# Testing a novel sensor design to jointly measure cosmic-ray neutrons, muons and gamma rays for non-invasive soil moisture estimation

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Abstract. Cosmic-ray neutron sensing (CRNS) has emerged as a reliable method for soil moisture and snow estimation. However, the applicability of this method beyond research has been limited due to, among others, the use of relatively large and expensive sensors. This paper presents the tests conducted to a new scintillator-based sensor especially designed to jointly measure neutron counts, <u>muons and total gamma-rays</u>, and <u>muons</u>. The

- 20 neutron signal is firstly compared against two conventional gas-tube-based CRNS sensors at two locations (Austria and Germany). The estimated soil moisture is further assessed at four agricultural sites in Italy based on gravimetric soil moisture collected within the sensor footprint. Muon fluxes are compared to the incoming neutron variability measured at a neutron monitoring station and total gammas counts are compared to the signal detected by a gamma-ray spectrometer. The results show that the neutron signal-dynamic detected by the new
- 25 scintillator-based CRNS sensor is well in agreement with the conventional CRNS sensors. The derived soil moisture also agreed well -and-with the gravimetric soil moisture measurements. In addition, tThe muons and the total gamma-rays simultaneously detected by the sensor show promising features for a better correction of the incoming variability and for discriminating irrigation and precipitation events, respectively. Further experiments and analyses should be conducted, however, to better understand the accuracy and the added value of these
- 30 additional data for soil moisture estimation. Overall, the new scintillator design shows to be a valid and compact alternative to conventional CRNS sensors for non-invasive soil moisture monitoring that can and to open the path to a wide range of applications.

### **1** Introduction

Soil moisture plays a key role in the hydrological cycle controlling water and energy fluxes at the land surface
(Seneviratne et al., 2010; Vereecken et al., 2008). For this reason, an accuratecorrect monitoring of this variable is crucial in many applications, ranging from agricultural water management (Lichtenberg et al., 2015), runoff generations and floods (Bronstert et al., 2011; Saadi et al., 2020), and landslide prediction (Abraham et al., 2021; Zhuo et al., 2019). The main challenges in monitoring this variable are related to its strong spatial and temporal

variability driven by the different hydrological processes at the land surface (Haghighi et al., 2018) and further aggravated by human activities like irrigation and drainage (Domínguez-Niño et al., 2020).

Several instruments for monitoring soil moisture are nowadays available ranging from invasive point-scale soil moisture sensors to remote sensing methods with larger coverage (Babaeian et al., 2019; Corradini, 2014; Ochsner et al., 2013). More recently, the attention has been paid to the development and assessment of the so-called proximal soil moisture sensors (Bogena et al., 2015). These non-invasive near-ground detectors have the

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- 45 advantages to estimate soil moisture over an intermediate scale (10 200 m radius) and at sub-daily resolutions providing a new perspective for hydrological observations (Ochsner et al., 2013). Among these non-invasive techniques, cosmic-ray neutron sensing CRNS (Zreda et al., 2008) has show<u>ned</u> good performance in several <u>environmental</u> conditions <u>like natural ecosystems</u> (Franz et al., 2012), <u>meadow</u> (Zhu et al., 2016), cropped fields (Rivera Villarreyes et al., 2011; Coopersmith et al., 2014), and forests
- 50 (Heidbüchel et al., 2016; Jeong et al., 2021)(Baatz et al., 2014; Zhu et al., 2016). This technique relies on the inverse-negative correlation between natural neutron fluxes in a specific energy range (0.5 eV 100 keV) and hydrogen pools at and in the ground, providing the base a favourable option for monitoring soil moisture (Zreda et al., 2012), snow (Schattan et al., 2017; Tian et al., 2016) and biomass (Baroni and Oswald, 2015; Jakobi et al., 2018).
- 55 Noteworthy, this <u>negative-inverse</u> correlation has been detected since long time but mostly considered <u>nuisancenoise</u> in space weather monitoring (Hands et al., 2021; Hendrick and Edge, 1966) and rock dating (Gosse and Phillips, 2001). First studies showing the value of this signal for hydrological applications have been presented only some <u>decades-years</u> later based on a neutron detector installed below the ground (Kodama et al., 1979). Its application, however, remained limited to some integrations into long-term observation networks for
- 60 snow estimation (Morin et al., 2012). A strong contribution to the development and spread of this technique was provided only more recently when a better understanding of the interaction of these neutron fluxes and soil moisture was investigated (Zreda et al., 2008). In this context, the neutron detector had been installed aboveground and the signal well agreed with soil moisture over an area of several hectares and down to a depth of several decimetres (Franz et al., 2012; Köhli et al., 2015) providing a new prospective to monitor hydrological
- variables at the land surface (Desilets et al., 2010). Nowadays, this above-ground CRNS method is used by many research groups worldwide and it is integrated into some national monitoring systems for providing a better understanding of hydrological processes and supporting water management and assessments (Andreasen et al., 2017b; Bogena et al., 2022; Cooper et al., 2021; Hawdon et al., 2014; Upadhyaya et al., 2021; Zreda et al., 2012; Evans et al., 2016).
- 70 Initially, all the CRNS detectors were based on proportional gas tubes filled in with helium-3 or boron trifluoride (Schrön et al., 2018; Zreda et al., 2012). Alternative sensors are now emerging that could also open the path to new and wider applications (Cirillo et al., 2021; Flynn et al., 2021; Patrignani et al., 2021; Stevanato et al., 2019; Stowell et al., 2021; Weimar et al., 2020; van Amelrooij et al., 2022). In this context, the scintillator-based neutron detector design showed a good capability to measure neutrons with different energies (Cester et al.,
- 75 2016). A first prototype specifically for soil moisture estimation was developed and tested showing good performance in comparison with independent soil moisture observations (Stevanato et al., 2019). This detector was further improved by, e.g., reducing environmental temperature effects on the recorded signal and reducing its energy consumption (Stevanato et al., 2020). First comparisons with independent data confirmed the good

performances of these devices (Gianessi et al., 2021) with the additional advantage of measuring muons for onsite incoming neutron correction (Stevanato et al., 2022).

- In this study, we present a comprehensive description and assessment of this new scintillator-based CRNS detector. The assessment is performed based on: (i) a comparison of <u>of the detected</u> neutron counts <del>detected</del> <del>bywith</del> conventional gas-tube-based CRNS instruments at two experimental sites: <u>and</u> (ii) a comparison <u>of the detected</u> <u>derived soil moisture to with independent gravimetric</u> soil moisture measurements at four additional
- 85 experimental sites.—, (iii) a comparison of detected muons with incoming neutrons measured at a neutron monitoring station (iv) a comparison of total gamma counts with a conventional gamma ray spectrometer at one experimental site. The added value of muons and gamma particles simultaneously recorded by the sensor are also explored and discussed.

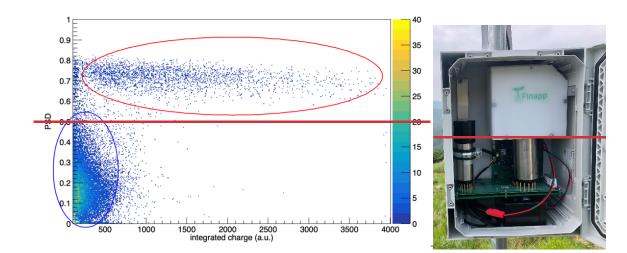
### 2 Materials and methods

#### 90 2.1 The detector assembly

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Scintillators have been identified as a promising alternative to proportional gas tubes for measuring neutrons in many applications (Peerani et al., 2012). The main advantages are the use of cheaper and safer materials than proportional gas tubes <u>based on helium-3 or boron trifluoride</u>, respectively. Moreover, and the capability to flexibility in manipulating the detecting material (e.g., thin layers) allows to optimize the sensitive area and to

- 95 develop relatively <u>efficient but</u> compact sensors. The scintillators are made of plastic or organic materials that emit photons in the visible or near ultraviolet (UV) region when hit by radiation. The scintillator materials used for neutron detection, in particular, have the <u>special-unique</u> property <u>in comparison to inorganic scintillator</u> to release the light in different ways when hit by different particles. The identification of the type of particle or ray is achieved by means of Pulse Shape Analysis (PSA), exploiting the different profile in time of the signals.
- 100 <u>Among others, A-a typical parameter used in these-this</u> analysies is the so-called pulse-shape-discrimination parameter (PSD), given by the ratio of the integrated charge in the tail of the signal with respect to the total integrated charge. An example is shown in <u>Figure 1</u>Figure 1a, left panel, which shows how different particles (here thermal neutrons and cosmic muons) populate very different regions in the PSD *vs.* integrated-charge plane in the used sensor. For more details on the analysis and on the parameters used for the identification of the single
- 105 events we refer to more specific studies (e.g., Cester et al., 2016).



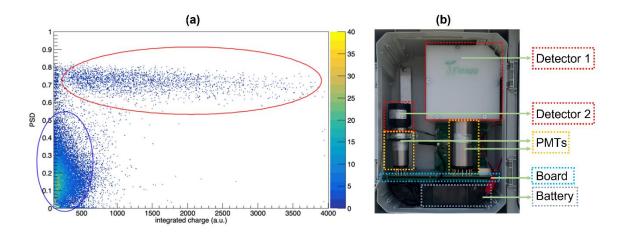


Figure 1,÷ (lefta) typical-Typical Pulse Shape Discrimination (PSD) vs. integrated charge plot for a FINAPP3 detector. Red and blue ovals indicate the neutron and muon region respectively; (rightb) scintillator-based sensor FINAPP3 with the two main detectors, board, photomultiplier (PMTs), board, and the two main detectors battery.

In the present study we use the scintillator-based sensor FINAPP3 developed by FINAPP.srl (finapptech.com/en). The main parts of the sensor are shown in Figure 1Figure1 b. The sensor hosts two main detectors. The first one-detector (Detector 1 in Figure 1b) (with the white polyethylene shield in the top right of the box) is a multi-layer Zinc Sulfide Ag-doped scintillator mixed with Lithium-6 Fluoride powder embedded in

- 115 a silicone-based matrix. Epithermal neutrons are further moderated by the polyethylene shield and brought to thermal energies (around 0.026 eV) where neutron capture cross section on Li-6 is maximum. <u>The Li-6</u> embedded inside the detectors has a large cross section for neutron capture. When a Li-6 nucleus captures a neutron, a nuclear reaction occurs and the compound Li-7 brakes into an alpha particle (He-4) and a triton (H-3) with a large energy release of almost 5 MeVThe output of the neutron capture reaction is the emission of an
- 120 alpha particle and a tritium nucleus with total kinetic energy of almost 5 MeV, easily detected by the ZnS(Ag) scintillator. This energy is converted into light (a flash of optical photons) by the ZnS(Ag) crystals. The energy release in the thin layers of the scintillator (a few hundreds of microns) is strong for local interactions coming from the neutron-Li capture reaction products providing a large electrical signal, well above the voltage threshold used to cut the instrument noise. This detector can measure cosmic-ray induced muons too (in the
- 125 energy of around 4 GeV) distinguished by a real-time PSD as described above. The possibility to detect muons in the same device was proven by the comparison with standard muon telescopes (patents n. IT102021000003728). The second main detector (Detector 2 in Figure1b) is a small (2" x 2") commercial organic scintillator a small-(EJ200, from Eljen Technology Inc.)-plastic scintillator 2" dx 2" (the black cylinder on the left side of the box). Due to the low effective atomic number Z<sub>eff</sub>, typical of organic materials, gamma rays
- 130 interact with this scintillator mainly by Compton scattering providing the spectrum shape of the Compton continuum from zero to the Compton edges. In the energy above 3.0 MeV no gammas are present but only signals with larger energy deposit (e.g., 10 MeV) due mainly to cosmic muons. For this reason, This-this second sensor-detector can measure muons as the main-first detector but also the total gamma rays fluxes in the energy range between 0.3 MeV and 3.0 MeV-. For more details about the detected signals we refer to more specific
- 135 <u>studies</u> (Boo et al., 2021; Ford et al., 2008). <u>Finally, Two two</u> commercial photomultipliers (<u>PMTs in Figure1b</u>), (from Hamamatsu Photonics\_, (Hamamatsu, Japan) are used to transform the light (visible photons) to electric pulse. The sensor <u>is-can be</u> further integrated with air pressure, air temperature and air humidity sensors. A single electronics board takes care of detector signal acquisition, real-time data processing and data logging to a remote

server. All the components of the detector are in a box of about 40 x 30 x 20 cm with a total weight of 8 kg.

140 Energy consumption is minimized to 0.4 Watt (35 mA at 12\_-V) and it is supplied by a relatively small solar panel (20-Watt) installed above the sensor. Overall, the new sensor assembly provide neutrons, muons and gamma counting rates that can be further corrected and elaborated to retrieve soil moisture as described in the next sections.

### 2.2 From neutron counts to soil moisture estimation

- 145 TSince first publications (Desilets et al., 2010; Zreda et al., 2008), the CRNS method has seen a fast development with many research groups contributing to the better understanding of the detected signal and proposing new equations and corrections (Baatz et al., 2015; Köhli et al., 2021; Scheiffele et al., 2020). In this study, the current state of the art of data processing is used and briefly described below.
- The measured neutron count rates N are affected by corrected local atmospheric conditions. For this reason, some corrections are commonly applied to the raw signal to allow relating the corrected signal  $N_e$  to soil moisture. 150 Specifically, N is corrected for air pressure  $(f_p)$ , variability of incoming neutron flux  $(f_i)$  and air vapour  $(f_v)$  to account for local atmospheric effects based on the following correction factors (Zreda et al., 2012):

$$f_p = exp\left(\beta(p - p_{ref})\right) \tag{1}$$

$$f_i = \frac{l_{ref}}{l} \tag{2}$$

$$155 \quad f_v = 1 - \alpha \left( h - h_{ref} \right) \tag{3}$$

$$N_c = N \cdot f_p \cdot f_i \cdot f_v \tag{4}$$

where,  $\beta = 0.0076 \text{ [mb}^{-1]}$ ,  $\alpha = 0.0054 \text{ [m}^3 \text{ g}^{-1]}$ , p and h are air pressure [mb] and absolute humidity [g·m<sup>-3</sup>], I is the incoming flux of cosmic-ray neutrons induced by galactic primary particles in the Earth's atmosphere [counts hour<sup>1</sup>, cph],  $h_{ref}$ ,  $p_{ref}$  and  $I_{ref}$  are the mean-reference values (here the average is taken) of air pressure, 160 absolute air humidity and incoming neutron flux during the measuring period, respectively. Air pressure and relative air humidity are generally measured locally (or taken from a weather station nearby) and the latter can be converted into absolute air humidity using measured air temperature. In contrast, data of the incoming fluctuations are commonly downloaded (e.g. from https://www.nmdb.eu/nest/https://www.nmdb.eu/nest/) from dedicated neutron incoming monitoring stations located at some places globally (Simpson, 2000). For the 165

specific case study, data from JUNG station at Jungfraujoch (Switzerland) are used for the correction as commonly adopted in many applications in central Europe (Bogena et al., 2022). Finally, the corrected neutron count rate  $N_c$  is transformed to volumetric soil moisture  $\theta$  based on Desilets

equation (Desilets et al., 2010):

$$\theta(N_c) = \left(\frac{0.0808}{\frac{N_c}{N_0} - 0.372} - 0.115 - \theta_{offset}\right) \cdot \frac{\rho_{bd}}{\rho_w}$$
(5)

where  $\rho_{bd}$  and  $\rho_w$  are the soil bulk density (kg·m<sup>-3</sup>) and water density (kg·m<sup>-3</sup>), respectively;  $\theta_{offset}$  is the 170 combined gravimetric water equivalent of additional hydrogen pools, i.e., lattice water (LW) and soil organic carbon (SOC), and  $N_0$  is approximately the counting rate of the detector at a site during very dry soil conditions. The value  $N_0$  can be calibrated based on independent soil sampling campaigns as suggested in different studies (Schrön et al., 2017; Franz et al., 2012). The data processing described above has been implemented in a A 175 simple spreadsheet for the processing of the data according to the steps described above is available from (Baroni, 2022b). For a more advanced data processing integrating also additional external data-sets readers readers can refer to Power et al. (2021).

Measuring cosmic muons and environmental gamma rays on top of neutrons is a unique feature of the scintillator based sensors. The use of muons has been shown to be an alternative for incoming correction since

180 they are produced from the same cascade as cosmic ray induced neutrons in the atmosphere (Stevanato et al., 2022). We also test this approach in the present study and for sake of clarity we report here the main data-processing steps. Specifically, muons are first corrected to account for air pressure and air temperature effects as follows:

$$= exp (6)$$
185 = 1 - (7)  
= M (8)

where Eq. (6) is analogous to the pressure correction for neutron flux (see Eq. 1), p and T are the air pressure [mb] and air temperature [°C], respectively, and  $p_{ref}$  and  $T_{ref}$  are the mean value of air humidity and air temperature during the measuring period. (Dorman, 2004; Maghrabi and Aldosary, 2018)(de Mendonça et al.,

190 2016)The corrected muon flux M<sub>C</sub> is then used for local incoming corrections in Eq. (2) instead of using neutron counts from space weather monitoring stations (). The parameters β<sub>M</sub> = 0.0016 mbar<sup>-1</sup> and α<sub>M</sub> = 0.0021 °C<sup>-1</sup> are taken from literature (Stevanato et al., 2022). These values have been estimated based on a recursive analysis conducted on a relative long time series collected at the same area. For this reason, the values are considered representative also for the experimental sites of the present study. Refinements of these values could be considered but this is beyond the scope of the present study.

Finally, the measurements of gamma ray has been shown to be a valid approach for soil moisture estimation at relative small scale, i.e., tens of meters (Baldoncini et al., 2018) or for identifying irrigation events at agricultural sites (Serafini et al., 2021). More specifically, gamma rays measured above the ground (e.g., by a detector installed about 2 meters from the ground) are mainly produced by radionuclides in the soil. The gamma ray

- 200 fluxes are also attenuated by the presence of water in the soil, due to the increased average absorption coefficient of the wet soil with respect to the dry soil. For this reason, the gamma ray signal (i.e., the <sup>40</sup>K full energy peak at 1.46 MeV or, anyhow, high energy gamma rays in this energy region) shows an inverse correlation with the amount of water in the soil and thus this relation can be used to estimate soil moisture dynamic (Strati et al., 2018). In contrast, gamma rays in the energy range of <sup>214</sup>Pb (352 keV), a radon progeny, has a much stronger
- 205 volatility and it is also present in the atmosphere. Thus, a fast increase in the gamma rays in the energy of this photopeak can be detected during precipitation events due to the effect of radon atmospheric deposition. In contrast, during an irrigation event, no such behaviour is expected. The added value of these signals is also analysed in the present study based on the data collected at the experimental sites.

## 2.3 <u>Comparison Assessment of neutron counts</u> to other conventional CRNS sensors at two sites (Austria 210 and Germany)

The comparison to other conventional gas-tube-based CRNS detectors has been conducted at two experimental sites (2Figure 2). The first site is located at Marchfeld (near Vienna, Austria, N48.24, E16.55). The second site is

located at Marquardt (near Potsdam, Germany, N52.45, E12.96). The recorded time series cover the period of seven months starting from May 2021 when, in both sites, a FINAPP3 detector was installed.

- 215 At Marchfeld experimental site, the FINAPP3 sensor is compared with a CRS2000, a boron-10 trifluoride proportional gas tube produced by Hydroinnova LLC (<u>www.hydroinnova.com</u>) that has been used in many studies (Andreasen et al., 2016; Baroni and Oswald, 2015; Hawdon et al., 2014). At the Marquardt site, several CRNS sensors of different design are available for comparison\_(Heistermann et al., 2023). In the present study we selected a sensor based on two boron trifluoride proportional gas tubes (a double CRNS sensor system type
- 220 called BF3-C-4) from "Lab-C" LLC, sold by Quaesta Instruments (<u>www.quaestainstruments.com</u>). This sensor provides a high sensitivity for neutron detection, thus good signal-to-noise ratio, which can promise potential for estimating soil moisture at even about hourly time resolution (Fersch et al., 2020).

All the detectors have been installed at a height of around 1.5 m above the ground and less than a few meters distance-between FINAPP3 and the other selected CRNS detectors. Considering the large footprint of the signal

225 detected, this horizontal difference is considered negligible for the comparison\_(Rivera Villarreyes et al., 2011; Patrignani et al., 2021; Schrön et al., 2018). All the detectors have been equipped with a solar panel and with GSM data transmission for supporting long-term <u>observations</u> and real-time<u>monitoring-observations</u>.



Figure 2. Experimental sites (left) Marchfeld (near Vienna, Austria) and (right) Marquardt (near Potsdam, Germany)

230 **2.4 Comparison** <u>Assessment of derived soil moisture</u> with independent gravimetric soil sampling campaigns (Italy)

A second assessment of the FINAPP3 sensor was carried out by a series of independent gravimetric soil sampling campaigns. The experiments were conducted at four experimental sites located in the Po river plain, northern Italy (Figure 3Figure 3). At San Pietro Capofiume (N44.65, E11.64, near Bologna, Italy, Figure 3, top

- 235 left) and at Legnaro sites (N 45.34, E11.96, near Padova, Italy, Figure 3, top right), the sensors were installed over a grassland with low biomass that is surrounded by agricultural cropped fields. Conversely, at Ceregnano (N45.05, E11.86, near Rovigo, Italy, Figure 3, bottom left) and at Landriano (N45.31, E9.26, near Pavia, Italy, Figure 3, bottom right), the sensors were installed in the middle of agricultural fields where fast biomass growth and irrigation took place. More specifically, at Landriano, sorghum was cropped and irrigated by a sprinkler
- 240 system. At Ceregnano, soybeans were cultivated and irrigated by a variable rate irrigation ranger system. The soil texture at the experimental sites is quite homogenous over the main area investigated by the sensors (approximately 100 m radius) except for Ceregnano, where a sandy fluvial deposit crosses the loamy field. At each site, weather data were collected by meteorological stations operated by the Regional Environmental Protection Agencies (ARPA) at the same positions where the CRNS sensors were installed or located in close

245 distance (few km). In these cases, the meteorological observations have been considered representative for the

local conditions. Moreover, three field campaigns were conducted during the vegetation season to collect soil samples for the calibration and assessment of the CRNS signal. The sampling took into account the sensitivity of the signal decreasing with distance from the sensor. Specifically, undisturbed soil samples were collected at 18 locations (white-red\_eircles-points in Figure 3Figure 3) and at four different depths (0-5 cm, 10-15 cm, 20-25

- 250 cm and 30-35 cm from the soil surface) for a total of 72 soil samples. Gravimetric water content for each soil sample was measured by dry-oven method (105° for 24 h). A mixed soil sample was further prepared at each site to measure soil organic carbon (SOC) and Lattice Water (LW). These two parameters have been measured by a Loss On Ignition (LOI) method respectively with a cycle of 24 h at 500° C and 12 h at 1000° C (Barbosa et al., 2021). All the values have been processed to account for the spatial sensitivity of the neutrons detected based on
- the most recent methods (Schrön et al., 2017). A simple spreadsheet where these weighting functions have been implemented is publicly available (Baroni, 2022b). The results are summarized in Table 1 in the appendix.

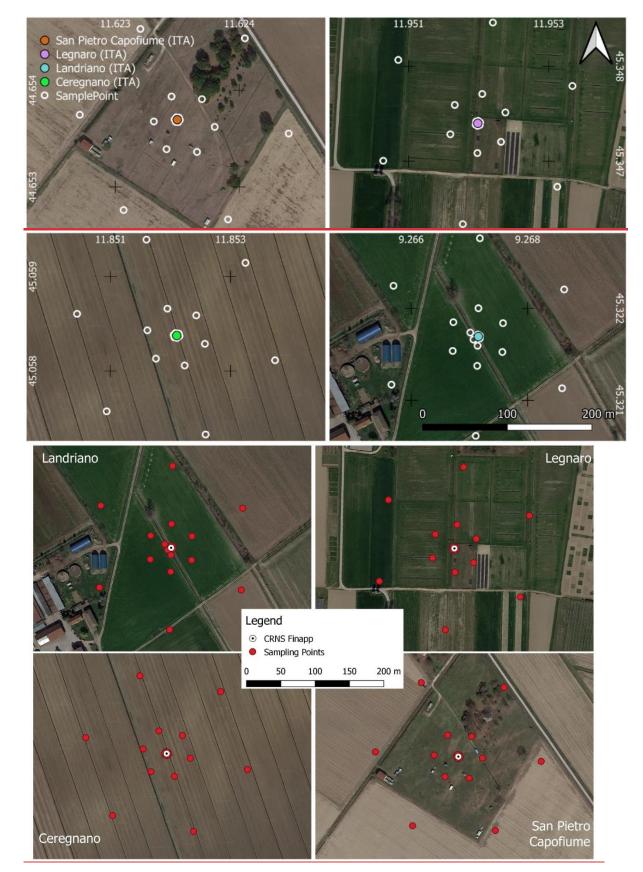


Figure 3Figure 3. Experimental sites with FINAPP3 sensor (colored\_white\_points) and locations where gravimetric soil samples (white open-red\_circlepoints) have been collected for comparison (pictures from Google Earth).

### 2.4 Assessment of muons counting rate

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The use of muons has been shown to be a possible alternative to the use of the neutron monitoring stations for incoming correction since they are produced from the same cascade as cosmic-ray induced neutrons in the atmosphere (Stevanato et al., 2022). We also test this signal in the present study and for sake of clarity we report here the main data-processing steps. Specifically, muons are first corrected to account for air pressure and air temperature effects as follows:

$$f_{p_M} = \exp\left(\beta_M(p - p_{ref})\right) \tag{6}$$

$$f_{T_M} = 1 - \alpha_M (T - T_{ref})$$
<sup>(7)</sup>

$$M_c = M \cdot f_{p\_M} \cdot f_{T\_M}$$
(8)

- 270 where Eq. (6) is analogous to the pressure correction for neutron flux (see Eq. 1), p and T are the air pressure [mb] and air temperature [°C], respectively, and  $p_{ref}$  and  $T_{ref}$  are the reference value (here the average is taken) of air pressure and air temperature during the measuring period. In contrast to the neutrons, the effect of air vapour on muon counting rate has been not identified so far (Dorman, 2004; Maghrabi and Aldosary, 2018) and it is also not considered in the present study. Noteworthy, the whole air temperature profile should be
- 275 considered for the correction. This would better represent the atmospheric condition and it would better capture the effect on muons. Some studies, however, have shown how the use of air temperature measured at 2 m hight provides a good approximation on the muon effect (de Mendonça et al., 2016). This approach is used also in this study, but it should be further tested in future research.
- For the muon assessment, first the parameters  $\beta_M$  and  $\alpha_M$  are derived based on the data collected within this study to evaluate the effect of air pressure and air temperature on the muon signal. These values are then compared with  $\beta_M = 0.0016$  mbar<sup>-1</sup> and  $\alpha_M = 0.0021$  °C<sup>-1</sup> provided by (Stevanato et al., 2022). These values have been estimated based on a recursive analysis conducted on a relative long time series collected at the same area (one year time series collected at around 200 km distance). For this reason, the values can also be representative for the experimental sites of the present study. Refinements of these values should be expected in case of
- 285 application in different locations. The corrected muon flux  $M_c$  is then compared to the incoming variability measured at the neutron monitoring station usually adopted for CRNS incoming correction (https://www.nmdb.eu/). Finally, the effect of using muon signal instead of using neutron counts from a neutron monitoring station for the incoming correction (Eq. 2) and soil moisture estimation is also presented and discussed.

### 290 2.5 Assessment of total gamma rays

The measurements of gamma rays has been shown to be a valid approach for soil moisture estimation at relative small scale, i.e., tens of meters (Baldoncini et al., 2018) or for identifying irrigation events at agricultural sites (Serafini et al., 2021). More specifically, gamma-rays measured above the ground (e.g., by a detector installed about 2 meters from the ground) are mainly produced by radionuclides in the soil. The gamma-ray fluxes are

295 also attenuated by the presence of water in the soil, due to the increased average absorption coefficient of the wet soil with respect to the dry soil. For this reason, the gamma-ray signal (i.e., the <sup>40</sup>K full-energy peak at 1.46 MeV or, anyhow, in the energies between about 1.0 MeV to 2.5 MeV) shows a negative correlation with the amount of water in the soil and thus this relation can be used to estimate soil moisture dynamic (Strati et al., 2018). In

contrast, gamma-rays in the energy range of <sup>214</sup>Pb (352 keV), a radon progeny, has a much stronger volatility and

- 300 <u>it is also present in the atmosphere. Thus, a fast increase in the gamma-rays in the energy of this photopeak can be detected during precipitation events due to the effect of radon atmospheric deposition. In contrast, during an irrigation event, no such behaviour is expected. Noteworthy, the gamma signal should not be corrected for other effects (i.e., air pressure, air temperature and air humidity). For these reasons, it can provide some advantages to the use of neutrons for soil moisture application.</u>
- 305 For the assessment of the gamma signal measured by FINAPP3, a stationary CsI gamma-ray spectrometer (gSMS, Medusa Radiometrics, https://medusa-online.com/en/) has been installed at Ceregnao site. A direct comparison between total gamma fluxes measured by the two sensors is performed. The capability of the signal to discriminate precipitation and irrigation events is also explored in the present study based on the data collected at the experimental sites. The added value of these signals is also analysed in the present study based on the data
- 310 <u>collected at the experimental sites.</u>

### 3. Results

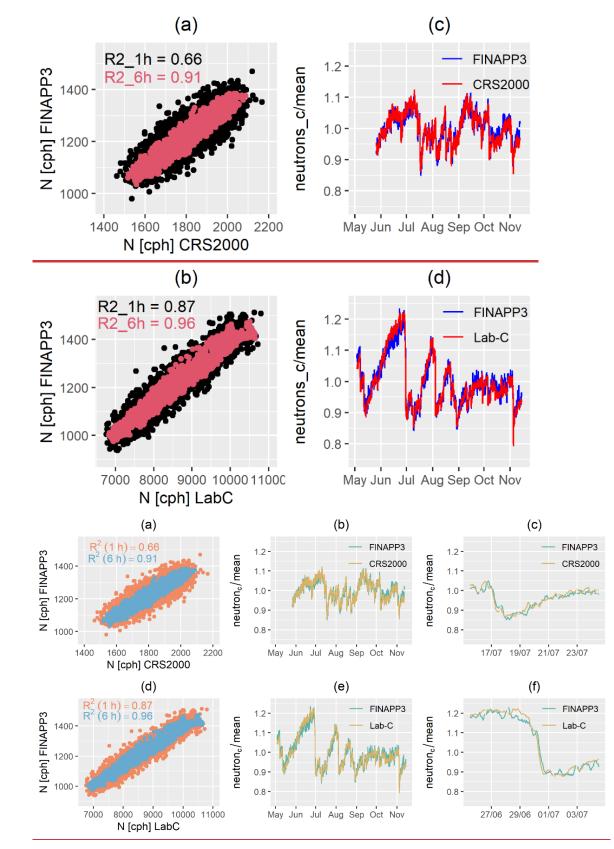
### 3.1 Comparison between neutrons detected by FINAPP3 to and conventional CRNS sensors

The corrected hourly neutron count rates measured by the different sensors are shown in-<u>Figure 4</u>Figure 4. The results show a very good agreement of the signal detected by the FINAPP3 sensor. As expected, the sensors have

- 315 different sensitivities with mean neutron counting rate over the period at Marchfeld of 1279 cph and 1797 cph, for FINAPP3 and CRS2000, respectively and at Marquardt of 1187 cph and 8387 cph, for FINAPP3 and Lab-C, respectively. Accordingly, the relative lower sensitivity of FINAPP3 and CRS2000 produced a higher amount of statistical noise (and, thus, lower correlation) for the same integration period of 1 hour, when compared to the pairing of FINAPP3 and Lab-Cits benchmark (CRS2000 or Lab-C, respectively). However, this difference is less
- 320 substantial when the signal is smoothed over six hours<u>a</u> longer time interval (Figure 4c, d). Specifically, the analysis shows a good agreement of the detected signals ( $R^2 = 0.66$ ) at 1 hour integration time. The performance improves ( $R^2 = 0.91$ ) when the values are integrated already over six hours interval. The good correlation can also be appreciated by looking at a fast drop of the neutron counting rates during a short time scale (Figure 4c,

d). For this reason, the FINAPP3 sensor can be considered reliable for many applications while it is suggested to

325 employ a more sensitive detector for especially demanding settings, e.g., when focusing on fast (e.g., hourly) hydrological processes like canopy interceptions (Andreasen et al., 2017a; Baroni and Oswald, 2015) or roving mobile applications (Jakobi et al., 2020).



# 3.2 <u>Comparison Assessment of the derived FINAPP soil moisture</u> with independent gravimetric soil <u>sampling campaignssamples</u>

The neutron counts <u>collected at the four Italian experimental sites</u> were transformed to volumetric soil moisture as described in section 2.2 using all the soil samples for the calibration of the parameter  $N_0$  (Eq. 5). Before the

- 340 <u>transformation, The-the corrected hourly neutron values were smoothed with a Savitzky-Golay filter to decrease the random fluctuations at short time period as suggested in literature (Franz et al., 2020). Noteworthy, some relevant differences between FINAPP3 and gravimetric soil moisture are still detected. To better highlight these differences, tThe calibration curves obtained based on all the gravimetric soil samples are shown in Figure 5 (dashed black lines) together with some performance metrices between estimation and observation</u>
- 345 (coefficient of determination R<sup>2</sup> and RMSE). Moreover, calibration curves based on the data collected during only one single soil sampling campaign are added to better visualize the differences (grey lines). At the Legnaro site, the calibration curve aligned well the observations with a high goodness of fit (R<sup>2</sup> > 0.9; RMSE = 0.006 g g<sup>-1</sup>). In contrast, at the other three sites, the goodness of fit deteriorated with the worst case obtained at Ceregnano site (R<sup>2</sup> > 0.2; RMSE = 0.041 g g<sup>-1</sup>). These results performances are in agreement with
- 350 studies conducted with other conventional CRNS sensors (e.g., Franz et al., 2012) and they can be explained in relation i) to the effect of other hydrogen pools like biomass (Baatz et al., 2015; Franz et al., 2015; Jakobi et al., 2018) and ii) to the contributions to the signal from remote areas (Schattan et al., 2019; Schrön et al., 2017).

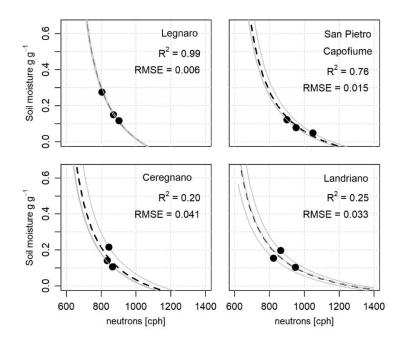


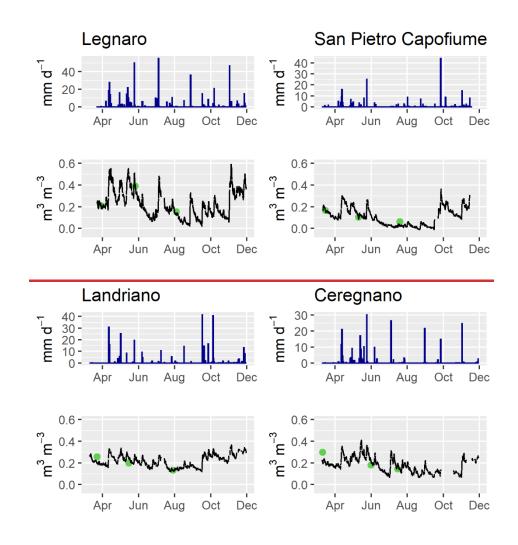
Figure 5. <u>Calibration curves obtained at each site (Legnaro, San Pietro Capofiume, Ceregnano and Landriano) using data</u> collected during one single field campaign (gray lines) or based on the best fit over all the samples (dashed <u>black line</u>).

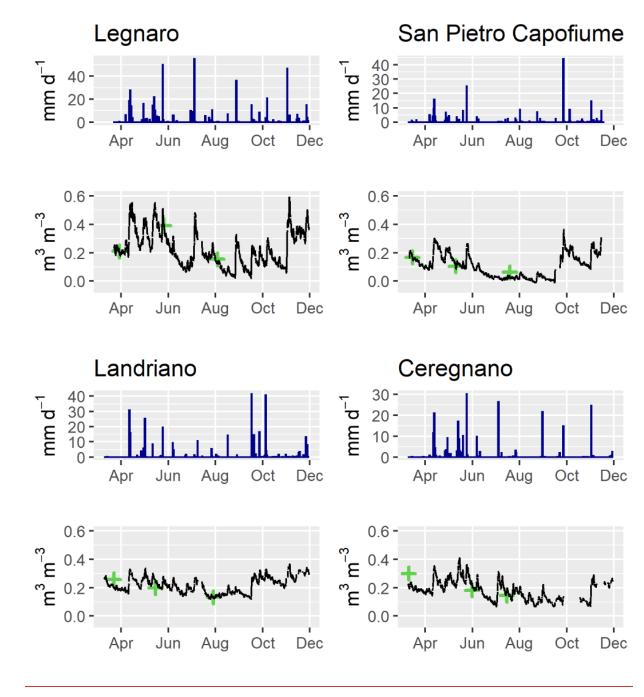
Specifically, the very good fit at Legnaro site can be explained considering that the FINAPP3 sensor has been installed at a grass site with low biomass and the surrounding areas are characterized by relatively small agricultural fields (see Figure 3Figure 3). In these conditions, the soil samples well represent the average soil

360 moisture within the footprint and no additional hydrogen pools are relevant. As such, the results support the sufficiency of one single calibration campaign and the accuracy of the detected signal when these conditions are met. At San Pietro Capofiume, the FINAPP3 sensor was also installed at a grass site with low biomass. This

area, however, reached very low soil moisture values during the summer. In contrast, the remote areas are large, irrigated maize cropped fields (i.e., with much higher expected soil moisture). As recently discussed (Schrön et

- 365 al., 2023), in these particularly heterogeneous conditions, the sensor can detect soil moisture changes at more remote distance than the actual footprint and the gravimetric soil samples collected during the field campaigns could be not representative of the average soil moisture condition detected by the sensor. On the one hand, this can explain the unrealistic apparent negative soil moisture values estimated during August. On the other hand, it supports the need of additional soil samples at the irrigated areas to provide a soil moisture basis more
- 370 representative for this CRNS footprint-and to allow for a better calibration and overall assessment of the signal. Finally, at Ceregnano and at Landriano, the FINAPP3 sensors were installed at the centre of a homogenous cultivated field where the contribution of the fast biomass growth to the detected signal should be expected. Thus, the apparent overestimation of soil moisture towards the peak of the growing season at both sites is very plausible. Some corrections to the signal to account for the biomass contribution have been suggested in
- 375 <u>literature (Baatz et al., 2015; Franz et al., 2015; Jakobi et al., 2018) but it is beyond the aim of the present study to assess these approaches. The use of more recently proposed soil moisture-neutron relation could also be tested in future studies to see possible compensation for these effects (Köhli et al., 2021). Anyway, these results confirm the need to conduct when possible more than one calibration campaign to account for some of these effects (Heidbüchel et al., 2016; Iwema et al., 2015).</u>
- 380 <u>Finally, The the time series collected at the four experimental sites in Italy are shown in-Figure 6Figure 6</u>. As it is shown, t<u>The FINAPP3 signal was regularly recorded and transmitted over the entire period</u>. Only few data gaps were experienced, and they are related to short periods of low power supply by the solar panel during wintertime. At all the sites, the estimated soil moisture dynamic responds well to precipitation-events; (Gianessi et al., 2021), and in generalAs previously discussed, the derived soil moisture values are in good agreement with
- 385 the gravimetric soil moisture (green dotscrosses). For this-these reasons, the results show how FINAPP3 can be considered a reliable soil moisture sensor to be integrated in long-term monitoring networks, as proposed by (Cooper et al., 2021; Zreda et al., 2012; Bogena et al., 2022).





390 Figure 6. Estimated volumetric soil moisture (m<sup>3</sup> m<sup>-3</sup>) by FINAPP3 at the four experimental sites (black line) compared to weighted average soil moisture based on soil samples and gravimetric methods (green dotscrosses). At each site, the precipitation is also shown (blue bars).

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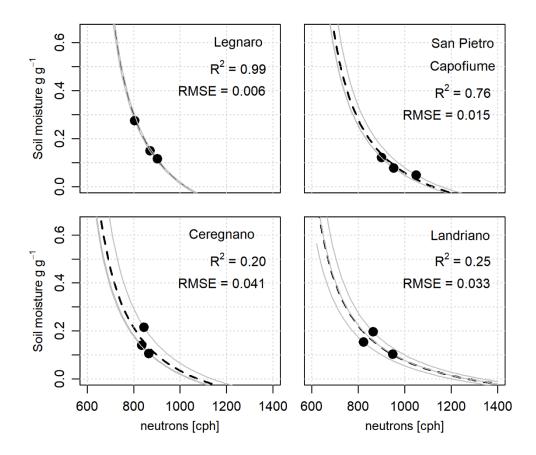
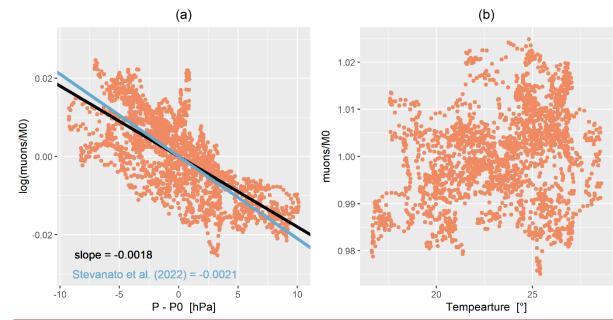


Figure 6. Calibration curves obtained at each site (Legnaro, San Pietro Capofiume, Ceregnano and Landriano) using data collected during one single field campaign (gray lines) or based on the best fit over all the samples (dashed line).

### 425 **3.3 On The the use of muons for incoming corrections**

Muons have been recorded simultaneously by the detector at all the experimental sites. Some malfunctions in the pulse-shape-discrimination integrated in the electronic board and on the data transmission have been however initially identified. These malfunctions have been later fixed but some data have been corrupted. For this reason the muon time series cover a shorter period in comparison to the neutron counts (i.e., June – November). The use

- 430 of locally measured muons simultaneously obtained by the FINAPP3 sensor has been recently suggested as a promising alternative approach for incoming correction of the signal (Stevanato et al., 2022).Figure 7 shows the moun counting rates collected at Legnaro site, as example, but similar results have been detected in the other experimental sites. As expected, the results show a strong relation between measured muon counting rates and air pressure (Figure 7a). The slope of the relation (-0.0018) is also very similar to the value obtained by
- 435 <u>Stevanato et al. (2022) (i.e., -0.0021).</u> In contrast, within the present study no relation is detected between the pressure corrected muons and air temperature (Figure7b). The behaviour is attributed to the relative short time series and the small temperature range  $(\pm 5^{\circ})$ . However, the representativeness of air temperature measured at 2 m hight in comparison to the need of a whole air temperature profile is also questionable and it should be further investigated (de Mendonça et al., 2016).



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Figure 7. Comparison of data collected at Legnaro site: (a) relative air pressure *vs.* muon counting rate; (b) air temperature *vs.* corrected pressure muon counting rate.

The muon counting rate is further analysed by comparing its dynamic to incoming neutron fluxes measured at a neutron monitoring station (Jungfraujoch) and based on the effect on the derived soil moisture (Figure 8).

445 During most of the monitoring period, the main fluctuations are clearly visible in both muon and incoming neutron (JUNG) time series (Figure 8, left). In some days (e.g., on 5th of July when a precipitation event occurred), some differences are detected that might be attributed to different local atmospheric conditions between the experimental sites and Jungfraujoch where the incoming neutron fluxes are measured. However, these differences do not propagate into significant differences in derived soil moisture. For this reason, the 450 analysis within the present study is not conclusive but longer time series (e.g., years) with stronger incoming variability are needed to test the use of muons for incoming correction.
 <u>Noteworthy</u>, no substantial incoming fluctuations have been detected. Thus, no differences can be identified between the use of muons or the conventional approach during these periods (data not shown). Oone single

relevant event, has been recorded at the beginning of November (Figure 8, right). During this period, a

- 455 fast drop in the incoming fluxes has been detected, producing ~8% increase in the incoming correction if neutron monitoring is concerned. In contrast, the fluctuations of the muons are much more smoothed. At the current stage, the reasons of these differences have been not identified but only some hypotheses are formulated. First, the FINAPP3 muon detector has been optimized to follow relative long-term variability (weeks to months). The muons count rate is relatively low and the recorded signal is smoothed over relative long-time period (days).
- 460 Second, the muon detector is also not directional (e.g., as a telescope looking upward) but it measures muon particles that are scattered in all the directions. These characteristics could produce some differences in comparison to directional detector when these fast and strong events are considered. For this reason, the need of a bigger or directional muon detector could be considered for further developments to detect events that occurs during relatively short period. Still, it is interesting to note the propagation of these different corrections into soil
- 465 <u>moisture estimation. Specifically</u>, a strong precipitation event with atmospheric instability occurred that was observed over all the Italian sites especially at some experimental sites<u>during this strong incoming neutron</u> variability. Accordingly, soil moisture should have increased to some degree. The effect of the incoming correction based on the neutron monitoring station, however, smooth this effect and the soil moisture remains constant or even started to dry down. In contrast, by using the muon signal, the soil moisture increases. <del>Thus,</del>
- 470 during this period there is a relevant difference between using neutron fluxes from neutron data bases (i.e., from JUNG at Jungfraujoch) and the local muons. During these conditions (Figure 7), the use of muons well capture the soil moisture increases due to the precipitation events. In contrast, the common correction using incoming fluctuations from JUNG station (Switzerland) completely fails to capture a soil moisture increase during the second precipitation event. Despite additional analyses with longer time series and continuous independent soil
- 475 moisture records should be considered for better understanding the value of local muon correction, While the magnitude of this increment is in some cases questionable if compared for instance to the increment recorded during the earlier precipitation event, these results support previous findings that muon detection can be a promising possible approach to better account for local atmospheric conditions. In this context, FINAPP3 can be considered a valuable sensor for collecting new data for further testing this hypothesis. The use of continuous
- 480 independent soil moisture measurements should be however designed for benchmarking.

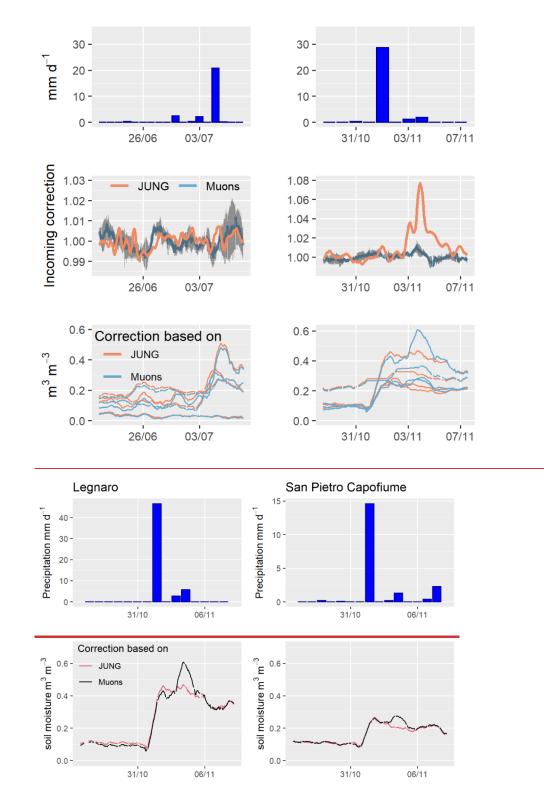


Figure 8. The plots in the top row show the <u>average precipitation over the four Italian experimental sitesduring the period</u>. The plots at the <u>bottom middle</u> row show the <u>incoming correction based on neutron monitoring station (JUNG) and based on</u>
 the <u>average muon detected at the four experimental sites (Muons)</u>. Standard deviation is also shown as grey area. Bottom plots show estimated soil moisture using <u>the wo</u> different approaches for the incoming correction of the signal: based on the standard approach of data from neutron data base (e.g., JUNG plotted as <u>red-orange</u> line) and using locally detected muons (<u>black-blue</u> line).

# 3.4 The use of <u>Assessment of measured total</u> gamma rays for estimating soil moisture and discriminating irrigation

The comparison between total gamma counts (TGC) measured at Ceregnano site by FINAPP3 and gSM Medusa is shown in Figure 9. On average, the sensitivity of the FINAPP3 is lower with an average counting rate over the monitored period of 2531 counts per hours (cph). In contrast the gSM Medusa sensor showed higher sensitivity and an average counting rate over the monitored period of 8281 cph. The correlation between the two signals is low at 1 h time resolution ( $R^2 = 0.077$ ), mainly due to the presence of some outliers. The correlation increases at 6 h resolution ( $R^2 = 0.29$ ) and, at this time resolution, the dynamic is well captured (Figure 8b).

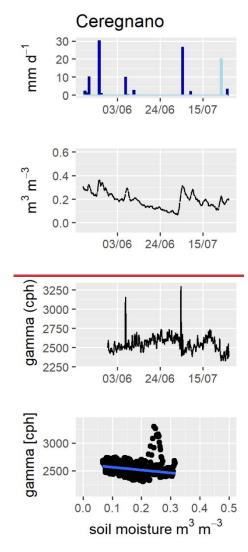
(b) (a) 13000 -1.3-Total gamma counts Medusa FINAPP3 Fotal gamma counts/mean (1 h) = 0.07712000 (6 h) = 0.29Medusa 1.2-11000 -1.1 10000 9000 -1.0 8000 0.9 7000 3000 4000 Jun Jul Aug Total gamma counts FINAPP

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Figure 9. Comparison between total gamma counts measured by FINAPP3 and Medusa gamma-ray spectrometer.

- The measured total gamma counts are further compared to the soil moisture simultaneously derived by FINAPP3
   and with precipitation and irrigation events (Figure 10). Please note that a relatively shorter time series (June September) in comparison to the neutron time series is shown due to some malfunctions of the electronic board and data transmission that have been initially deprecated the gamma signal, as also discussed for the muon signal. The collected results show a negative correlation with the soil moisture dynamic estimated based on the neutron counts (i.e., TGC increases with soil moisture decreasing and *viceversa*). More specificallyThus, the
- 505 results confirm how the total gamma fluxes are attenuated by the presence of water in the soil providing the scientific basis to develop a gamma-ray sensor for soil moisture estimation (Strati et al., 2018). However, the total gamma counts show higher dynamic at sub-daily time scale in comparison to the estimated neutron-based soil moisture and the –correlation between the signals is weak (Pearson correlation coefficient r = -0.18). suggesting thatFor this reason, further experiments and analyses should be conducted to better understand the
- 510 added value of this signal for soil moisture estimation. In particular Among others, the weak correlation can be attributed to the smaller horizonal and vertical footprint of the gamma fluxes (<25 m radius, <15 cm depth) in comparison to the neutron (~100 m radius, ~40 cm depth)-. Thus, and a dedicated soil sampling campaign within the theoretical soil volume detected by the gamma footprint-particles should be performed for better assessment. An exponential decrease of the sensitivity of the signal has also been suggested in literature in both horizontal</p>
- 515 and vertical directions (Baldoncini et al., 2018). However, considering that the gamma footprint is strongly affected by the height of the detector installation (van der Veeke et al., 2021), further and more dedicated experiments should be performed to develop specific weighting functions and to conduct a proper assessment. Noteworthy, however, a peak in the total gamma radiation generated by the deposition of atmospheric radon during the precipitation events is clearly identifiable. In contrast, no such peaks occur during the irrigation
- 520 events. The results are shown in Figure 10 where two short periods are visualized as example. For this reason,

while the use of total gamma radiation for soil moisture estimation will require additional refinements, this-the <u>new</u> sensor can well-be used for discriminating the increase of soil moisture due to irrigation in contrast to precipitation events as shown in other studies using more dedicated gamma-ray spectrometers (Serafini et al., 2021). The use of this signal to extend existing gamma ray dosimeters can also be foreseen (Rizzo et al., 2022).



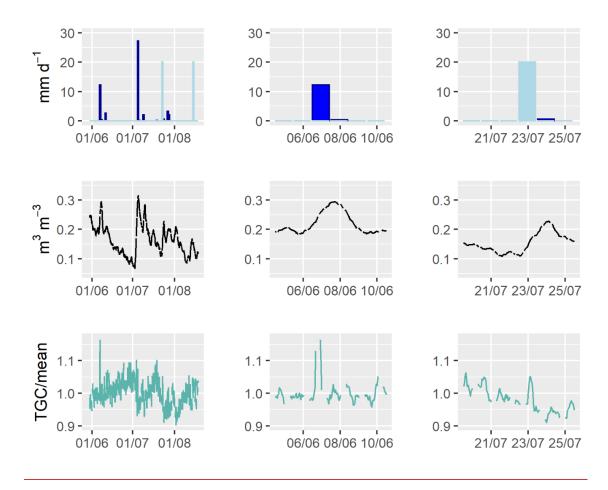


Figure 10. From top<u>row</u>, precipitation (blue) and irrigation (light blue) (mm d<sup>-1</sup>), volumetric soil moisture estimated by FINAPP3 (m<sup>3</sup> m<sup>-3</sup>) and total gamma counts (<u>TGC</u>) over the mean of the monitored period(eph). The last bottom plot shows the general negative correlation between soil moisture estimated by FINAPP3 and the total gamma fluxes.

### 530 4. Conclusions

This study presents the activities conducted to test a new <u>CRNS</u> sensor design based on scintillators for noninvasive soil moisture estimation. The results show that the new sensor performed <u>very</u> well in different environmental conditions in comparison to other conventional gas-tubes-based CRNS sensors ( $\mathbb{R}^2 > 0.9$  at <u>6 hours integration time</u>) and based on several gravimetric soil moisture samples (RSME <0.04 m<sup>3</sup> m<sup>-3</sup>). The

- 535 sensitivity of this new sensor design was found suitable for monitoring daily temporal soil moisture changes over an entire seasonlong term (years). However, the signal noise was relatively high at hourly time scale and only the aggregation to 6-h-interval yielded a reasonable robustness of the signal. —For this reason, a more sensitive detector should be considered when fast hydrological processes such as canopy interceptions or roving applications are targeted. However, aggregation to the 6 h interval yielded a reasonable robustness of the signal.
- 540 Part of the <u>new-tested</u> sensor design are components that simultaneously measure muons and total gamma radiation. Muons were found to be a <u>promising-possible</u> alternative for incoming correction for CRNS application (Stevanato et al., 2022). On the other hand, the use of gamma-ray spectrometry was identified as an alternative method for non-invasive soil moisture estimation and irrigation discrimination (Baldoncini et al., 2018; Serafini et al., 2021).
- 545 The muons measured within the present study confirmed the negative correlation with the air pressure that has been found in literature (Stevanato et al., 2022; de Mendonça et al., 2016). The effect of the air temperature was

however not identified suggesting the need of longer time series and a wider temperature range. Even though additional experiments must be conducted to better understand the added value of detecting also these radiation forms, the data collected during this study confirm a considerable potential of these additional measurements.

- 550 Specifically, tThe incoming correction using muons showed some differences to the incoming variability detected by the neutron monitoring station that could be to better capture attributed to different local atmospheric conditions, affecting the incoming component of the neutron detector, and thus to improve soil moisture estimation. In most of the period, however, the effect on soil moisture estimation was negligible. Further analyses with longer time series should then be conducted to better understand the added value of detecting this
- 555 radiation form. The comparison also to other recently proposed alternatives like the use of neutron spectroscopy (Cirillo et al., 2021) or improvements on the use of neutron fluxes measured at the neutron monitoring station (McJannet and Desilets, 2023) should also be foreseen.

<u>The sensor had also a good performance in the measurements of the Total total gamma radiation in comparison</u> to a gamma-ray spectrometer ( $R^2 = 0.29$ , at 6 hours integration time). The signal also shows showed a negative a

- 560 negative-correlation to soil moisture as presented in other studies with the focus on specific gamma energy ranges, e.g.,  ${}^{40}$ K (Strati et al., 2018), (Strati et al., 2018; Baldoncini et al., 2018). but-The correlations using total gamma counts are is however weak (Pearson correlation coefficient r = -0.18) suggesting the need of additional studies and analyses for a better understanding of the signal response and of the footprint size for soil moisture estimation. In contrast, high peaks of total gamma radiation generated by shower of radon in the atmosphere
- 565 have been detected allowing a clear identification of precipitation vs. irrigation events. Overall, this <u>new-tested</u> sensor design <u>can-show to</u> be <u>considered</u> a valuable alternative to more traditional CRNS detectors for soil moisture estimation. Considering that it can be built smaller than conventional neutron systems and the <u>potential</u> benefit of the additional detection of muons and total gammas, it can also open the path to new and wider applications like space weather applications (Hands et al., 2021; Rizzo et al., 2022) and for monitoring
- 570 agriculture water use (Foster et al., 2020).

### Appendix

Table 1. Results of the soil samples analyses at the different experimental sites.  $\theta_a$  is the arithmetic gravimetric soil moisture;  $\theta_w$  is the weighted average gravimetric soil moisture based on Schrön et al. (2017);  $N_0$  is the calibrated parameter of the Eq. 5;  $\theta_{bd}$  is the soil bulk density; *SOC* is the soil organic carbon and *LW* is the lattice water.

| Site                    | Date       | $\theta_a$ [g/g] | $\theta_W$ [g/g] | $N_0$ [cph] | $ ho_{bd}$ [g/cm <sup>3</sup> ] | SOC<br>[g/g] | <i>LW</i><br>[g/g] |
|-------------------------|------------|------------------|------------------|-------------|---------------------------------|--------------|--------------------|
| Com Distant             | 15/03/2021 | 0.133            | 0.121            | 1468        | 1.384                           | 0.014        | 0.084              |
| San Pietro<br>Capofiume | 10/05/2021 | 0.098            | 0.077            | 1466        | 1.373                           | -            | -                  |
|                         | 19/07/2021 | 0.049            | 0.048            | 1540        | 1.295                           | -            | -                  |
| Legnaro                 | 29/03/2021 | 0.174            | 0.149            | 1565        | 1.409                           | 0.022        | 0.152              |
|                         | 26/05/2021 | 0.247            | 0.275            | 1563        | 1.421                           | -            | -                  |
|                         | 03/08/2021 | 0.114            | 0.114            | 1578        | 1.336                           | -            | -                  |
| Landriano               | 22/03/2021 | 0.210            | 0.196            | 1413        | 1.322                           | 0.019        | 0.007              |
|                         | 15/05/2021 | 0.200            | 0.154            | 1274        | 1.285                           | -            | -                  |
|                         | 29/07/2021 | 0.125            | 0.103            | 1349        | 1.295                           | -            | -                  |
| Ceregnano               | 10/03/2021 | 0.209            | 0.215            | 1501        | 1.397                           | 0.018        | 0.076              |
|                         | 31/05/2021 | 0.178            | 0.140            | 1383        | 1.306                           | -            | -                  |

| 15/07/2021 0.134 | 0.105 1376 | 1.386 |  |
|------------------|------------|-------|--|
|------------------|------------|-------|--|

#### 575 Code and data availability

Data collected and processed at the six experimental sites are available at the following repository (Baroni, 2022a). Two spreadsheets have been developed for data processing. The first file (CRNS\_SoS.xlsm) integrates the weighting functions for processing soil samples. The second file (CRNS\_PoP.xlsm) integrates the atmospheric corrections and the calibration function to transform measured row neutrons to soil moisture. The

580 spreadsheets can be downloaded at the following repository (Baroni, 2022b).

### Author contributions

Conceptualization GB, LS, SG, design and implementation of field experiments, methodology GB, LS, SG, TF, HA, AT, GW; writing - original draft preparation SG, GB. Writing - review and editing: all the co-authors. All authors have read and agreed to the published version of the manuscript.

### 585 Competing interests

Luca Stevanato, Matteo Polo and Marcello Lunardon are of FINAPP S.r.l., 35036 Montegrotto Terme, Italy. Otherwise, the authors declare no competing interests.

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