monitoring system at the Tašovice site (a) generalised scheme of the monitoring system (b) CU: control unit, PU: processing unit, DL: datalogger, 1 Temperature sensor, 2: Pyranometers, 3 Rain gauge, 4 Borehole compound temperature probe, 5 Crackmeters (only four of a total of six crackmeters are visible on this photo)

2.1 Dilatation monitoring

At each site, suitable joints separating unstable rock blocks were selected. The joints and subsequent crackmeter placements were selected to best represent the general directions of the expected rock block destabilisation. Where it was possible, joints that directly separate unstable blocks from stable rock were chosen. These joints were subsequently instrumented with calibrated Gefran PZ-67-200 induction crackmeters. These crackmeters are able to record movements smaller than 0.1 mm (Tables 1,2). In comparison with other methods measuring spatial change, their precision is high, with lower costs (Table 2). The temporal resolution of the measurement is nearly continuous with the crackmeter position being read every second (Table 2). Moreover, we tested these devices in a controlled temperature environment using a climate chamber to determine any temperature-dependent errors. In this controlled test, we were able to measure the expansion of a concrete block. The resulting block expansion measurements matched the theoretically calculated concrete block expansion.

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This was performed to ensure that measurement of the crackmeters is not biased by dilatation of the device itself. Crackmeters are suitable for harsh conditions (Table 1). The devices may withstand temperature changes, snow cover, ice accumulation, or rainfall with IP 67 protection. The crackmeters are coupled with Tertium Beacon dataloggers (Tertium technology, 2019), which contain accurate in-situ temperature sensors (Table 1). When a datalogger is placed within the discontinuity, it records the local temperature. The joint dilatation and temperature data are stored in the datalogger and may be wirelessly transmitted at a distance of up to one hundred meters using Wi-Fi, which simplifies data collection as it may be performed from below the rock face. Tertium Beacon data may be sent to a server via IoT SigFox network. The crackmeters and dataloggers are powered with two AA batteries, which typically last 6-12 months according to the local climate. The displacement and temperature are set to be measured every hour. However, this may be changed if necessary, e.g., during special experiments such as thermal camera monitoring campaigns (Racek et al. 2021).

Method	Results	Range	Precision	Sampling rate	Online data	Price
Induction crack meter	1D distance	<1 m	0.01 mm	seconds-days	yes	300 €
Precision tape	1D distance	<30 m	0.5 mm/30 m	hours-days	no	800 €
Fixed wire extensometer	1D distance	10 - 80 m	0.3 mm/30 m	hours-days	yes	4 000 €
Rod for crack opening	1D distance	<5 m	0.5 mm	hours-days	no	300 €
LVDT	1D distance	<0.5 m	0.25 mm	seconds-days	yes	170 €
Laser dist. meters	1D distance	<1000 m	0.3 mm	seconds-days	yes	1 500 €
Portable rod dilatometer	1D distance	<1 m	0.1 mm	hours-days	no	350 €
Total station triangulation	3D distance	<1000 m	5 - 10 mm	hours-days	yes	3 000 €
Precise levelling	1D distance	<50 m	<1 mm	days	no	350 €
EDM	1D distance	1 - 15 km	1 - 5 mm	minutes - days	no	10 000 €
Terrestrial photog.	3D distance	<100 m	<20 mm	hours-days	yes	1 000 €
Aerial photog.	3D distance	<100 m	10 - 100 mm	days	no	1 500 €
Tiltmeter	inclination change	±10°	0.01°	seconds-days	yes	300 €
GPS	3D distance	Variable	<5 mm	seconds-days	yes	2 000 €
TLS	3D distance	Variable	5 - 100 mm	hours-days	yes	100 000 €
GB InSAR	3D distance	Variable	<0.5 mm	hours-days	yes	100 000 €

Table 2 A comparison of rock slope spatial change monitoring techniques (updated after Klimeš et al., 2012)

2.2 Environmental monitoring

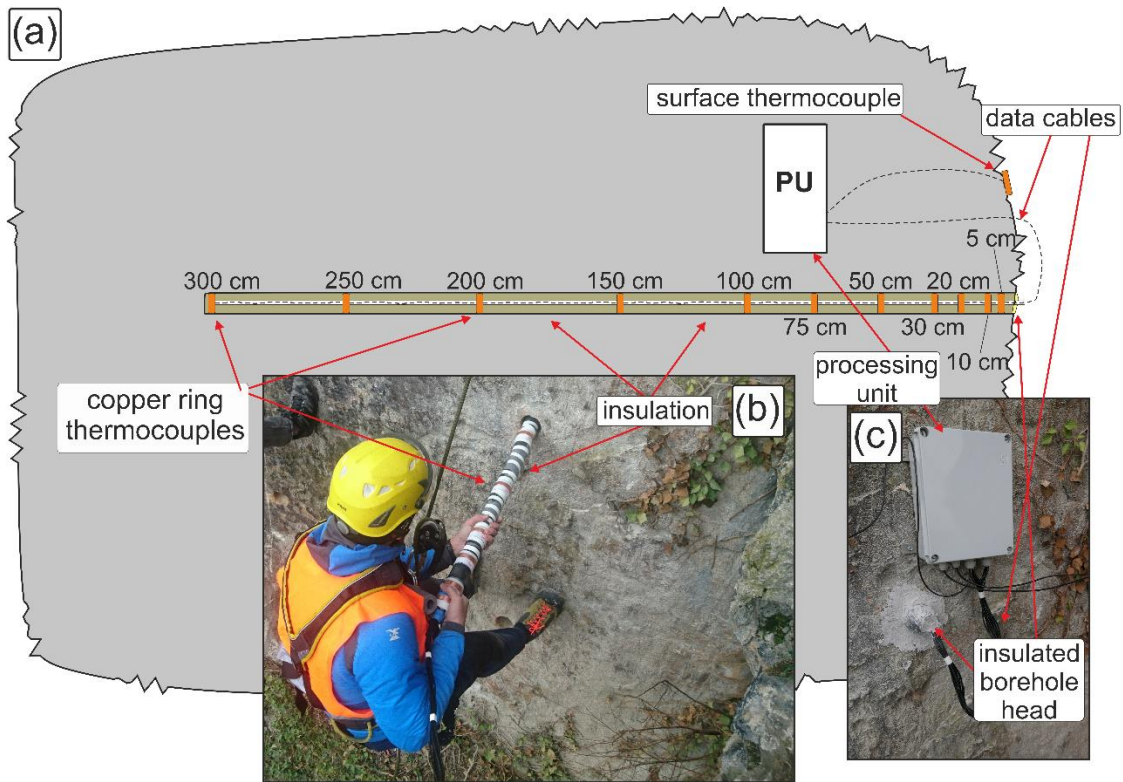
For the monitoring of the weather and climatic parameters at the sites of interest, we use automatic weather stations manufactured by Fiedler environmental systems. These are composed of a registration, communication and control unit, an external tipping-bucket rain gauge, two temperature sensors, an atmospheric pressure sensor, a humidity sensor, and a pair of pyranometers, measuring the incoming and reflected solar radiation. All these sensors and the control unit are powered by a 12 V battery, which is charged by a small solar panel (Fig. 1). Except for precipitation, which is measured using a pulse signal, all other meteorological variables and solar radiation are measured every 10 minutes. The control unit is equipped with a GSM

145 modem, which sends the data automatically to the server of the provider every day. For detailed information about the accuracy, durability and price of the environmental monitoring see Table 1.

To compute the radiation balance (incoming minus reflected solar radiation) of a rock face, it is necessary to measure with two opposite facing pyranometers. For this purpose, a set of pyranometers (Gunzburger and Merrien-Soukatchoff, 2011; Janeras et al. 2017; Vasile and Vespremeanu-Stroe, 2017) are placed perpendicular to the rock face, one facing the rock surface, 150 while the other faces the sky hemisphere. This setup enables both incoming and reflected solar radiation to be measured. The sensors are not placed directly on the rock face, but on an L-shaped holder, which allows both sensors to be placed almost at the same point (Fig. 1). The rock-facing pyranometer is placed at a distance of approximately 10 centimetres from the rock surface. The pyranometers have an output of 0–2 V, which corresponds to a global radiation of 0–1200 W/m³. The monitored wavelengths span from 300 to 2800 nm. Outputs from the pyranometers are processed by a converter and then sent with the 155 other monitored meteorological variables to the data hosting server.

2.3 Borehole temperature monitoring

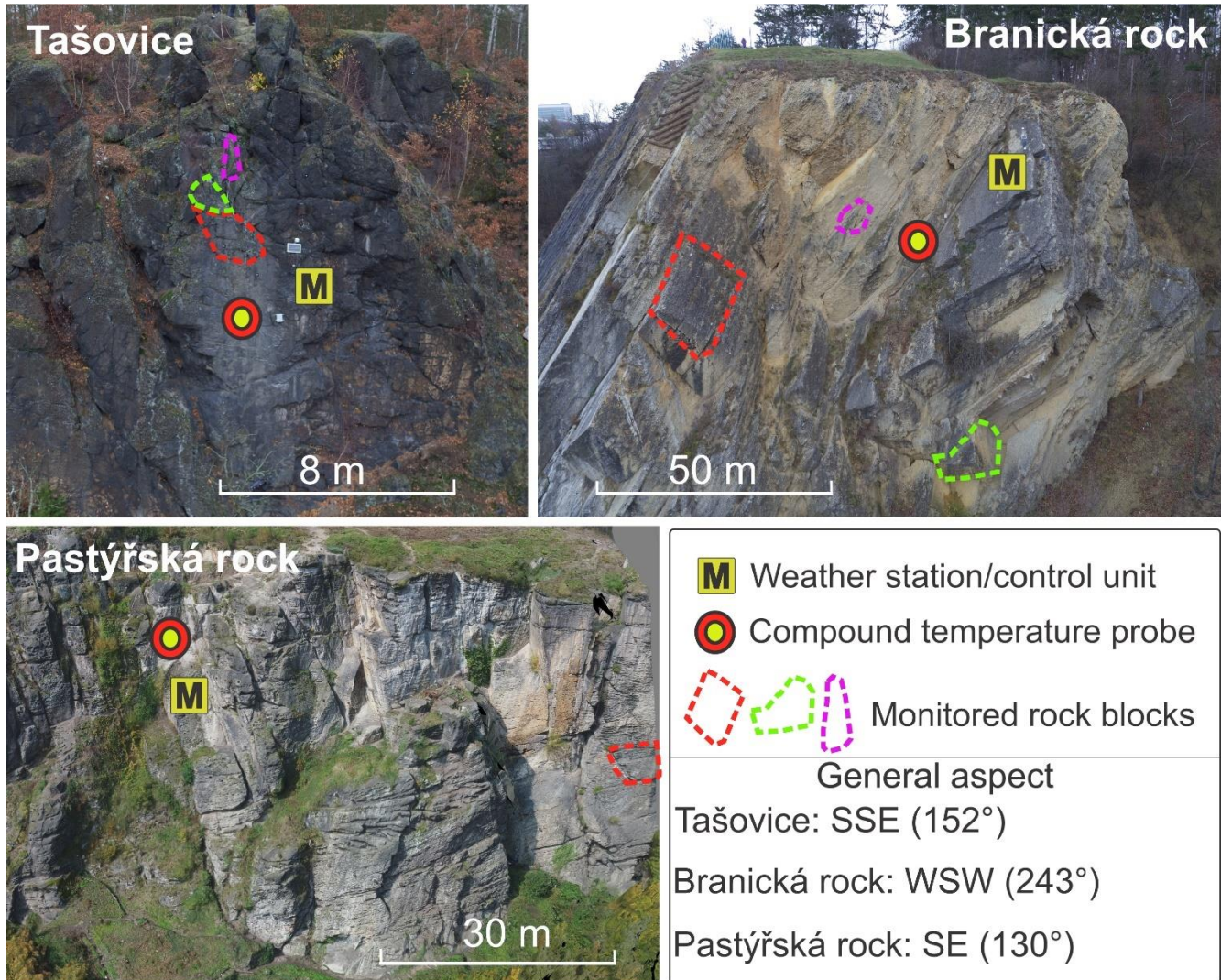
For the monitoring of the thermal behaviour of a rock slope, it is necessary to know temperatures at different depths of the rock mass. The newly designed in-depth compound temperature probe (Fig. 2) is a crucial part of our monitoring system. The sensors are placed in a 3 m deep sub-horizontal borehole. To ensure safety during drilling and the long lifespan of the 160 borehole and sensors, the borehole itself is drilled into a stable part of the rock slope. The borehole is then equipped with a custom-designed probe with a set of thermocouples. The technical parameters of the temperature sensors are the same as for the air temperature sensors (Table 1). The thermocouple sensors that are connected to copper rings were originally designed for soil temperature measurements. By connecting these to copper rings, they are suitable for measuring the temperature of borehole walls. Copper rings with 5 cm diameter are placed at a given distance on the tubular spine (5 cm below the surface, 165 10 cm, 20 cm, 30 cm, 50 cm, 75 cm, 100 cm, 150 cm, 200 cm, 250 cm, and 300 cm). The probe is placed in the sub-horizontal borehole, so the copper rings containing the temperature sensors lay directly on the borehole walls (Fig. 2). This ensures that the probe is directly measuring the rock mass temperature. Additionally, one thermocouple is placed directly on the rock surface (Fig. 2). The head of the borehole is insulated to prevent air and water inflow into the rock and the sensors inside the borehole are separated by thorough thermal insulation to ensure that the temperatures are not affected by the air circulation 170 inside the borehole. Therefore, temperature readings from the borehole compound probe correspond to the in-situ rock mass temperature. The thermal data, collected every 10 minutes, are passed through a converter, and sent to the main control unit of the environmental station.



175 **Figure 2 Compound borehole thermocouple probe. (a) generalised scheme, (b) photo of compound thermocouple probe installation, (c) insulated head of a sub-horizontal borehole with a processing unit.**

3 Instrumented sites

180 To-date, the monitoring system has been established at three different sites (Fig. 3), using the same instrumentation setup. The sites were chosen deliberately on steep rock slopes built of various rock types, with various slope aspects and a diverse geological history. To integrate a practical application aspect, sites were chosen where the potential rockfall endangers buildings, infrastructure, or other social assets.



185 **Figure 3** Three instrumented rock slope sites. On each photo, the monitored rock blocks are indicated with dashed lines of a different colour. The placement of the compound borehole temperature probe and weather station is also indicated.

3.1 Pastýřská rock (PS)

The first instrumented rock slope called “Pastýřská rock” is located on the Labe (Elbe) riverbank in the town of Dečín, NW Czechia. Monitoring of meteorological variables began in late 2018. Shortly afterwards, the crackmeters and an in-depth borehole temperature probe were installed. Pastýřská rock is formed by Cretaceous sandstone with various mechanical properties (Table 3) and has a general SE orientation. The rock slab with the pyranometers and borehole is dipping 87° towards the east (085°). Three main discontinuity sets (80/040, 86/310, 80/275) were identified using geological compass measurements. The locality is known for extensive rock fall activity in the past, which led to rock slope stabilisation works being performed in the late 1980s. However, the block monitored by the crackmeters remained in its natural state. One block is monitored using two pairs of crackmeters. The block has dimensions of 6.7 x 10.7 x 2.5 m, and is located in the overhanging part of the rock slope. All four of the visible cracks are monitored. The colour of the rock slope surface varies from dark, to light grey. The rock slab where the pyranometers are placed is a light grey colour.

3.2 Branická rock (BS)

This rock slope in Prague (Central Czechia) was instrumented in the summer of 2019. The rock slope is formed by several Silurian and Devonian limestone layers with varying mechanical and physical properties (Table 3). The rock slope was artificially created by mining (including blasting), and was used until the 1950s as a limestone quarry. The rock slope is located on a bank of the River Vltava, and it is generally facing WSW. The pyranometers and the borehole temperature sensors are placed on a rock slab dipping 80° to the SW (235°). Three main discontinuity sets 50/325, 90/197, and 62/085 were identified directly in field. The site is known for extensive rock fall activity in the past, even after the quarry closed, which resulted in partial stabilisation of the known unstable blocks in the 1980s. Three unanchored blocks (Fig. 3) are monitored with seven crackmeters. In the upper part of the rock slope lies the largest monitored block at this site, with dimensions of 0.9 x 4.5 x 3.7 m. This block is monitored with three crackmeters. The second block is located at the bottom of the rock slope, partly shaded by vegetation. The dimensions of the second block are 2.5 x 1.6 x 3.6 m. This block slowly slides on the bottom surface and is instrumented with two crackmeters. The third monitored block is smaller (0.8 x 1.4 x 0.4 m) and is located in a highly weathered part of the rock slope where it is monitored with two crackmeters. The colour of the limestone varies from grey to yellow, and the colour of the limestone facing the pyranometer is light grey.

3.3 Tašovice (T)

The third instrumented site is a rock slope above a local road and the River Ohře near Karlovy Vary in W Czechia. The rock slope is formed by partly weathered granite (Table 3). Generally, it is facing an SSE direction. The instrumented slab is dipping 88° to the S (170°). Three relatively poorly developed discontinuity systems (50/090, 50/220 and 88/345) were identified. At this site, small rock falls are frequent as may be seen from the fresh rock and debris accumulations under the

rock face. The locality was fully instrumented in the spring of 2020. Three relatively small blocks are monitored at this site. Block 1 (1.7 x 1 x 2.1 m), Block 2 (0.9 x 0.8 x 0.4 m) and Block 3 (0.5 x 1.2 x 0.4 m). Each block movement is monitored with a pair of crackmeters. The colour of the rock slope varies from black to dark grey. The granite surface at the site of the
 220 pyranometers has a dark grey colour.

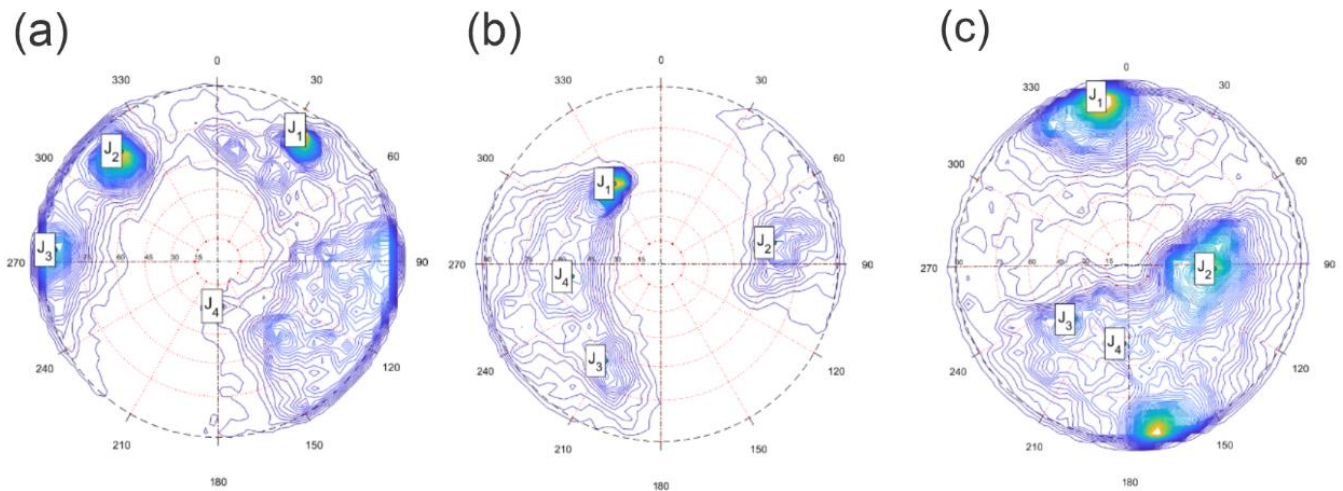
4 Fieldwork campaigns

Each instrumented rock slope was characterised using traditional geological, geomorphological, and geotechnical methods, such as measuring the geometrical properties of joints and fault planes, relative surface strength measurement using a Schmidt hammer, discontinuity density measurement, and stability estimates using geotechnical classifications (Racek,
 225 2020). The mechanical and physical properties of the rock samples (Table 3) will serve as input data for the numerical models of thermally induced strain, which are constructed using Multiphysics ELMER (Raback and Malinen, 2016) and FEATool (FEATool, 2017) software.

site	samples	ultrasound testing (wet)					pressuremeter (dry)					Brazilian test (dry)	
		ρ [g/cm ³]	E [GPa]	μ [GPa]	ν	K [GPa]	hardness [MPa]	E [GPa]	μ [GPa]	ν	K [GPa]	F max [kN]	σ_t [MPa]
Pastyřská rock (sandstone)	unweathered	1.87 - 1.92	13.8 - 17.4	5.8 - 7.7	0.12 - 0.26	6.6 - 10.4	22.3 - 28.5	14.8 - 17.2	6.2 - 6.9	0.17 - 0.24	7.6 - 11.2	3.0 - 5.5	1.3 - 2.4
	weathered	1.81 - 1.99	8.5 - 15.8	3.7 - 6.3	0.14 - 0.28	4.1 - 11.9	3.9 - 11.0	2.2 - 6.0	1.0 - 2.4	0.24 - 0.39	3.9 - 4.0	0.7 - 3.6	0.3 - 1.6
Branická rock (limestone)	unweathered	2.68 - 2.69	75.1 - 79.6	29.2 - 30.8	0.28 - 0.29	58 - 61.9	77.1 - 244.6	65.8 - 75.0	24.9 - 29.0	0.28 - 0.41	50.7 - 129.7	14.1 - 36.1	5.9 - 15.6
	weathered	2.67 - 2.69	73.4 - 78.1	27.9 - 30.2	0.29 - 0.34	62.2 - 64.3	88.2 - 170.5	63.6 - 73.1	24.4 - 28.2	0.27 - 0.31	49.3 - 61.0	18.1 - 33.4	7.8 - 14.0
	with cracks	2.67 - 2.69	64.5 - 78.4	24.4 - 30.3	0.29 - 0.32	60.4 - 63.4	52.1 - 192.3	25.4 - 74.0	9.6 - 27.9	0.27 - 0.33	24.7 - 61.2	11.4 - 26.9	4.7 - 10.9
Tašovice (granite)	weathered	2.39 - 2.52	5 - 11.9	1.8 - 4.2	0.39 - 0.42	7.6 - 22.7	36.1 - 63.1	4.3 - 15.0	1.6 - 5.6	0.27 - 0.41	4.4 - 20.4	6.5 - 11.2	2.4 - 5.0

230 **Table 3 Mechanical and physical properties of laboratory tested rock samples from the three monitored sites. ρ : Density, E: Young's modulus, ν : Poisson's ratio, μ : Shear modulus, K: Bulk modulus, Fmax: Maximum axial force, σ_t : Maximum tensile strength. u All weathered and unweathered samples were collected from the sites. At the Tašovice site, only weathered granite was available, and at Branická rock site some samples contained cracks. Only ultrasound testing was done with wet samples.**

Traditional methods were supplemented with state-of-the-art methods of rock slope analysis, including analysis of 3D point clouds and derived mesh surfaces based on SfM (structure-from-motion, a photogrammetric technique to calculate
 235 the 3D point cloud from overlapping photos with varying focal axis orientation) (Westoby et al. 2012) processing using the data collected with a UAV or based on TLS. The detailed rock surface models were then analysed using CloudCompare and its plugins (Facets and Compass) (Girardeau-Monaut, 2016; Thiele et al. 2018; Dewez et al. 2016) and the Discontinuity Sets Extractor (DSE) software (Riquelme et al. 2014) to derive the joint and fault planes and to measure their spatio-structural properties (Fig. 4). These methods automatically (DSE, Facets) or semi-automatically (Compass) derive structural planes from
 240 3D point clouds. From these, the structural setting and discontinuity systems of the rock slopes may be determined. Discontinuity sets define partial blocks that form the rock slope surfaces.



245 **Figure 4 Stereonets with the four main discontinuity sets (J1 – J4) classified using DSE software (Riquelme et al. 2014). The density of the principal poles corresponds to the main discontinuity sets identified from the point clouds. (a) Pastýřská rock, (b) Branická rock, (c) Tašovice.**

5 Initial results

The monitoring systems will be operated for one to two years. To-date, the gauges and sensors have operated without any problems or interruptions. However, some accidents or breakdowns have occurred, the most serious being the destruction of one pyranometer by debris, washed down by a rainstorm at the Branická rock site. As the experimental sites are easy to reach and spare parts easy to obtain, any broken or damaged elements may be replaced within a few days.

255 From the discontinuity analysis (Fig. 4), it is possible to see that in the case of the Pastýřská and Branická rock sites the discontinuity systems are clearly defined. The discontinuity sets at these sites are defined mainly by sedimentary layers and cracks perpendicular to them. In the case of the Tašovice site, the discontinuity systems are less pronounced. At this site, discontinuities are linked mainly to tectonically predisposed weak zones and weathered parts of the granite rock. The mechanical properties of the rock mass samples (Table 3) differ significantly according to the degree of weathering. The best results in terms of hardness were obtained from the unweathered limestone from the Branická rock site. The lowest hardness was determined for the weathered sandstone from the Pastýřská rock site. At the Tašovice site, it was not possible to call an unweathered sample due to the high degree of weathering of the whole rock slope.

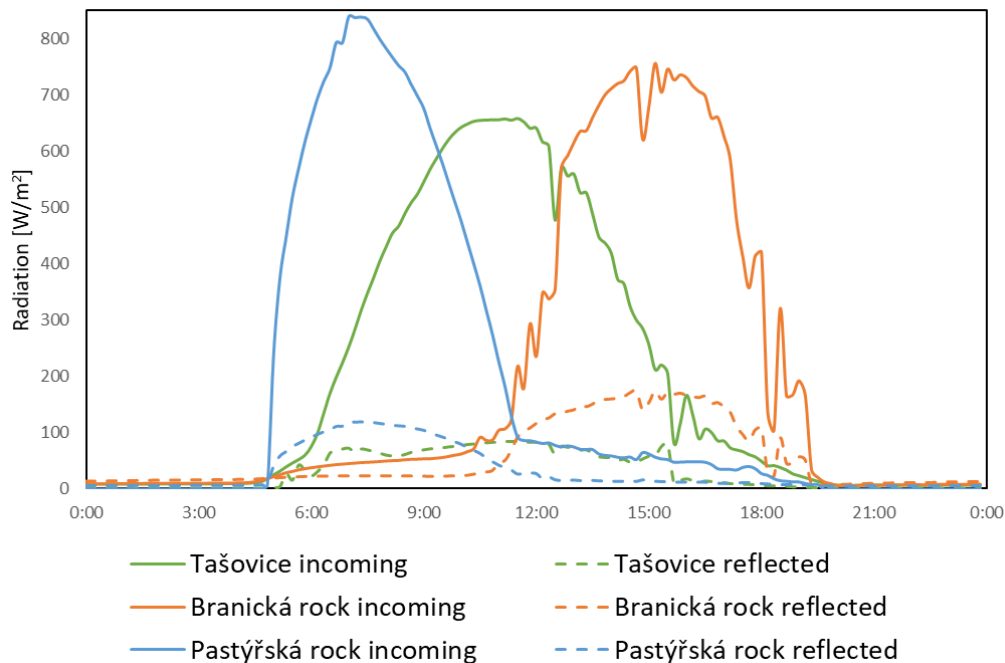
260 5.1 Environmental monitoring

The weather station monitoring at all of the instrumented sites takes place without any issues. From the measured time-series of the meteorological variables, the rock slope microclimate may be defined and the influence on the monitored discontinuity positions may be determined using statistical analyses. The comparison of crack openings with measured rainfall events does not indicate any visible influence of precipitation on the crack opening/closing. However, the measuring period is

265 still short, with prevailing dry, relatively warm weather. Conversely, there is a visible influence of air and rock mass temperature on block dilatation (Racek et al., 2021), where both diurnal and annual cycles may be identified.

5.2 Rock surface radiation balance

270 The rock surface solar radiation balance was monitored at the sites in 2020 (Branická rock in January, Pastýřská rock in February, and Tašovice in December). Even from these incomplete data it is possible to observe the differences between the individual sites (Fig. 5). Local conditions influence the incoming radiation pattern based on the general aspect of the rock slope (temporal shift of incoming radiation peak), rock slope albedo or the shading effects of the surroundings of the pyranometers. Differences in the absolute reflected radiation are mainly caused by the different colours of the rock faces, and by the different angle of incoming solar radiation due to the aspect of the instrumented slab.



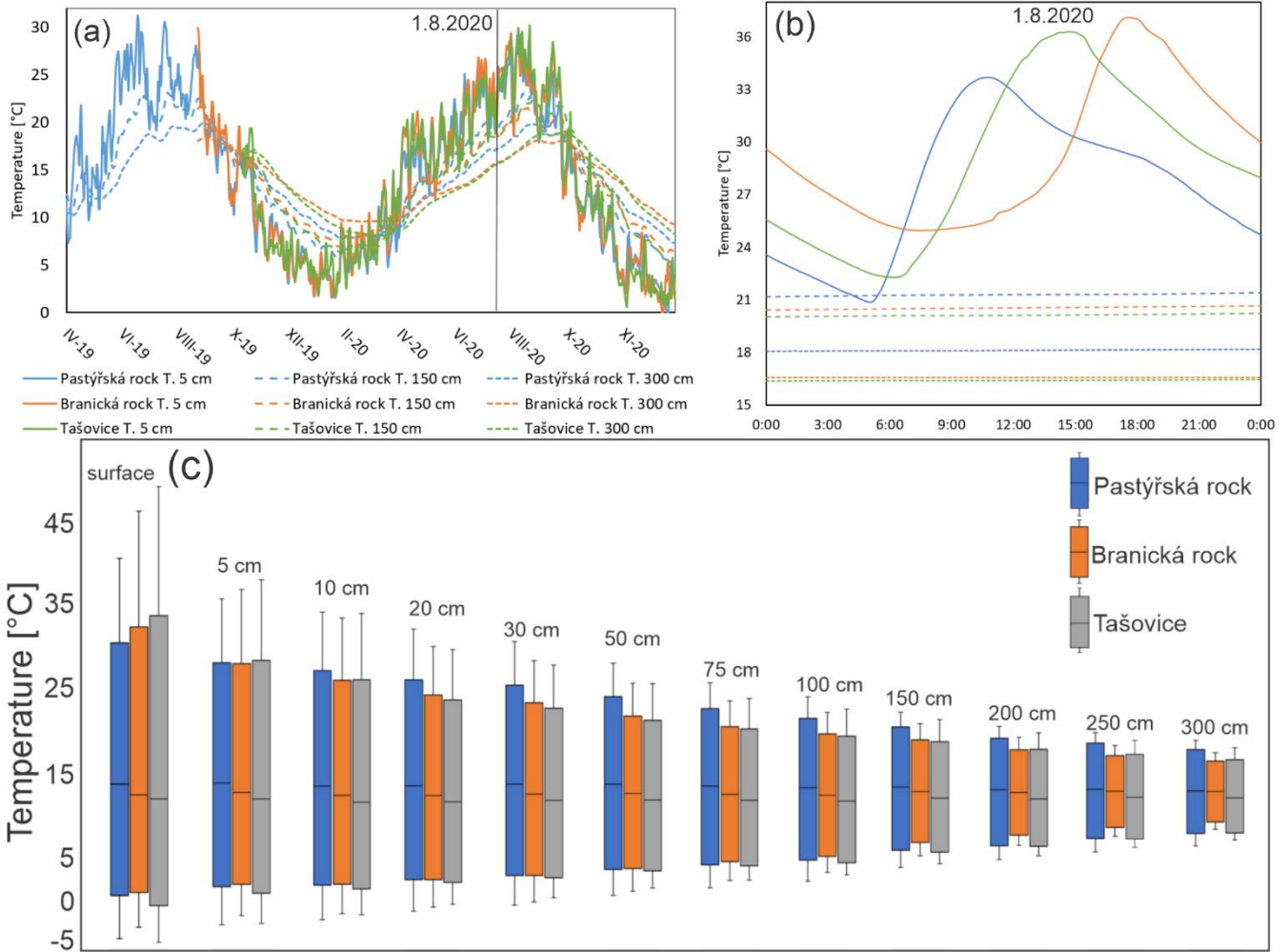
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Figure 5 Example of the incoming and reflected radiation measured by the pyranometers at the Branická rock, Tašovice and Pastýřská rock sites. A 24-hourtime series of incoming and reflected radiation is displayed. Data were recorded on 1 August 2020 with no clouds. The influence of the slope aspect is obvious from the incoming radiation peak shift.

5.3 Borehole temperature

280 By continuously measuring the temperature at different depths inside the sub-horizontal boreholes, it is possible to observe both diurnal and annual temperature amplitudes at various depths (Fig. 6). In-depth temperature measurements show differences in the temporal thermal behaviour between the monitored rock slopes (Fig. 6). From the boxplots that represent

data from all of the monitored sites, it is possible to see that the largest surface temperature variation was measured at the Tašovice site. This is probably caused by the dark colour of the Tašovice rock surface, with lower albedo. However, at greater depths, this variation decreases. This is probably caused by the lower thermal diffusivity of the granite. Moreover, in the depth of the rock mass, the influence of direct sunlight is attenuated. Greater in-depth temperature variation is present at the Pastýřská rock site. However, these data may be biased by different time-series lengths (1 vs 2 full years). The effect of different aspects may be seen in the peak of the diurnal temperature, when the temperature peaks earlier on the E facing rock slope (Pastýřská rock) than on the SSE facing Tašovice, and WSW facing Branická rock sites (Fig. 6). Differences in lithology (different thermal diffusivity) cause a temporal shift between surface and subsurface temperature peaks. This temporal shift differs between the different rock slopes. A higher median of the in-depth temperature at the Pastýřská and Branická rock sites (Fig. 6) is caused by longer in-depth temperature time-series spanning over two summer periods.



295 **Figure 6 Comparison of temperatures at different rock slope depths (5, 150, and 300 cm) at the three monitored rock slope sites. (a) long-term data (daily average), (b) one day data from 1 August 2020. In depth annual (a) and diurnal (b) temperature amplitudes are displayed. In-depth rock mass temperature data from all three monitored sites. Boxplots shows median, minimum, maximum, first, and third quartiles of temperature data. Temperature amplitudes from the compound borehole temperature probes may be compared between sites. At all sites, a decrease in the temperature amplitude with depth is apparent. (c)**

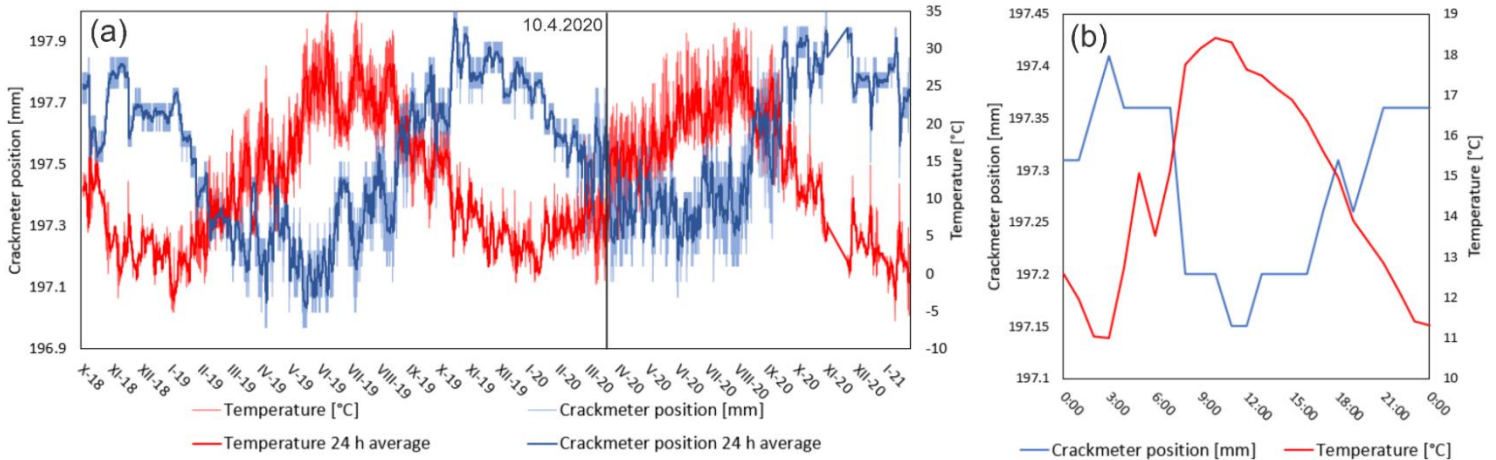
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5.4 Block dilatation

At all of the monitored sites, a thermally induced dilatation of the individual blocks is observed. However, due to the relatively short time-series, the measured crack movements do not show any irreversible trends visible on graphs. From the crackmeter data, diurnal and annual amplitudes of crack openings may be identified for all of the monitored rock blocks. Figure 7 shows the measured diurnal and annual rock crack openings at the Pastýřská rock site. From the graph, it is possible to see

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the influence of the diurnal and annual temperature changes on the position of the crackmeter. Similar behaviour was observed within all of the monitored blocks.



310 **Figure 7 Measured in-situ temperature and crack opening at the Pastýřská rock site. (a) Whole time series with annual amplitudes, (b) Example of the diurnal amplitude measured on 10 April 2020. From plot (a) the annual temperature and crack meter position amplitude may be observed. Plot (b) shows the diurnal temperature and crackmeter position amplitude.**

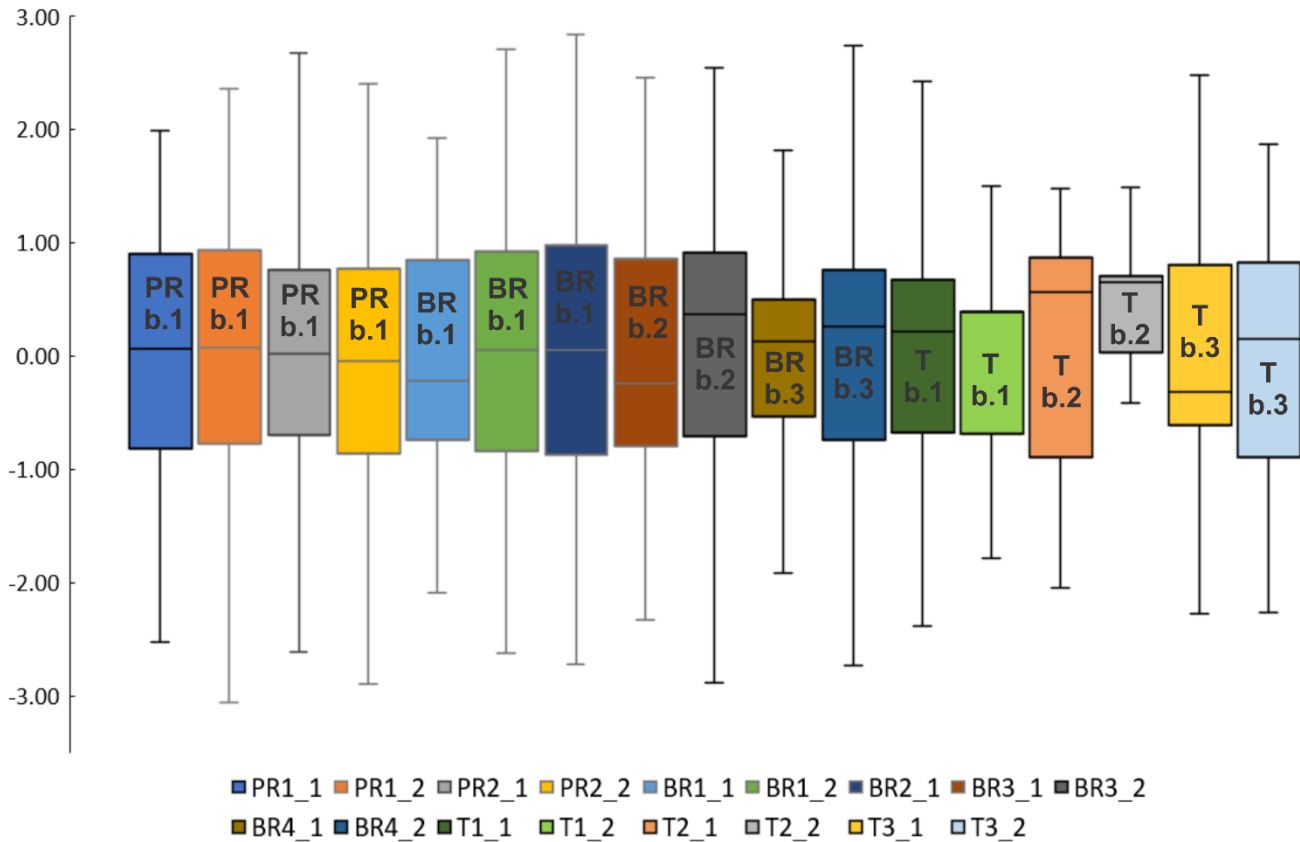
The amplitude of the position of the crackmeters differs between the individual sites and blocks (Table 4, Fig. 8). These differences are caused by different blocks dimensions, time series length, crackmeter placement and the regime of destabilisation.

Site	Block	Crack meter position amplitude Δl [mm]				measuring since
		CM1-P1	CM1-P2	CM2-P1	CM2-P2	
Pastýřská rock	1	1.05	0.95	0.75	0.75	23.10.18
Branická rock	1	1.45	0.35	0.25	N/A	4.6.19
	2	0.4	0.5	N/A	N/A	20.6.19
	3	0.75	0.7	N/A	N/A	10.7.20
Tašovice	1	0.65	0.25	N/A	N/A	4.12.18
	2	0.6	0.75	N/A	N/A	4.12.18
	3	0.85	0.7	N/A	N/A	18.10.19

320 **Table 4 Amplitude of crackmeters measuring at Pastýřská rock: 1 block 4 crackmeters, Branická rock: 3 blocks 7 crackmeters, and Tašovice: 3 blocks 6 crack meters. The table shows the difference between maximum and minimum opening of all the placed crackmeters. CM: Crackmeter, P: Position. The amplitude is calculated as the difference between the maximum and minimum position. Block refers to which specific block (according to Fig. 3) is instrumented by a crackmeter at each site. Last measured data: 27 January 2021**

To-date, crackmeter amplitudes (Fig. 8, Table 4) higher than 1 mm have been measured on Block 1 (approximately 170 m³) at the Pastýřská rock site (PR1_1, PR1_2), and on Block 1 (approximately 16 m³) at the Branická rock site (BR1_1, BR1_2, BR2_1). These blocks are the two largest instrumented. The measured crackmeter amplitude is reversible and is, therefore, caused by thermal expansion/contraction of the block. The relatively small Block 3 at the Branická rock site (BR4_1,

BR4_2) shows movements larger than 0.5 mm, although it has only been instrumented since the summer of 2020. Such a large amplitude suggests that the block is unstable, and this hypothesis should be confirmed by further monitoring.



330 **Figure 8** Box plots of crackmeter position data. To compare the different positions of the measurements, the data were standardised. The boxplots show the max/min of the crackmeter position, median, first and third quartile. The abbreviations inside the boxplots define the crackmeter locations. PR: Pastýřská rock, BR: Branická rock, T: Tašovice. Specific blocks are defined by numbers b.1 – b.3. These correspond to the blocks displayed in Figure 3.

335 The blocks instrumented at the Tašovice site seem to be more stable (Table 4, Fig. 8). Only Block 3 shows 0.85 mm of reversible movement. By further analysis of the graphs and statistical trends, possible irreversible trends of the blocks should be revealed. Destabilisation of a single block should be visible as irregularities in the time-series of the position of the crackmeter not strictly related to thermal dilatation. Two crackmeters at Tašovice site show large amplitudes of movement (T2_2, T3_2); however, these movements were fully reversible and short lasting (one-hour measurement). They were probably caused by external forces, such as the weight of snow cover deforming the crackmeter body, or deformations of the anchoring point during maintenance. Larger blocks (PR b.1, BR b.1; BR b.2) show the largest overall amplitude of movement. The remaining smaller blocks show smaller overall amplitudes. However, these seem to be more influenced by short-term diurnal

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temperature changes. Sensitivity to fast heating/cooling makes these blocks more susceptible to temperature-induced irreversible movements.

6 Discussion

345 Commonly used rock stability monitoring systems are often designed to provide an early warning (Jaboyedoff et al. 2004, 2011; Crosta et al. 2017), aiming primarily at identifying a hazard and not at investigating the causes or thresholds of the movement acceleration. The presented monitoring system is designed to contribute to explaining various meteorological and temperature related influences on the destabilising processes, which lead to the triggering of a rock fall event (Viles, 2013). Fantini et al. (2017) concluded that it is the temperature variations (rather than precipitation or wind) that cause changes in
350 internal strain within the rock mass leading to its destabilisation. Other factors, such as climate change, former rock fall, seismic stress, or hydrological processes are more responsible for rock fall triggering than for short-term strain field modification (Krautblatter and Moser 2009). However, to assess the strain changes within the rock mass, it is necessary to have information on the temperature distribution inside the rock slope depth. This is the crucial advantage of the presented monitoring system, as the borehole compound temperature probe short and long-term temperature changes up to 3 m in depth to be identified.

355 To observe individual thermally induced strain changes related to rock mass temperature and solar radiation, we placed the monitoring systems on rock slopes with various slope aspects (different insolation and its diurnal and annual changes) and built of different rocks (sandstone, granite, and limestone) to include the influence of heat conductivity, capacity and colour of the rock. While there are numerous laboratory studies on rock conductivity (Saez Blásquez et al. 2017), modelling of heat flow based on surface observation (Hall and André, 2001, Marmoni et al. 2020), and large-scale experiments usually
360 aiming at heat management in the thermal energy industry (Zhang et al. 2018), there are only a few experiments concerning the shallow (first meters) subsurface zone of rock slopes (Greif et al. 2017, Magnin et al. 2015a), even though this is the most short-term thermally strained and weathered part of the natural rock mass (Marmoni et al. 2020). Moreover, thermal conductivity or rock strength may be determined from heating/cooling rates of rock slope surfaces using a thermal camera (Pappalardo et al. 2016; Pappalardo and D'Olivo, 2019; Fiorucci et al. 2018; Guerin et al. 2019; Loche et al., 2021). Our
365 approach is aimed at combining these methods, with the ultimate goal of creating numerical thermomechanical models of the monitored rock slopes/partial unstable blocks.

The structural properties of the rocks were analysed using two approaches: i) Traditional field measurements using a geological compass and ii) DSE software for automatic discontinuity extraction from the DSM (Riquelme et al., 2014). While generally the results were similar, the DSM analysis did not include discontinuities that are not forming the surface of the rock
370 face. This effect is visible mainly in the case of the Tašovice rock slope 3D model, where the structural setting is not so straightforward as it is at the Branická rock and Pastýřská rock sites, formed by sedimentary rock layers.

The proposed monitoring system is compact, built of low-price and easily accessible off-the-shelf components (Tables 1 and 2), and is easy to modify according to the specific conditions of the given site. The performance of the monitoring system

is so far without major issues related to the components or general reliability. However, one crackmeter datalogger was
375 damaged and one pyranometer was destroyed by a rockfall triggered by a severe thunderstorm. Maintenance consists of
changing datalogger batteries and cleaning rain gauge buckets. Online data transfer via Sigfox IoT network (crackmeters) and
GSM (weather stations) works without any issues.

A disadvantage of the used crackmeters is that they only provide one-dimensional displacement data. However, the
device is quite low-priced, with good precision, and temporal resolution (Table 2). To amend the 1D displacement
380 measurement, we place several crackmeters at each instrumented site. Depending on the spatial configuration of the
crackmeters, even 3D data on the spatiotemporal behaviour of the monitored blocks may be obtained. Additionally, 3D data
about larger displacements are acquired using UAV SfM photogrammetry and TLS campaigns.

In terms of the environmental monitoring, there are clearly observable differences between the sites caused by the
slope aspect and local microclimate. When temperature data from the boreholes are compared, differences between the
385 monitored sites are apparent (Fig. 6). Both diurnal (up to approximately 150 cm in depth) and annual temperature cycles (up
to 3 m in depth) for each site may be defined. These differences are caused by a combination of the different rock slope aspects
and the physical properties of the different rock types. In further research, we plan to use time lapse thermal camera observation
to extend the information to the whole rock slope surface (Racek et al., 2021).

Solar radiation balance is not directly comparable, due to the different aspects and slopes of the instrumented rock
390 slabs. However, the temporal shift in maximum radiation caused by the rock slope aspect is visible from the solar radiation
chart (Fig. 5). When complete annual data on the solar radiance will be available (summer/autumn of 2021), a thorough
investigation of the differences will be performed. Consequently, the effects of long-term solar radiation cycles on the rock
slope dynamics will be possible.

It is necessary to mention that the destabilisation processes are rather slow and have a low magnitude in the central
European mid-latitude climate, because of lower temperature amplitudes, shorter periods of active freeze-thaw cycles and
lower precipitation (Krautblatter and Moore, 2014; Hermans and Longva, 2012; Viles, 2013). Therefore, monitoring is
necessary. To observe the processes in more extreme conditions, we have recently installed a new monitoring site in the
Krkonosé Mountains (N Czechia) at an elevation of 1270 meters above sea level. Here, in this mountainous environment, we
400 expect to observe block destabilisation processes with greater intensity.

Another factor contributing to the course of climatic conditions on the observed sites, is the various climatic cycles
of different length, amplitude, and depth-reach, ranging from diurnal cycles up to long-term cycles linked with solar activity
or climatic oscillations (Gunzburger et al. 2005; Sass and Oberlechner., 2012; Pratt et al. 2019). The most prominent of these
are the diurnal and annual cycles (Marmoni et al. 2020). The diurnal cycles have a shallower reach (Fig. 6) but are fast and,
405 therefore, cause intensive strain on the surficial rock layer. Annual cycles are slower, but with a higher amplitude and depth
reach (Hall and André, 2001). In-depth temperature data will help to clarify the role of thermally induced stress on rock
disintegration. Temperature changes cause irregular heating and cooling of the rock mass. These lead to irregularities in rock

mass dilatation at the surface and at depth, which causes thermally induced stress/strain, which may eventually lead to discontinuity evolution and breakage of the rock mass surface layers. Thermally driven disintegration also acts on a grain-size scale, where grains of different minerals expand differently and induce stresses on the rock mass (Hall and André, 2001;2003).

At all of the sites, the highest diurnal measured crackmeter movements are recorded in the spring and autumn, when diurnal rock slope surface temperature changes have the largest magnitude. The conditions, especially when crossing freezing temperatures twice a day, cause the development of freeze-thaw cycles, and consequent destabilisation of the rock slopes. We expect that the irreversible displacement trends will mostly occur during these periods.

Several works using similar monitoring instrumentation and approaches have been published (Matsuoka 2008; Bakun-Mazor et al. 2013,2020; Dreabing, 2020; Draebing et al. 2017; Nishi and Matsuaoka 2010). Nevertheless, thermally induced rock slope destabilisation monitoring is still a relatively marginally studied field. Matsuoka (2008) presented long-term data of crackmeter monitoring. His data were collected on rock slopes in a high mountainous alpine environment. Similarly, to our results, the displacement dynamics presented by Matsuoka (2008) were influenced by in-situ air and rock mass temperature, reaching the highest values in the spring and autumn. On a relatively long crackmeter time series (10 years), Matsuoka (2008) observed a gradual, temperature-driven joint opening. The most significant changes in crackmeter position are explained by freeze-thaw conditions. Nevertheless, even in the dynamic alpine environment, the joint opening is slow, measuring approximately 0.4 mm in two years of continuous monitoring. It is expected that in a temperate climate these processes are even slower. Nishi and Matsuaoka (2010) described the influence of temperature on the temporal displacement of a large rock slide. They noted a large displacement (over 1 m) during three years of monitoring, while the accelerations were linked to the highest precipitation periods. However, these values were observed in very different conditions from our experimental sites. Bakun-Mazor et al. (2013, 2020) proposed a monitoring system to distinguish thermally and seismically induced joint movements in limestone and dolomites at the Masada cultural heritage site. The measured amplitude of thermally induced irreversible joint movements reached approximately 0.3 mm in one year. The authors described the concept of a thermally driven wedging-ratcheting mechanism. The estimated annual irreversible joint opening at Masada was approximately 0.2 mm.

We assume that in the long-term (several years), we will be able to observe a similar wedging-ratcheting mechanism with lower amplitude at our sites. During colder periods, this mechanism may be complemented by frost shattering.

Draebing et al. (2017) and Draebing (2020) monitored a crack opening in an alpine environment. In this extreme environment, they observed an ice wedging driven crack opening of up to 1 mm over several days during the snowmelt period. By comparing the joint measurements of temperature and dilatation, the authors established an irreversible gradual joint opening of approximately 0.1 mm/year. Our data from the 2020/21 winter period and the newly instrumented site in the Krkonoše Mountains should show similar results. However, with the lack of active permafrost and permanently ice-filled joints at our sites, these movements should have a lower magnitude.

Measuring the temperature of dry unfrozen rock mass depth is still a rarely used approach. Magnin et al. (2015a) measured rock mass temperature inside 10 m deep boreholes. This research was oriented mainly to active permafrost depth

estimation and its spatiotemporal behaviour. In the shallow subsurface zone, they measured an annual temperature amplitude of approximately 5 °C at a depth of 3 m. Our data from sub-horizontal boreholes show a rock mass temperature amplitude of approximately 10 °C at a depth of 3 m. This is probably caused by the different climatic setup of our sites.

445 Fantini et al. (2018) studied short-term temperature profiles on an experimental limestone quarry rock slope. The diurnal temperature cycles in their case reached a maximum depth of approximately 20-30 cm. These results correspond to our measurements. We are able to observe diurnal temperature cycles of up to 50 cm in depth during the summer, when the rock mass surface is intensively heated by solar radiation. It is necessary to mention that a comparison of these results is not straightforward due to the diverse climatic setup.

450 the three sites will be continuously measured for a period of one to two years. Based on this, we will be able to show that the system is capable of observing the influence of thermal stress on the response of the monitored blocks (Fig. 7). However, to exclude seasonality, the time series of the crackmeter positions should be longer than 2-3 years. In a longer period, we expect to observe the process of long-term rock slope destabilisation represented by a gradual irreversible trend of crack opening/closure, which alludes to partial block destabilisation. Longer time series also allow seasonal statistical trend tests to
455 be used to describe trends in the monitored joint dynamics. The influence of meteorological variables on the stability of the rock blocks will be statistically analysed, to determine how individual meteorological variables influence the dynamic of the joints. In-depth temperatures will be analysed to find differences in thermal conductivity, diffusivity, and seasonal temperature trends between the monitored sites. Differences in the thermo-mechanical behaviour of the different rock slopes will be studied using numerical modelling. Furthermore, the monitoring system will be continuously upgraded. Installation of in-situ strain
460 gauges is planned to directly observe changes in the rock mass surface strain.

7. Conclusions

A newly designed rock slope stability monitoring system was presented. This system combines monitoring of meteorological variables with 3 m deep in-rock thermal profiles, and dilatation of the unstable rock block joints. It provides a unique opportunity to observe long-term gradual changes within the rock face, leading to rock slope destabilisation.

465 The design of the system allows for easy installation at various locations without major adjustments or modifications. All components of the system are available off-the-shelf at a relatively low price and are easy to replace with low skill requirements. The environmental data are transferred via GSM to a remote server, and the dilatation data are sent via the IoT SigFox network or may be downloaded remotely from several tens of meters. Therefore, maintenance visits to the sites may be limited to intervals of several months.

470 The monitored sites are easily comparable as identical monitoring set-up and equipment are used. Therefore, we are monitoring the reaction of various rock types on a certain climatic event and observing the differences and similarities on particular sites. This concerns not only movements or expansion of the rock mass but also the heat advance into the rock, its

velocity, and amplitude, otherwise very difficult to measure. Significant differences in the shallow surface rock mass zone are observable from 3 m borehole thermocouple probe data.

475 Further development of this project should include the implementation of in-situ rock surface strain monitoring using in-situ strain gauges. In the following research, in-situ data will be used for numerical modelling of heat flow and heat-induced strain within the rock mass.

Joint movement measurements combined with temperature and other external influencing factors will be analysed to understand the contribution of the individual processes leading to rock slope destabilization. The whole system will be gradually maintained and placed at other suitable sites.

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Data availability

Data are available at: <https://data.mendeley.com/datasets/4t38tvb4yn/draft?a=f9020d9b-fbd3-4489-a1ca-0e4ffd623212>

Authors' contribution

O. Racek, J. Blahůt and F. Hartvich designed the system and directed the instrumentation of the sites, and continuously processed data and maintained the monitored sites.

485

O. Racek processed the crackmeter data.

J. Blahůt processed in-depth temperature data and environmental data.

F. Hartvich supervised all works, helped with the graphic parts of the manuscript, and participated in setting up the monitoring.

Competing interests

490 “The authors declare that they have no conflict of interest.”

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